

ALASKA CRIMINAL RECORDS AUDIT

Reporting Compliance & Data Quality

Criminal Cases Referred for
Prosecution in January 2016

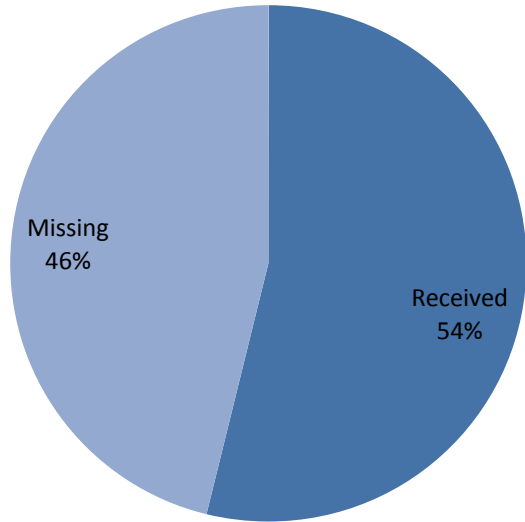
What is the probability of finding complete, accurate information about a charge initiated during the audit period?

First Judicial District

...on a Fingerprint Card	41.0%
...in a Disposition Report	96.0%
<i>...prosecutor declination</i>	100.0%
<i>...court judgment/order</i>	96.0%
...in APSIN	90.0%

State of Alaska
Department of Public Safety, Division of Statewide Services
Criminal Records and Identification Bureau
July 3, 2017

Judicial District 1 Fingerprint Submissions 2016

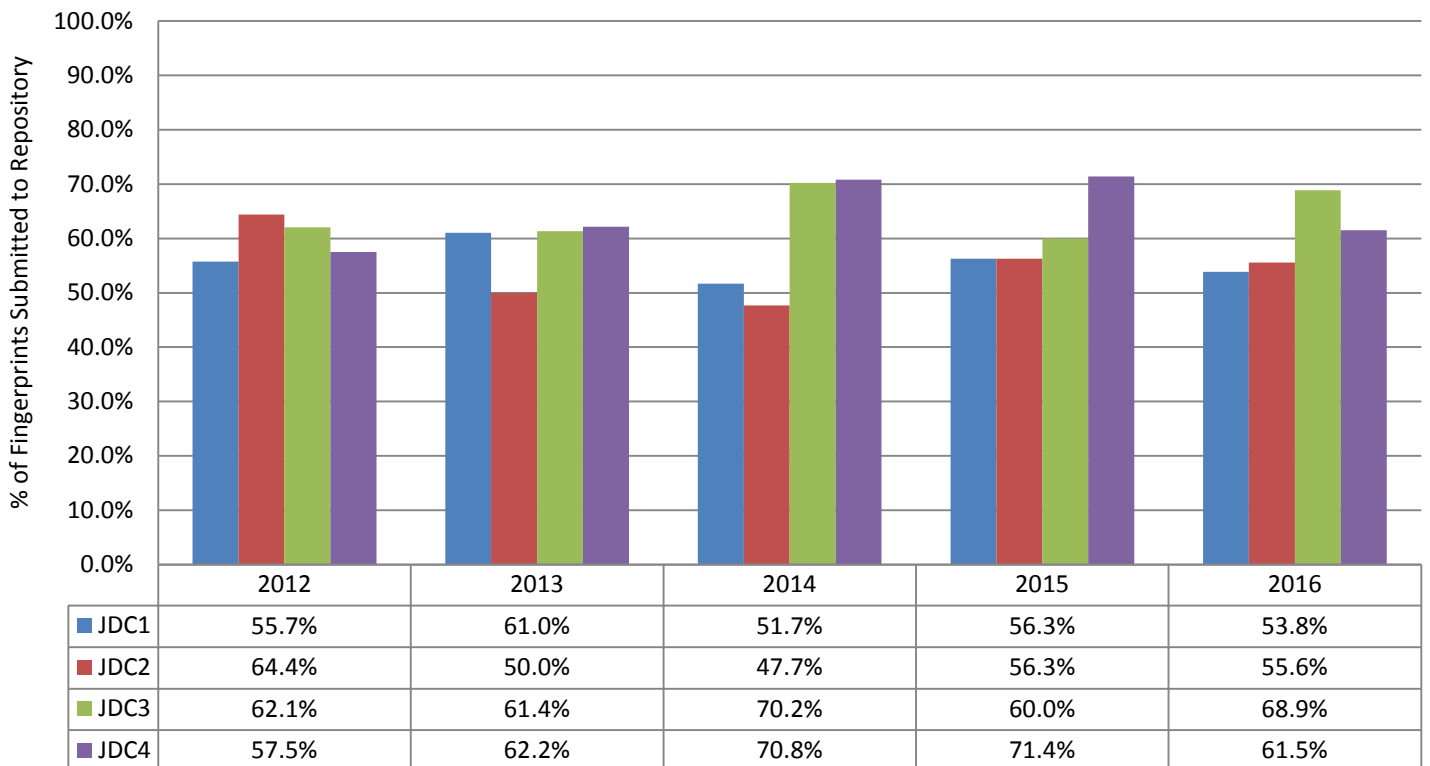


The graphs on this page were made utilizing the fingerprint submission data from the past five years of the Alaska Criminal Records Audit/Repository Audits. These graphs depict the difference between the total numbers of fingerprint cards that ***should have been submitted to the Repository*** versus the number that was ***actually received by the Repository***.

The information on these charts is not generally captured in the repository audits. The importance of fingerprint-supported arrest information cannot be understated. The Federal Bureau of Investigation does not accept criminal history information without an accompanying fingerprint card submission.

The chart below lists the past five year submission rates for each of the four Judicial Districts in the state of Alaska. The chart to the left is specifically for **Judicial District 1**. The percentage rate statewide for fingerprint submission is approximately 60%; 100% fingerprint submission is required.

Judicial District Five Year Fingerprint Submission Analysis



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EXECUTIVE SUMMARY

Criminal Cases Referred for Prosecution January 2016 First Judicial District

Purpose

The purpose of the audit is to evaluate the effectiveness of procedures for compiling criminal history records from various sources. This audit attempts to answer this question:

What is the probability of finding complete, accurate information at the state's central repository about a charge initiated in this Judicial District during this audit period?

Methodology

Population: 262 Criminal Case Intake and Disposition forms

Sample: 67 Criminal Case Intake and Disposition forms

Based on the sample selection criteria, the auditor is 95% certain (confidence level) that the error rates found in the records sampled will be within 10 % (precision factor) of the error rates for the entire population.

The auditor calculates the probability of finding complete, accurate information about a charge from these sources:

FINGERPRINT CARDS, required to be submitted to the state central repository for all criminal charges (AS 12.80.060)

DISPOSITION REPORTS, required from the prosecutor or court that disposed of a charge (AS 12.62.120);

APSIN (the Alaska Public Safety Information Network) is the computerized criminal history record system. Information about a charge in APSIN may be entered directly by the arresting agency. It may be entered by repository staff based on fingerprint cards and disposition reports, as well as other research. Increasingly, it may be updated automatically by a contributing agency's electronic interface.

Findings

To calculate the overall probability of finding complete, accurate information about a charge, the auditor determined, for each information source, the percentage of information that was (a) recorded, (b) not fictional, (c) complete, and (d) accurate. The auditor's computations are shown on the following page:

What is the probability that the charge is (a) RECORDED?

	<u>Actual (Required)</u>		<u>Missing</u>		<u>(a) RECORDED</u>	
Charges on Fingerprint Cards:	119	100.0%	64	53.8%	55	46.2%
Charges in Disposition Reports:	105	100.0%	3	2.9%	102	97.1%
...Prosecutor (Declines)	1		0	0.0%	1	100.0%
...Court (Judgments/Orders)	104		3	2.9%	101	97.1%
...None (confirmed active)	18		9	50.0%	9	50.0%
Charges in APSIN:	123	100.0%	9	7.3%	114	92.7%

EXECUTIVE SUMMARY

What is the probability that the recorded charge is (b) NOT FICTIONAL*?

	<u>Actual (Required)</u>		<u>Fictional*</u>		<u>(b) NOT FICTIONAL</u>	
Charges on Fingerprint Cards:	119	100.0%	0	0.0%	119	100.0%
Charges in Disposition Reports:	105	100.0%	0	0.0%	105	100.0%
...Prosecutor (Declines)	1		0	0.0%	1	100.0%
...Court (Judgments/Orders)	104		0	0.0%	104	100.0%
...None (confirmed active)	18		0	0.0%	18	100.0%
Charges in APSIN:	123	100.0%	2	1.6%	121	98.4%

If the charge is recorded, what is the probability it is (c) COMPLETE (not missing data)?

	<u>Actual Data</u>		<u>Missing Data</u>		<u>(c) COMPLETE</u>	
Data on Fingerprint Cards:	330	100.0%	18	5.5%	312	94.5%
Data in Disposition Reports:	951	100.0%	6	0.6%	945	99.4%
...Prosecutor (Declines)	7		0	0.0%	7	100.0%
...Court (Judgments/Orders)	944		6	0.6%	938	99.4%
...None (confirmed active)**	0		0		0	n/a
Data in APSIN:	1614	100.0%	16	1.0%	1598	99.0%

If the data is complete, what is the probability that it is (d) ACCURATE?

	<u>Actual Data</u>		<u>Data Errors</u>		<u>(d) ACCURATE</u>	
Data on Fingerprint Cards:	312	100.0%	22	7.1%	290	92.9%
Data in Disposition Reports:	945	100.0%	4	0.4%	941	99.6%
...Prosecutor (Declines)	7		0	0.0%	7	100.0%
...Court (Judgments/Orders)	938		4	0.4%	934	99.6%
...None (confirmed active)**	0		0		0	n/a
Data in APSIN:	1598	100.0%	9	1.6%	1589	99.4%

*A "fictional" charge is one that should not be reported to the repository or entered into APSIN; fictional charges are often caused by duplicate reporting or entry of charges, or reporting noncriminal violations as criminal charges. **Data elements are not scored for active cases; missing or inaccurate errors are calculated in the Data in APSIN quality score.

Completeness scores (missing data) in APSIN include information that was reported to the repository but was incomplete and reports that were submitted to the repository but not entered in a timely manner.

Conclusion: The overall probability of finding complete, accurate information is calculated as follows: (a) % recorded X (b) % not fictional X (c) % data complete X (d) % data accurate

Charges on Fingerprint Cards:	(a) 46%	X (b) 100%	X (c) 95%	X (d) 93%	41%
Charges in Disposition Reports:	(a) 97%	X (b) 100%	X (c) 99%	X (d) 100%	96%
...Prosecutor (Declines)	(a) 100%	X (b) 100%	X (c) 100%	X (d) 100%	100%
...Court (Judgments/Orders)	(a) 97%	X (b) 100%	X (c) 99%	X (d) 100%	96%
Charges in APSIN:	(a) 93%	X (b) 98%	X (c) 99%	X (d) 99%	90%

The remainder of the report provides details about the audit methodology and procedures, identifies the specific charges that were found to be missing or fictional, and shows completeness and accuracy rates for specific data elements for each information source.

AUDIT PURPOSE AND SCOPE

Purpose: The purpose of this audit is to evaluate the quality of criminal history data submitted to the state central repository and maintained in APSIN.

Mandate: AS 12.62.120 requires criminal justice agencies to report certain events to the Department of Public Safety (DPS) at the time, in the manner, and in the form specified by the department. These reporting requirements are defined in 13 AAC 68, Article 2. AS 12.62.150 requires DPS to conduct periodic audits to ensure compliance with 12.62.

Objectives: The primary objective is to assess, with statistically supported accuracy, the completeness, timeliness and accuracy of data submitted to the repository and entered into APSIN. A second objective is to determine what, if any, systematic errors are contributing to incomplete, late, or inaccurate records. A third objective is to identify the effects of recent projects, automation, or other programmatic or policy changes that have improved record quality.

Scope: The audit sample is drawn from criminal cases referred for prosecution during January of 2016. This allows the auditor to measure data quality for "reportable" events, to compare changes from year to year, and complete the audit within available resources.

"Reportable Events" - An arrest charge must be reported to the repository even if it is declined for prosecution. A criminal charge that does not involve an arrest must be reported only if it is accepted for prosecution. Noncriminal infractions and juvenile (not treated as adult) charges referred to a prosecutor do not have to be reported. By reviewing all cases referred for prosecution, the audit identifies not only the percentage of *reportable events* submitted to the repository, but also identifies any *non-reportable* events that were incorrectly submitted to the repository, or entered into APSIN. The events required to be reported to the repository, the contents of each report, and the timelines for reporting the information are defined in AS 12.62.120 and 13 AAC 68 Article 2, including:

- Arrest/citation
- Fingerprinting
- Booking (photo)
- Decision not to commence criminal proceedings (for charge resulting from arrest)
- Filing of a charging document
- Court disposition
- Disposition change (court, appellate court, parole board)

In addition to measuring compliance with the reporting of events, the auditor measures the data quality of APSIN records based on events required to be reported. For example, if the audit sample contained 100 charges that were referred for prosecution the auditor would measure how many of those charges were correctly entered into APSIN. The auditor would also measure how many charges were incorrectly entered into APSIN (referred to as "fictional" or "duplicate" charges). For example, if any of the 100 charges involved a juvenile (not treated as adult), a noncriminal infraction, or a charge that did not stem from arrest and was not accepted for prosecution, the auditor confirms that those charges were correctly *omitted* from APSIN.

Jurisdiction – The audit consists of separate record samples and findings for the **First Judicial District**. The audit does not attempt to measure reporting compliance for individual agencies within a judicial district. Instead, it evaluates reporting compliance and APSIN record quality based on the integrated, system wide efforts of all agencies within the judicial district and repository staff.

AUDIT PURPOSE AND SCOPE

Audit Period – DPS has limited the scope of the audit to a one month period to match the level of audit resources available. To enable year-to-year comparison, prosecutor referrals for the same month (January) will be sampled each year. The auditor reviews reports related to these January referrals which are submitted to APSIN by a predetermined cut-off date (September 30). This cutoff date was selected to make audit findings comparable no matter what time of year an audit is completed. For example, if the auditor completes the review of January cases from the First Judicial District in August, then completes the review of January cases for the Second Judicial District in October, the findings are not comparable unless a fixed cutoff date is used.

Limiting the audit to this "snapshot" period means that certain events and records are less likely to be audited, however. For example, serious felony cases are less likely than misdemeanors to have reached final disposition within the 9-month snapshot period. Events such as changing of dispositions on appeal and granting of executive clemency are less likely to occur within the snapshot period. This means that the audit findings are more statistically significant for the initial events (reporting of arrests, fingerprinting, and prosecutor declinations). The audit findings are not necessarily statistically significant for subsequent events, such as court dispositions or disposition changes.

AUDIT PROCEDURES

STEP 1. IDENTIFY POPULATION

Judicial District: First Judicial District

Population: Criminal cases referred for prosecution/screening

Audit Period: January 2016

STEP 2. CALCULATE SAMPLE SIZE

Population Size: Criminal Case Intake and Dispo (CCID forms) referred to:

City Prosecutor - Juneau	<u>78</u>
City Prosecutor - Ketchikan	<u>3</u>
City Prosecutor - Sitka	<u>8</u>
Department of Law - Juneau	<u>39</u>
Department of Law - Ketchikan	<u>91</u>
Department of Law - Sitka	<u>43</u>
<u>TOTAL</u>	262

Confidence Level: The desired degree of confidence that the sample selected will be representative of the general population; i.e., that the population has the same error rate as the sample. **95%**

Degree of Variability: How similar the population records are - this can be based on error rates found in prior audits, or it can be most conservatively estimated at 50% (e.g., there is a 50/50 chance that a record will be error-free or totally erroneous) **50%**

Precision Factor: Enter the range (plus/minus) between the error rate to be found in this audit sample and that in the population **10%**

Sample Size: Criminal Case Intake and Dispo (CCID forms)

<u>TOTAL</u>	67
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STEP 3. IDENTIFY REPORTING REQUIREMENTS/DATA SOURCE

See Reporting Requirements (page 7 of this report) for the list of agencies providing data for this audit.

AUDIT PROCEDURES

STEP 4. LOCATE/COPY SOURCE DOCUMENTS

Arrest Report	Obtain from arrest agency if needed to verify CCID of FP card data.
Fingerprint Card	Obtain from repository files.
Criminal Case Intake Dispo Form	Obtain from prosecutor.
Filing Document	Obtain from court (Not applicable for this audit).
Court Disposition	Obtain from repository files (image archive).
Dispo Change	Obtain from repository files (image archive).
Booking Photo	Check digital image archive (mugshot) if on file
APSIN Rap Sheet	Obtain APSIN criminal history for each record.
APSIN Entry Data Log	Obtain APSIN "dat-of-entry" logs for each record.

STEP 5. COLLECT/SCORE DATA

Enter the SAMPLE SIZE (the number of RECORDS audited). RECORD means a single arrest/charging incident referred for prosecution/screening.	67
Enter the number of CHARGES (individual criminal offense, also referred to as a "count").	123
Enter the number of FICTIONAL CHARGES IN APSIN (a nonexistent charge, e.g., entries caused by a duplicate report of an actual charge or erroneous report showing a noncriminal violation as a criminal charge, etc.).	2
Enter the number of MISSING CHARGES IN APSIN (a charge that should have been reported/posted in APSIN as part of an arrest event/charging incident, but was omitted).	9
Enter the number of COLLATERAL OFFENSES (violation of a court or parole condition involving an audited RECORD).	
Enter the number of DATA ELEMENTS (discrete field of information) for all CHARGES and COLLATERALS in all RECORDS.	2895

REPORTING REQUIREMENTS

EVENT	AGENCY RESPONSIBLE	TIME LIMIT	13 AAC 68.160 WAIVER
Arrest/Citation 13 AAC 68.115	Arrest agencies meet this requirement by entering arrest data directly into APSIN. Because other agencies, including the repository, may subsequently update/change that data, it is not possible to audit the timeliness or data quality of the arresting agency's original report.	1 wk	NO
Fingerprint Card 13 AAC 68.120	Department of Corrections - Ketchikan Correctional	1 wk	NO
	Department of Corrections - Lemon Creek Correctional		NO
	Craig Police Department		NO
	Petersburg Police Department		NO
	Sitka Police Department		NO
	Wrangell Police Department		NO
Decline Prosecution 13 AAC 68.130	Department of Law - Ketchikan	1 mo	N/A
Court Filing 13 AAC 68.135	Not applicable - courts do not report charge filing at this time	1 mo	N/A
Court Disposition 13 AAC 68.140	Superior Court - Juneau	1 mo	N/A
	Superior Court - Ketchikan		N/A
	District Court - Juneau		N/A
	District Court - Ketchikan		N/A
	District Court - Petersburg		N/A
	District Court - Prince of Wales		N/A
	District Court - Sitka		N/A
	District Court - Wrangell		N/A
	Magistrate Court - Angoon		N/A
	Magistrate Court - Hoonah		N/A
Disposition Change 13 AAC 68.140-145	Not applicable - no disposition changes were reported in this audit	1 mo	N/A
Booking Photo 13 AAC 68.150	See above; same as fingerprint agencies	1 wk	NO
APSIN (RAP SHEET) AS 12.62.150	Department of Public Safety Criminal Records Bureau	N/A	N/A

MISSING FINGERPRINT RECORDS

Criminal Charge	Fingerprint Missing	Arrest/Cite	Filed Charges	Disposition Court	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Misconduct Involving Controlled Substance 3rd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Ketchikan Police Department	Ketchikan District Court	Charge omitted from FPC
Misconduct Involving Controlled Substance 3rd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Ketchikan Police Department	Ketchikan District Court	Charge omitted from FPC
Misconduct Involving Controlled Substance 3rd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Ketchikan Police Department	Ketchikan District Court	Charge omitted from FPC
Criminal Trespass 1st Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Hoonah Police Department	Hoonah Magistrate Court	No FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Hoonah Police Department	Hoonah Magistrate Court	No FPC
Criminal Trespass 2nd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Craig Police Department	Prince of Wales District Court	Charge omitted from FPC
Disorderly Conduct	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Petersburg Police Department	Petersburg District Court	No FPC
Assault	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Juneau Police Department	Juneau District Court	No FPC
Assault	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Juneau Police Department	Juneau District Court	No FPC
Interfere with Officer- Resist Arrest	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Juneau Police Department	Juneau District Court	No FPC
Misconduct with Weapons 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Ketchikan Police Department		No FPC

MISSING FINGERPRINT RECORDS

Criminal Charge	Fingerprint Missing	Arrest/Cite	Filed Charges	Disposition Court	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Criminal Mischief 3rd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Klawock Police Department		No FPC
Disorderly Conduct	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Petersburg Police Department	Petersburg District Court	No FPC
Larceny	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Juneau Police Department	Juneau District Court	No FPC
Theft 2nd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Ketchikan Police Department	Ketchikan District Court	No FPC
Theft 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Wrangell Police Department	Wrangell District Court	No FPC
Violate Conditions of Release	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Wrangell Police Department	Wrangell District Court	No FPC
Alcohol Restricted-In Licensed Premises	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Wrangell Police Department	Wrangell District Court	No FPC
Assault 3rd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Juneau Police Department	Juneau District Court	No FPC
Driving while License Canc/Susp/Revoked/Lim	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Alaska State Troopers - Craig	Prince of Wales District Court	No FPC
Misconduct with Weapons 5th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Juneau Police Department	Juneau District Court	Charge omitted from FPC
Driving while License Canc/Susp/Revoked/Lim	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Sitka Police Department	Sitka District Court	No FPC
Violate Condition of Release	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Juneau Police Department	Juneau District Court	No FPC

MISSING FINGERPRINT RECORDS

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Disposition	Court	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Failure to Salvage all Edible Meat	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Alaska State Troopers - Sitka	Sitka District Court	No FPC
Failure to Salvage all Edible Meat	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Alaska State Troopers - Sitka	Sitka District Court	No FPC
Misconduct Involving Controlled Substance 3rd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Ketchikan Police Department	Ketchikan Superior Court	No FPC
Promote Contraband 1st Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Ketchikan Police Department	Ketchikan Superior Court	No FPC
Misconduct Involving Controlled Substance 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Ketchikan Police Department	Ketchikan Superior Court	No FPC
Larceny	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau District Court	No FPC
Sexual Abuse of a Minor 2nd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Juneau Police Department		No FPC
Forgery 2nd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Juneau Police Department	Juneau District Court	No FPC
Theft 2nd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Juneau Police Department	Juneau District Court	No FPC
Attempted Sexual Abuse of a Minor 3rd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Metlakatla Police Department		No FPC
Attempted Sexual Assault 3rd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Metlakatla Police Department		No FPC

MISSING FINGERPRINT RECORDS

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Disposition	Court	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Criminal Mischief 5th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Village Public Safety Office - Angoon/Alaska State Troopers - Juneau	Sitka District Court	Charge omitted from FPC
Resist/Interfere Arrest	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Village Public Safety Office - Angoon/Alaska State Troopers - Juneau	Sitka District Court	Charge omitted from FPC
Criminal Trespass 1st Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Sitka Police Department	Sitka District Court	No FPC
Drive without Valid Operator License	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Sitka Police Department	Sitka District Court	No FPC
Fail to Stop at Direction of Officer - Reckless Driving	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Sitka Police Department	Sitka District Court	Charge omitted from FPC
Larceny	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau District Court	No FPC
Larceny	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau District Court	No FPC
Failure to Appear on Citation	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau District Court	No FPC
Failure to Appear on Citation	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau District Court	No FPC
Violate Condition of Release	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau District Court	No FPC

MISSING FINGERPRINT RECORDS

Criminal Charge	Fingerprint Missing	Arrest/Cite	Filed Charges	Disposition	Court	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Criminal Trespass	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau District Court	No FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau Superior Court	No FPC
Criminal Trespass 1st Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau Superior Court	No FPC
Assault 3rd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau Superior Court	No FPC
Unlawful Contact	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau Superior Court	No FPC
Unlawful Contact	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau Superior Court	No FPC
Unlawful Contact	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau Superior Court	No FPC
Unlawful Contact	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau Superior Court	No FPC
Unlawful Contact	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau Superior Court	No FPC
Unlawful Contact	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau Superior Court	No FPC
Unlawful Contact	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau Superior Court	No FPC
Unlawful Contact	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau Superior Court	No FPC
Criminal Mischief 5th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau Superior Court	No FPC

MISSING FINGERPRINT RECORDS

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Disposition	Court	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Criminal Mischief 5th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Alaska State Troopers - Juneau	Angeon Magistrate Court	Charge omitted from FPC
Contributing to the Delinquency of a Minor	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Juneau Police Department	Juneau District Court	No FPC
Theft 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Juneau Police Department		No FPC
Disorderly Conduct	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau District Court	No FPC
False Report to Law Enforcement	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau District Court	No FPC
Violate Condition of Release	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Sitka Police Department	Sitka District Court	Charge omitted from FPC
Larceny	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Juneau Police Department		No FPC
Assault	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Juneau Police Department	Juneau District Court	No FPC

FICTIONAL FINGERPRINT RECORDS

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MISSING REPORTS OF PROSECUTOR DECLINATIONS

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MISSING REPORTS OF COURT DISPOSITIONS

Criminal Charge	Court Dispo Missing	Dismissal	Conviction	Court	Comment
Driving while License Canc/Susp/Revoked/Lim	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Prince of Wales District Court	Court did not send the disposition to the repository
Fail to Stop at Direction of Officer 1st Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Court did not send the disposition to the repository
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Court did not send the disposition to the repository

MISSING CHARGES IN APSIN

Criminal Charge	Missing FP	Decline	Missing Disposition	Missing Court	ActiveCase	Explanation of Why Charge is Missing in APSIN
Criminal Mischief 3rd Degree	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	No arrest/citation; active case;
Sexual Abuse of a Minor 2nd Degree	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Arrest/citation but no FPC;
Forgery 2nd Degree	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	No arrest/citation; active case;
Theft 2nd Degree	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	No arrest/citation; active case;
Forgery 2nd Degree	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	No arrest/citation; active case;
Forgery 2nd Degree	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	No arrest/citation; active case;
Attempted Sexual Abuse of a Minor 3rd Degree	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Arrest/citation but no FPC;
Attempted Sexual Assault 3rd Degree	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Arrest/citation but no FPC;
Failure to Appear	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Charge added/not entered into APSIN before audit cut off date;

FICTIONAL CHARGES IN APSIN

Criminal Charge	Fictional Arrest	Fictional Decline	Fictional Court Disposition	Explanation of Why Fictional Charge is in APSIN
Assault 4th Degree	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Data entry error;
Violate DV Protective Order	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Data entry error;

DATA QUALITY

#= FOR REPORTS RECEIVED/ENTERED: number of times a data element was required

CMP= FOR REPORTS RECEIVED/ENTERED: percentage of times a required data element was reported/entered

ACC= FOR DATA PROVIDED, percentage that was accurate (missing data is not scored for accuracy)

	ARREST REPORT			FP CARD			PROSECUTOR DECLINE			COURT FILING			COURT DISPO			DISPO CHANGE			APSIN		
	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC
Total Data Elements	0			330	95%	88%	7	100%	100%	0			944	99%	99%	0			1614	99%	98%
ATN#	0			55	80%	73%	1	100%	100%	0			101	100%	100%	0			114	100%	99%
Arrest Statute	0			55	100%	84%	1	100%	100%										114	100%	100%
Arrest Literal	0			55	100%	93%	1	100%	100%										114	100%	100%
ID of Arr/Cit Agency	0			55	100%	98%	1	100%	100%										114	100%	100%
Date Arr/Cit	0			55	87%	80%													114	100%	97%
Date of Offense																			114	89%	89%
ID of FP agency				55	100%	100%															
Decline Prosecution							1	100%	100%										1	100%	100%
ID of decline agency							1	100%	100%										1	100%	100%
Date declined							1	100%	100%										1	100%	100%
APSIN ID number										0			101	99%	98%						
Ct Case #										0			101	100%	100%	0			109	100%	100%
Disposition Statute													101	98%	97%				101	100%	100%
Disposition Literal													101	98%	97%				101	100%	100%
ID of Ct Dispo Agcy													101	100%	99%				101	100%	99%
Date of Ct Dispo													101	100%	100%				101	100%	100%
Ct Dispo													101	99%	99%				101	100%	100%
POS ID Flag																			66	100%	95%
Fine Imposed													14	100%	100%	0			14	100%	100%
Fine Suspended													8	100%	100%	0			8	100%	100%
Jail Imposed													38	100%	100%	0			38	97%	97%
Jail Suspended													25	100%	100%	0			25	96%	96%
Commitment													0			0			0		
Probation													26	100%	100%	0			26	100%	100%
Defer Prosecution													0			0			0		
SIS (set aside)													0			0			0		
Alcohol Screen													8	100%	100%	0			8	88%	88%
Restitution													4	100%	100%	0			4	100%	100%
Lic Susp/Rev													5	100%	100%	0			5	100%	100%
Other (CWS)													1	100%	100%	0			1	100%	100%
Felony Flag - Dispo																			2	100%	100%
SOR Flag - Dispo													0			0			0		
DV Flag - Dispo													7	100%	100%	0			7	86%	86%

SCORING GUIDELINES

SCORING GUIDELINES	FP CARD #	PROSECUTOR DECLINE #	COURT FILING #	COURT DISPO #	DISPO CHANGE #	APSIN #	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
Required Data Element							
Arrest Tracking Number (ATN)	x	x		x	x	x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different than ATN on CCID accuracy = NO (white box)
Charge Number							not scored at this time
Offense Code - Arr/Cit	x	x		x	x	x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different than CCID, accuracy = NO (white box); (unless obvious problem w/CCID then check arrest report/citation.
ID of Arr/Cit Agency	x					x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different than CCID, accuracy = NO (white box); (unless obvious problem w/CCID then check arrest report/citation.
Date Arr/Cit	x					x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box); (unless obvious problem w/CCID then check arrest report/citation. APSIN requires a 'date of arrest' entry; if date of arrest is unknown the date of violation is entered and is scored as complete = YES (checked box); accurate = NO (white box) (systematic error)
Date of Offense							not scored; regulations require date of offense on FPC, if known
ID of FP agency	x						if FP agency = arrest agency score under 'id arrest agency'; if FP agency and arrest agency are different, id FP agency must be scored; ori agency is FP agency. If missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different that ori score 0 on accuracy
FP Signature	x						if missing on paper FPC completeness = NO (white box); accurate = NOT SCORED (gray box)
Decline Prosecution		x				x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box)
ID of decline agency		x				x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box)
Date declined		x				x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box)
Off Code - Filing							not scored at this time
ID of Ct - Chg Filed							not scored at this time
Date Chg Filed							not scored at this time
Court Case #				x		x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Date CtOrd FP	x						scored if court ordered fingerprints
ID of CtOrd FP	x						scored if court ordered fingerprints
Court APSIN Id				x			scored on judgment only if provided by prosecutor on charging document.

SCORING GUIDELINES

SCORING GUIDELINES	FP CARD #	PROSECUTOR DECLINE #	COURT FILING #	COURT DISPO #	DISPO CHANGE #	APSIN #	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
Required Data Element							
Off Code - Dispo				x		x	For this data field, two data elements are scored: the literal description of the offense and the statute citation for that offense are both required. If one element is reported (on judgment or entered in APSIN) score "complete" as 1; if second element is missing or inaccurate, score "accuracy" as 0. If both elements are missing score completeness and accuracy as N/N.
ID of Ct Dispo Agcy				x		x	from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Date of Ct Dispo				x		x	from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Ct Dispo				x		x	from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
POS ID (FP) Flag						x	score all charges in APSIN; systematic entry; if FP on file flag = yes; if no FP on file flag = NOT SCORED (gray box);
Fine				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Jail				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Probation				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
SIS (set aside)				x		x	score only if on court documents; if missing in APIs completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Alcohol Screening				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Restitution				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
License Susp/Rev				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
CWS				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Felony/Misd Status				x		x	for disposed charges in APSIN; systematic entry; if blank or not set = NO (white box) for completeness and accuracy = NOT SCORED (gray box)OT SCORED (gray box); Level of severity is based on the UOCT. If the felony indicator = Y in APSIN and UOCT has felony determination accuracy = YES (checked box).

SCORING GUIDELINES

SCORING GUIDELINES	FP CARD #	PROSECUTOR DECLINE #	COURT FILING #	COURT DISPO #	DISPO CHANGE #	APSIN #	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
Required Data Element							
Sex Ofndr Regis Flag				x		x	score only charges specified SOR by court; If missing in APSIN, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than judgment, accuracy = NO (white box)
DV Flag - Dispo				x		x	score only charges specified DV by court; If missing in APSIN, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than judgment, accuracy = NO (white box)
Disposition Change					x	x	from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
ID of Dispo Chg Agcy					x	x	from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Date of Dispo Change					x	x	from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
App.Case #					x	x	from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Collateral Arrest Dt							
Collateral Offense Code							
Collateral Ct Case #							