

# ALASKA CRIMINAL RECORDS AUDIT

## Reporting Compliance & Data Quality

Criminal Cases Referred for Prosecution  
in January 2012

What is the probability of finding complete, accurate information about a charge initiated during the audit period?

### Second Judicial District

<b>...on a Fingerprint Card</b>	<b>51.0%</b>
<b>...in a Disposition Report</b>	<b>88.0%</b>
<i>prosecutor declination</i>	<i>0.0%</i>
<i>court judgment/order</i>	<i>89.0%</i>
<b>...in APSIN</b>	<b>93.0%</b>

State of Alaska  
Department of Public Safety, Division of Statewide Services  
Criminal Records and Identification Bureau  
June 30, 2013

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# EXECUTIVE SUMMARY

## Criminal Cases Referred for Prosecution January 2012 Second Judicial District

### Purpose

The purpose of the audit is to evaluate the effectiveness of procedures for compiling criminal history records from various sources. This audit attempts to answer this question:

**What is the probability of finding complete, accurate information at the state's central repository about a charge initiated in this Judicial District during this audit period?**

### Methodology

Population: 204 Criminal Case Intake and Disposition forms

Sample: 68 Criminal Case Intake and Disposition forms

Based on the sample selection criteria, the auditor is 95% certain (confidence level) that the error rates found in the records sampled will be within 10% (precision factor) of the error rates for the entire population.

The auditor calculates the probability of finding complete, accurate information about a charge from these sources:

FINGERPRINT CARDS, required to be submitted to the state central repository for all criminal charges (AS 12.80.060)

DISPOSITION REPORTS, required from the prosecutor or court that disposed of a charge (AS 12.62.120);

APSIN ( the Alaska Public Safety Information Network) is the computerized criminal history record system. Information about a charge in APSIN may be entered directly by the arresting agency. It may be updated by repository staff based on fingerprint cards and disposition reports, as well as other research. Increasingly, it may be entered automatically from an arrest, fingerprint or disposing agency's information system through an electronic interface.

### Findings

To calculate the overall probability of finding complete, accurate information about a charge, the auditor determined, for each information source, the percentage of information that was (a) recorded, (b) not fictional, (c) complete, and (d) accurate. The auditor's computations are shown on the following page:

**What is the probability that the charge is (a) RECORDED?**

	<u>Actual (Required)</u>		<u>Missing</u>		<u>(a) RECORDED</u>	
<b>Charges on Fingerprint Cards:</b>	<b>93</b>	<b>100.0%</b>	<b>41</b>	<b>44.1%</b>	<b>52</b>	<b>55.9%</b>
<b>Charges in Disposition Reports:</b>	<b>90</b>	<b>100.0%</b>	<b>3</b>	<b>3.3%</b>	<b>87</b>	<b>96.7%</b>
...Prosecutor (Declines)	1		1	100.0%	0	0.0%
...Court (Judgments/Orders)	89		2	2.2%	87	97.8%
...None (confirmed active)	3		0	0.0%	3	100.0%
<b>Charges in APSIN:</b>	<b>93</b>	<b>100.0%</b>	<b>1</b>	<b>1.1%</b>	<b>92</b>	<b>98.9%</b>

# EXECUTIVE SUMMARY

What is the probability that the recorded charge is (b) NOT FICTIONAL?

	<u>Actual (Required)</u>		<u>Fictional</u>		<u>(b) NOT FICTIONAL</u>	
<b>Charges on Fingerprint Cards:</b>	<b>93</b>	<b>100.0%</b>	<b>0</b>	<b>0.0%</b>	<b>93</b>	<b>100.0%</b>
<b>Charges in Disposition Reports:</b>	<b>90</b>	<b>100.0%</b>	<b>0</b>	<b>0.0%</b>	<b>90</b>	<b>100.0%</b>
...Prosecutor (Declines)	1		0	0.0%	1	100.0%
...Court (Judgments/Orders)	89		0	0.0%	89	100.0%
...None (confirmed active)	3		0	0.0%	3	100.0%
<b>Charges in APSIN:</b>	<b>93</b>	<b>100.0%</b>	<b>0</b>	<b>0.0%</b>	<b>93</b>	<b>100.0%</b>

If the charge is recorded, what is the probability it is (c) COMPLETE (not missing data)?

	<u>Actual Data</u>		<u>Missing Data</u>		<u>(c) COMPLETE</u>	
<b>Data on Fingerprint Cards:</b>	<b>286</b>	<b>100.0%</b>	<b>6</b>	<b>2.1%</b>	<b>280</b>	<b>97.9%</b>
<b>Data in Disposition Reports:</b>	<b>830</b>	<b>100.0%</b>	<b>45</b>	<b>5.4%</b>	<b>785</b>	<b>94.6%</b>
...Prosecutor (Declines)	0		0		0	100.0%
...Court (Judgments/Orders)	830		45	5.4%	785	94.6%
...None (confirmed active)	0		0		0	n/a
<b>Data in APSIN:</b>	<b>1262</b>	<b>100.0%</b>	<b>71</b>	<b>5.6%</b>	<b>1191</b>	<b>94.4%</b>

If the data is complete, what is the probability that it is (d) ACCURATE?

	<u>Actual Data</u>		<u>Data Errors</u>		<u>(d) ACCURATE</u>	
<b>Data on Fingerprint Cards:</b>	<b>280</b>	<b>100.0%</b>	<b>21</b>	<b>7.5%</b>	<b>259</b>	<b>92.5%</b>
<b>Data in Disposition Reports:</b>	<b>785</b>	<b>100.0%</b>	<b>26</b>	<b>3.3%</b>	<b>759</b>	<b>96.7%</b>
...Prosecutor (Declines)	0		0		0	100.0%
...Court (Judgments/Orders)	785		26	3.3%	759	96.7%
...None (confirmed active)	0		0		0	n/a
<b>Data in APSIN:</b>	<b>1191</b>	<b>100.0%</b>	<b>3</b>	<b>0.0%</b>	<b>1188</b>	<b>99.7%</b>

\*A "fictional" charge is one that should not be reported to the repository or entered into APSIN; fictional charges are often caused by duplicate reporting or entry of charges, or reporting noncriminal violations as criminal charges.

Completeness scores (missing data) in APSIN include information that was reported to the repository but was incomplete and reports that were submitted to the repository but not entered in a timely manner.

Conclusion: The overall probability of finding complete, accurate information is calculated as follows: (a) % recorded X (b) % not fictional X (c) % data complete X (d) % data accurate

<b>Charges on Fingerprint Cards:</b>	(a) 56%	X (b) 100%	X (c) 98%	X (d) 93%	<b>51%</b>
<b>Charges in Disposition Reports:</b>	(a) 97%	X (b) 100%	X (c) 95%	X (d) 97%	<b>88%</b>
...Prosecutor (Declines)	(a) 0%	X (b) 100%	X (c) 100%	X (d) 100%	<b>0%</b>
...Court (Judgments/Orders)	(a) 98%	X (b) 100%	X (c) 95%	X (d) 97%	<b>89%</b>
<b>Charges in APSIN:</b>	(a) 99%	X (b) 100%	X (c) 94%	X (d) 100%	<b>93%</b>

The remainder of the report provides details about the audit methodology and procedures, identifies the specific charges that were found to be missing and fictional, and shows completeness and accuracy rates for specific data elements for each information source.

# AUDIT PURPOSE AND SCOPE

**Purpose:** The purpose of this audit is to evaluate the quality of criminal history data submitted to the state central repository and maintained in APSIN.

**Mandate:** AS 12.62.120 requires criminal justice agencies to report certain events to the Department of Public Safety (DPS) at the time, in the manner, and in the form specified by the department. These reporting requirements are defined in 13 AAC 68, Article 2. AS 12.62.150 requires DPS to conduct periodic audits to ensure compliance with 12.62.

**Objectives:** The primary objective is to assess, with statistically supported accuracy, the completeness, timeliness and accuracy of data submitted to the repository and entered into APSIN. A second objective is to determine what, if any, systematic errors are contributing to incomplete, late, or inaccurate records. A third objective is to identify the effects of recent projects, automation, or other programmatic or policy changes that have improved record quality.

**Scope:** The audit sample is drawn from criminal cases referred for prosecution during January of 2012. This allows the auditor to measure data quality for "reportable" events, to compare changes from year to year, and complete the audit within available resources.

*"Reportable Events"* - An arrest charge must be reported to the repository even if it is declined for prosecution. A criminal charge that does not involve an arrest must be reported only if it is prosecuted. Noncriminal infractions and juvenile charges referred to a prosecutor do not have to be reported. By reviewing all cases referred for prosecution, the audit identifies not only the percentage of *reportable events* submitted to the repository, but also identifies any *non-reportable* events that were incorrectly submitted to the repository, or entered into APSIN. The events required to be reported to the repository, the contents of each report, and the timelines for reporting the information are defined in AS 12.62.120 and 13 AAC 68 Article 2, including:

- Arrest/citation
- Fingerprinting
- Booking (photo)
- Decision not to commence criminal proceedings (for charge resulting from arrest)
- Filing of a charging document
- Court disposition
- Disposition change (court, appellate court, parole board)

In addition to measuring compliance with the reporting of events, the auditor measures the data quality of APSIN records based on events required to be reported. For example, if the audit sample contained 100 charges that were referred for prosecution the auditor would measure how many of those charges were correctly entered into APSIN. The auditor would also measure how many charges were incorrectly entered into APSIN (referred to as "fictional" or "duplicate" charges). For example, if any of the 100 charges involved a juvenile, a noncriminal infraction, or a charge that did not stem from arrest and was not prosecuted, the auditor confirms that those charges were correctly *omitted* from APSIN.

*Jurisdiction* – The audit consists of separate record samples and findings for the **Second Judicial District**. The audit does not attempt to measure reporting compliance for individual agencies within a judicial district. Instead, it evaluates reporting compliance and APSIN record quality based on the integrated, system wide efforts of all agencies within the judicial district and repository staff.

## AUDIT PURPOSE AND SCOPE

*Audit Period* – DPS has limited the scope of the audit to a one month period to match the level of audit resources available. To enable year-to-year comparison, prosecutor referrals for the same month (January) will be sampled each year. The auditor reviews reports related to these January referrals which are submitted to APSIN by a predetermined cut-off date (September 30). This cutoff date was selected to make audit findings comparable no matter what time of year an audit is completed. For example, if the auditor completes the review of January cases from the First Judicial District in August, then completes the review of January cases for the Second Judicial District in October, the findings are not comparable unless a fixed cutoff date is used.

Limiting the audit to this "snapshot" period means that certain events and records are less likely to be audited, however. For example, serious felony cases are less likely than misdemeanors to have reached final disposition within the 9-month snapshot period. Events such as changing of dispositions on appeal and granting of executive clemency are less likely to occur within the snapshot period. This means that the audit findings are more statistically significant for the initial events (reporting of arrests, fingerprinting, and prosecutor declinations). The audit findings are not necessarily statistically significant for subsequent events, such as court dispositions or disposition changes.

# AUDIT PROCEDURES

## STEP 1. IDENTIFY POPULATION

**Judicial District:** Second Judicial District  
**Population:** Criminal cases referred for prosecution/screening  
**Audit Period:** January 2012

## STEP 2. CALCULATE SAMPLE SIZE

<b>Population Size:</b>	Criminal Case Intake and Dispo (CCID forms) referred to:	
	Department of Law - Barrow	<u>57</u>
	Department of Law - Kotzebue	<u>84</u>
	Department of Law - Nome	<u>63</u>
	<b><u>TOTAL</u></b>	<b>204</b>
<b>Confidence Level:</b>	The desired degree of confidence that the sample selected will be representative of the general population; i.e., that the population has the same error rate as the sample.	<b>95%</b>
<b>Degree of Variability:</b>	How similar the population records are - this can be based on error rates found in prior audits, or it can be most conservatively estimated at 50% (e.g., there is a 50/50 chance that a record will be error-free or totally erroneous)	<b>50%</b>
<b>Precision Factor:</b>	Enter the range (plus/minus) between the error rate to be found in this audit sample and that in the population	<b>10%</b>
<b>Sample Size:</b>	Criminal Case Intake and Dispo (CCID forms)	
	<b><u>TOTAL</u></b>	<b>68</b>

## STEP 3. IDENTIFY REPORTING REQUIREMENTS/DATA SOURCE

See Reporting Requirements (Page 7 of this report) for the list of agencies providing data for this audit.

# AUDIT PROCEDURES

## STEP 4. LOCATE/COPY SOURCE DOCUMENTS

<b>Arrest Report</b>	Obtain from arrest agency if needed to verify CCID of FP card data.
<b>Fingerprint Card</b>	Obtain from repository files.
<b>Criminal Case Intake Dispo Form</b>	Obtain from prosecutor.
<b>Filing Document</b>	Obtain from court (Not applicable for this audit).
<b>Court Disposition</b>	Obtain from repository files (image archive).
<b>Dispo Change</b>	Obtain from repository files (image archive).
<b>Booking Photo</b>	Check digital image archive (mugshot) if on file
<b>APSIN Rap Sheet</b>	Obtain APSIN criminal history for each record.
<b>APSIN Entry Data Log</b>	Obtain APSIN "dat-of-entry" logs for each record.

## STEP 5. COLLECT/SCORE DATA

Enter the SAMPLE SIZE (the number of RECORDS audited). RECORD means a single arrest/charging incident referred for prosecution/screening.	<b>68</b>
Enter the number of CHARGES (individual criminal offense, also referred to as a "count").	<b>93</b>
Enter the number of FICTIONAL CHARGES IN APSIN (a nonexistent charge, e.g., entries caused by a duplicate report of an actual charge or erroneous report showing a noncriminal violation as a criminal charge, etc.).	<b>0</b>
Enter the number of MISSING CHARGES IN APSIN (a charge that should have been reported/posted in APSIN as part of an arrest event/charging incident, but was omitted).	<b>1</b>
Enter the number of COLLATERAL OFFENSES (violation of a court or parole condition involving an audited RECORD).	
Enter the number of DATA ELEMENTS (discrete field of information) for all CHARGES and COLLATERALS in all RECORDS.	<b>2378</b>



# REPORTING REQUIREMENTS

EVENT	AGENCY RESPONSIBLE	TIME LIMIT	13 AAC 68.160 WAIVER
<b>Arrest/Citation</b> 13 AAC 68.115	Arrest agencies meet this requirement by entering arrest data directly into APSIN. Because other agencies, including the repository, may subsequently update/change that data, it is not possible to audit the timeliness or data quality of the arresting agency's original report.	1 wk	NO
<b>Fingerprint Card</b> 13 AAC 68.120	Anvil Mountain Correctional Center	1 wk	NO
	Kotzebue Police Department		NO
	North Slope Borough Police Department		NO
<b>Decline Prosecution</b> 13 AAC 68.130	District Attorney - Nome	1 mo	NO
<b>Court Filing</b> 13 AAC 68.135	not applicable - courts do not report charge filing at this time	1 mo	N/A
<b>Court Disposition</b> 13 AAC 68.140	Superior Court - Kotzebue	1 mo	N/A
	Superior Court - Nome		N/A
	District Court - Barrow		N/A
	District Court - Kotzebue		N/A
	District Court - Nome		N/A
	Magistrate Court - Unalakleet		N/A
<b>Disposition Change</b> 13 AAC 68.140-145	not applicable - no disposition changes were reported in this audit	1 mo	N/A
<b>Booking Photo</b> 13 AAC 68.150	(see above; same as fingerprint agencies)	1 wk	NO
<b>APSIN (RAP SHEET)</b> AS 12.62.150	DPS - R&I (Records and ID Bureau)	N/A	N/A

## MISSING FINGERPRINT RECORDS

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Disposition	Court	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Violate Protective Order	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue District Court	Kotzebue District Court	No FPC
Minor Consuming-- Habitual	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Nome AST	Nome District Court	No FPC
Sexual Abuse of a Minor 2nd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Kotzebue AST	Kotzebue Superior Court	Charge omitted from FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue District Court	Kotzebue District Court	No FPC
Driving Under the Influence	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue District Court	Kotzebue District Court	No FPC
Reckless Endangerment	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue District Court	Kotzebue District Court	No FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue District Court	Kotzebue District Court	No FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue District Court	Kotzebue District Court	No FPC
Misconduct Involving Controlled Substance 6th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue District Court	Kotzebue District Court	No FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Nome Police Department	Nome District Court	Charge omitted from FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue District Court	Kotzebue District Court	No FPC

## MISSING FINGERPRINT RECORDS

Criminal Charge	Fingerprint Missing	Arrest/Cite	Filed Charges	Disposition	Court	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Disorderly Conduct	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue District Court	Kotzebue District Court	No FPC
Resist/Interfere with Arrest	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue District Court	Kotzebue District Court	No FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue AST	Kotzebue District Court	No FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue AST	Kotzebue District Court	No FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue AST	Kotzebue District Court	No FPC
Import Alcohol-Dry Area	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		North Slope Borough Police Department	Barrow District Court	No FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		North Slope Borough Police Department	Barrow District Court	No FPC
Interfere with the Report of DV Crime	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		North Slope Borough Police Department	Barrow District Court	No FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		North Slope Borough Police Department	Barrow District Court	No FPC
Interfere with the Report of DV Crime	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		North Slope Borough Police Department	Barrow District Court	No FPC
Criminal Trespass 1st Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Unalakleet Magistrate Court	Unalakleet Magistrate Court	No FPC
Misconduct with Weapons 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Unalakleet Magistrate Court	Unalakleet Magistrate Court	No FPC
Contributing to the Delinquency of Minor	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue District Court	Kotzebue District Court	No FPC

## MISSING FINGERPRINT RECORDS

Criminal Charge	Fingerprint Missing	Arrest/Cite	Filed Charges	Disposition	Court	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Theft 2nd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Nome Police Department		No FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		North Slope Borough Police Department	Barrow District Court	No FPC
Disorderly Conduct	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		North Slope Borough Police Department	Barrow District Court	No FPC
Resist/Interfere with Arrest	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Nome District Court	Nome District Court	No FPC
Assault 3rd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue Police Department	Kotzebue District Court	No FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		North Slope Borough Police Department	Barrow District Court	Charge omitted from FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		North Slope Borough Police Department	Barrow District Court	Charge omitted from FPC
Disorderly Conduct	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		North Slope Borough Police Department	Barrow District Court	Charge omitted from FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue Police Department	Kotzebue District Court	Charge omitted from FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue AST	Kotzebue District Court	No FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Nome Police Department		No FPC
Driving while License Canc/Susp/Revoked/Lim	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Barrow District Court	Barrow District Court	No FPC

## MISSING FINGERPRINT RECORDS

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Disposition	Court	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Indecent Exposure 2nd Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue Police Department	Kotzebue District Court	Charge omitted from FPC
Misconduct Involving Controlled Substance 6th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue Police Department	Kotzebue District Court	Charge omitted from FPC
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Barrow District Court	Barrow District Court	No FPC
Contributing to the Delinquency of a Minor	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Kotzebue District Court	Kotzebue District Court	No FPC
Driving without Valid Operator License	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Barrow District Court	Barrow District Court	No FPC

## **FICTIONAL FINGERPRINT RECORDS**

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# MISSING REPORTS OF PROSECUTOR DECLINATIONS

Criminal Charge

Decline  
Missing

Prosecution Agency

Comment

Criminal Charge	Decline Missing	Prosecution Agency	Comment
Assault 4th Degree	<input checked="" type="checkbox"/>	Nome District Attorney	Prosecutor did not send declination to the repository

## MISSING REPORTS OF COURT DISPOSITIONS

Criminal Charge	Court Dispo Missing	Dismissal	Conviction	Court	Comment
Criminal Mischief 4th Degree	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Nome District Court	Court did not send the disposition to the repository
Attempted Burglary 1st Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Kotzebue Superior Court	Court did not send the disposition to the repository



## MISSING CHARGES IN APSIN

Criminal Charge	Missing FP	Decline	Missing Disposition	Missing Court	ActiveCase	Explanation of Why Charge is Missing in APSIN
Assault 4th Degree	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Arrest/citation but no FPC; Prosecutor did not send report to repository;

## **FICTIONAL CHARGES IN APSIN**

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# DATA QUALITY

**#= FOR REPORTS RECEIVED/ENTERED:** number of times a data element was required

**CMP= FOR REPORTS RECEIVED/ENTERED:** percentage of times a required data element was reported/entered

**ACC= FOR DATA PROVIDED,** percentage that was accurate (missing data is not scored for accuracy)

	ARREST REPORT			FP CARD			PROSECUTOR DECLINE			COURT FILING			COURT DISPO			DISPO CHANGE			APSIN		
	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC
Total Data Elements	0			286	98%	91%	0			0			830	95%	91%	0			1262	94%	94%
ATN#	0			52	88%	85%	0			0			87	97%	85%	0			92	98%	98%
Arrest Statute	0			52	100%	79%	0												92	98%	98%
Arrest Literal	0			52	100%	94%	0												92	98%	98%
ID of Arr/Cit Agency	0			52	100%	92%	0												92	98%	97%
Date Arr/Cit	0			52	100%	98%													92	98%	97%
Date of Offense																			92	92%	91%
ID of FP agency				26	100%	100%															
Decline Prosecution							0												0		
ID of decline agency							0												0		
Date declined							0												0		
APSIN ID number										0			87	95%	83%						
Ct Case #										0			87	100%	100%	0			87	91%	91%
Disposition Statute													87	79%	74%				39	95%	95%
Disposition Literal													87	78%	78%				38	95%	95%
ID of Ct Dispo Agcy													87	100%	100%				87	91%	91%
Date of Ct Dispo													87	100%	100%				87	91%	91%
Ct Dispo													87	100%	100%				87	91%	91%
POS ID Flag																			60	100%	100%
Fine Imposed													5	100%	100%	0			6	83%	83%
Fne Suspended													1	100%	100%	0			1	100%	100%
Jail Imposed													36	100%	100%	0			36	94%	94%
Jail Suspended													29	100%	100%	0			29	93%	93%
Commitment													0			0			0		
Probation													31	100%	100%	0			31	94%	94%
Defer Prosecution													0			0			0		
SIS (set aside)													0			0			0		
Alcohol Screen													2	100%	100%	0			2	50%	50%
Restitution													2	100%	100%	0			2	50%	50%
Lic Susp/Rev													7	100%	100%	0			7	71%	71%
Other (CWS)													6	100%	100%	0			6	33%	33%
Felony Flag - Dispo																			2	100%	100%
SOR Flag - Dispo																0			0		
DV Flag - Dispo																15	93%	93%	0		

## SCORING GUIDELINES

SCORING GUIDELINES	FP CARD #	PROSECUTOR DECLINE #	COURT FILING #	COURT DISPO #	DISPO CHANGE #	APSIN #	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
Required Data Element							
Arrest Tracking Number (ATN)	x	x		x	x	x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different than ATN on CCID accuracy = NO (white box)
Charge Number							not scored at this time
Offense Code - Arr/Cit	x	x		x	x	x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different than CCID, accuracy = NO (white box); (unless obvious problem w/CCID then check arrest report/citation.
ID of Arr/Cit Agency	x					x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different than CCID, accuracy = NO (white box); (unless obvious problem w/CCID then check arrest report/citation.
Date Arr/Cit	x					x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box); (unless obvious problem w/CCID then check arrest report/citation. APSIN requires a 'date of arrest' entry; if date of arrest is unknown the date of violation is entered and is scored as complete = YES (checked box); accurate = NO (white box) (systematic error)
Date of Offense							not scored; regulations require date of offense on FPC, if known
ID of FP agency	x						if FP agency = arrest agency score under 'id arrest agency'; if FP agency and arrest agency are different, id FP agency must be scored; ori agency is FP agency. If missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different that ori score 0 on accuracy
FP Signature	x						if missing on paper FPC completeness = NO (white box); accurate = NOT SCORED (gray box)
Decline Prosecution		x				x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box)
ID of decline agency		x				x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box)
Date declined		x				x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box)
Off Code - Filing							not scored at this time
ID of Ct - Chg Filed							not scored at this time
Date Chg Filed							not scored at this time
Court Case #				x		x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Date CtOrd FP	x						scored if court ordered fingerprints
ID of CtOrd FP	x						scored if court ordered fingerprints
Court APSIN Id				x			scored on judgment only if provided by prosecutor on charging document.

## SCORING GUIDELINES

SCORING GUIDELINES	FP CARD #	PROSECUTOR DECLINE #	COURT FILING #	COURT DISPO #	DISPO CHANGE #	APSIN #	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
Required Data Element							
Off Code - Dispo				x		x	For this data field, two data elements are scored: the literal description of the offense and the statute citation for that offense are both required. If one element is reported (on judgment or entered in APSIN) score "complete" as 1; if second element is missing or inaccurate, score "accuracy" as 0. If both elements are missing score completeness and accuracy as N/N.
ID of Ct Dispo Agcy				x		x	from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Date of Ct Dispo				x		x	from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Ct Dispo				x		x	from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
POS ID (FP) Flag						x	score all charges in APSIN; systematic entry; if FP on file flag = yes; if no FP on file flag = NOT SCORED (gray box);
Fine				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Jail				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Probation				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
SIS (set aside)				x		x	score only if on court documents; if missing in APIs completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Alcohol Screening				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Restitution				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
License Susp/Rev				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
CWS				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Felony/Misd Status				x		x	for disposed charges in APSIN; systematic entry; if blank or not set = NO (white box) for completeness and accuracy = NOT SCORED (gray box)OT SCORED (gray box); Level of severity is based on the UOCT. If the felony indicator = Y in APSIN and UOCT has felony determination accuracy = YES (checked box).

## SCORING GUIDELINES

SCORING GUIDELINES	FP CARD #	PROSECUTOR DECLINE #	COURT FILING #	COURT DISPO #	DISPO CHANGE #	APSIN #	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
Required Data Element							
Sex Ofndr Regis Flag				x		x	score only charges specified SOR by court; If missing in APSIN, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than judgment, accuracy = NO (white box)
DV Flag - Dispo				x		x	score only charges specified DV by court; If missing in APSIN, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than judgment, accuracy = NO (white box)
Disposition Change					x	x	from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
ID of Dispo Chg Agcy					x	x	from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Date of Dispo Change					x	x	from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
App.Case #					x	x	from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Collateral Arrest Dt							
Collateral Offense Code							
Collateral Ct Case #							