ALASKA CRIMINAL RECORDS AUDIT Reporting Compliance & Data Quality

Criminal Cases Referred for Prosecution in January 2016

What is the probability of finding complete, accurate information about a charge initiated during the audit period?

Second Judicial District

...on a Fingerprint Card 36.0%

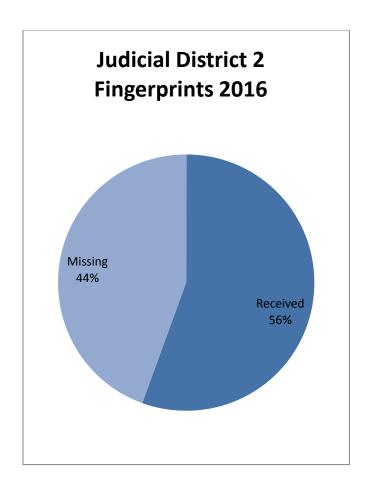
...in a Disposition Report 89.0%

prosecutor declination 100.0%

court judgment/order 89.0%

...in APSIN 90.0%

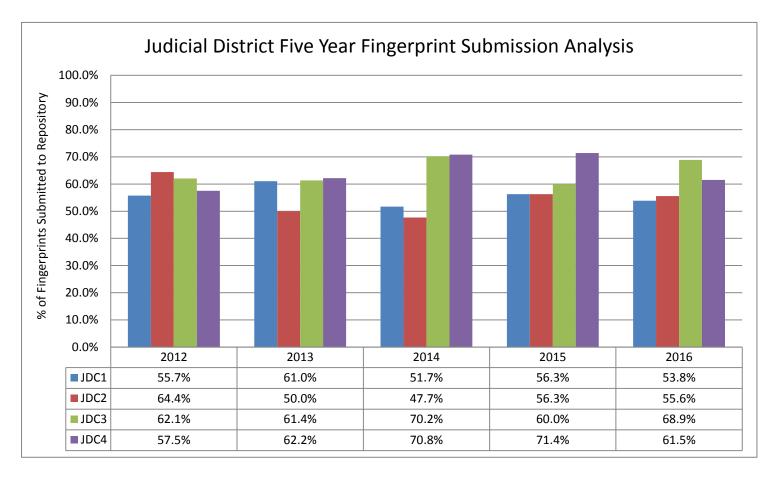
State of Alaska
Department of Public Safety, Division of Statewide Services
Criminal Records and Identification Bureau
July 3, 2017



The graphs on this page were made utilizing the fingerprint submission data from the past five years of the Alaska Criminal Records Audit/Repository Audits. These graphs depict the difference between the total numbers of fingerprint cards that *should have been submitted to the Repository* versus the number that was *actually received by the Repository*.

The information on these charts is not generally captured in the repository audits. The importance of fingerprint-supported arrest information cannot be understated. The Federal Bureau of Investigation does not accept criminal history information without an accompanying fingerprint card submission.

The chart below lists the past five year submission rates for each of the four Judicial Districts in the state of Alaska. The chart to the left is specifically for **Judicial District 2.** The percentage rate statewide for fingerprint submission is approximately 60%; 100% fingerprint submission is required.



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EXECUTIVE SUMMARY

Criminal Cases Referred for Prosecution January 2016 Second Judicial District

Purpose

The purpose of the audit is to evaluate the effectiveness of procedures for compiling criminal history records from various sources. This audit attempts to answer this question:

What is the probability of finding complete, accurate information at the state's central repository about a charge initiated in this Judicial District during this audit period?

Methodology

Population: 178 Criminal Case Intake and Disposition forms

Sample: 63 Criminal Case Intake and Disposition forms

Based on the sample selection criteria, the auditor is 95% certain (confidence level) that the error rates found in the records sampled will be within for the entire population. 95% certain (confidence level) that the 10% (precision factor) of the error rates for the entire population.

The auditor calculates the probability of finding complete, accurate information about a charge from these sources:

FINGERPRINT CARDS, required to be submitted to the state central repository for all criminal charges (AS 12.80.060)

DISPOSITION REPORTS, required from the prosecutor or court that disposed of a charge (AS 12.62.120);

APSIN (the Alaska Public Safety Information Network) is the computerized criminal history record system. Information about a charge in APSIN may be entered directly by the arresting agency. It may be entered by repository staff based on fingerprint cards and disposition reports, as well as other research. Increasingly, it may be updated automatically by a contributing agency's electronic interface.

Findings

To calculate the overall probability of finding complete, accurate information about a charge, the auditor determined, for each information source, the percentage of information that was (a) recorded, (b) not fictional, (c) complete, and (d) accurate. The auditor's computations are shown on the following page:

What is the probability that the charge is (a) RECORDED?

	Actual (Required)		<u>N</u>	lissing	(a) RECORDED		
Charges on Fingerprint Cards:	130	100.0%	74	56.9%	56	43.1%	
Charges in Disposition Reports:	113	100.0%	2	1.8%	111	98.2%	
Prosecutor (Declines)	0		0		0	100.0%	
Court (Judgments/Orders)	113		2	1.8%	111	98.2%	
None (confirmed active)	24		12	50.0%	12	50.0%	
Charges in APSIN:	137	100.0%	13	9.5%	124	90.5%	

EXECUTIVE SUMMARY

What is the probability that the recorded charge is (b) NOT FICTIONAL*?

	Actual (Required)		<u>Fiction</u>	onal*	(b) NOT FICTIONAL		
Charges on Fingerprint Cards:	130	100.0%	0	0.0%	130	100.0%	
Charges in Disposition Reports:	113	100.0%	0	0.0%	113	100.0%	
Prosecutor (Declines)	0		0		0	100.0%	
Court (Judgments/Orders)	113		0	0.0%	113	100.0%	
None (confirmed active)	24		0	0.0%	24	100.0%	
Charges in APSIN:	137	100.0%	0	0.0%	137	100.0%	

If the charge is recorded, what is the probability it is (c) COMPLETE (not missing data)?

	Actual Data		Missi	ng Data	(c) COMPLETE		
Data on Fingerprint Cards:	336	100.0%	25	7.4%	311	92.6%	
Data in Disposition Reports:	1022	100.0%	97	9.5%	925	90.5%	
Prosecutor (Declines)	0		0		0	100.0%	
Court (Judgments/Orders)	1022		97	9.5%	925	90.5%	
None (confirmed active)**	0		0		0	n/a	
Data in APSIN:	1734	100.0%	2	0.1%	1732	99.9%	

If the data is complete, what is the probability that it is (d) ACCURATE?

	Actual Data		<u>Data</u>	<u>Errors</u>	(d) ACCURATE		
Data on Fingerprint Cards:	311	100.0%	31	10.0%	280	90.0%	
Data in Disposition Reports:	925	100.0%	1	0.1%	924	99.9%	
Prosecutor (Declines)	0		0		0	100.0%	
Court (Judgments/Orders)	925		1	0.1%	924	99.9%	
None (confirmed active)**	0		0		0	n/a	
Data in APSIN:	1732	100.0%	5	0.0%	1727	99.7%	

^{*}A "fictional" charge is one that should not be reported to the repository or entered into APSIN; fictional charges are often caused by duplicate reporting or entry of charges, or reporting noncriminal violations as criminal charges. **Data elements are not scored for active cases; missing or inaccurate errors are calculated in the Data in APSIN quality score.

Completeness scores (missing data) in APSIN include information that was reported to the repository but was incomplete and reports that were submitted to the repository but not entered in a timely manner.

Conclusion: The overall probability of finding complete, accurate information is calculated as follows: (a) % recorded X (b) % not fictional X (c) % data complete X (d) % data accurate

Charges on Fingerprint Cards:	(a)	43%	X (b)	100%	X (c)	93%	X (d)	90%	36%
Charges in Disposition Reports:	(a)	98%	X (b)	100%	X (c)	91%	X (d)	100%	89%
Prosecutor (Declines)	(a)	100%	X (b)	100%	X (c)	100%	X (d)	100%	100%
Court (Judgments/Orders)	(a)	98%	X (b)	100%	X (c)	91%	X (d)	100%	89%
Charges in APSIN:	(a)	91%	X (b)	100%	X (c)	100%	X (d)	100%	90%

The remainder of the report provides details about the audit methodology and procedures, identifies the specific charges that were found to be missing or fictional, and shows completeness and accuracy rates for specific data elements for each information source.

AUDIT PURPOSE AND SCOPE

Purpose: The purpose of this audit is to evaluate the quality of criminal history data submitted to the state central repository and maintained in APSIN.

Mandate: AS 12.62.120 requires criminal justice agencies to report certain events to the Department of Public Safety (DPS) at the time, in the manner, and in the form specified by the department. These reporting requirements are defined in 13 AAC 68, Article 2. AS 12.62.150 requires DPS to conduct periodic audits to ensure compliance with 12.62.

Objectives: The primary objective is to assess, with statistically supported accuracy, the completeness, timeliness and accuracy of data submitted to the repository and entered into APSIN. A second objective is to determine what, if any, systematic errors are contributing to incomplete, late, or inaccurate records. A third objective is to identify the effects of recent projects, automation, or other programmatic or policy changes that have improved record quality.

Scope: The audit sample is drawn from criminal cases referred for prosecution during January of 2016. This allows the auditor to measure data quality for "reportable" events, to compare changes from year to year, and complete the audit within available resources.

"Reportable Events" - An arrest charge must be reported to the repository even if it is declined for prosecution. A criminal charge that does not involve an arrest must be reported only if it is accepted for prosecution. Noncriminal infractions and juvenile (not treated as adult) charges referred to a prosecutor do not have to be reported. By reviewing all cases referred for prosecution, the audit identifies not only the percentage of reportable events submitted to the repository, but also identifies any non-reportable events that were incorrectly submitted to the repository, or entered into APSIN. The events required to be reported to the repository, the contents of each report, and the timelines for reporting the information are defined in AS 12.62.120 and 13 AAC 68 Article 2, including:

Arrest/citation

Fingerprinting

Booking (photo)

Decision not to commence criminal proceedings (for charge resulting from arrest)

Filing of a charging document

Court disposition

Disposition change (court, appellate court, parole board)

In addition to measuring compliance with the reporting of events, the auditor measures the data quality of APSIN records based on events required to be reported. For example, if the audit sample contained 100 charges that were referred for prosecution the auditor would measure how many of those charges were correctly entered into APSIN. The auditor would also measure how many charges were incorrectly entered into APSIN (referred to as "fictional" or "duplicate" charges). For example, if any of the 100 charges involved a juvenile (not treated as adult), a noncriminal infraction, or a charge that did not stem from arrest and was not accepted for prosecution, the auditor confirms that those charges were correctly *omitted* from APSIN.

Jurisdiction – The audit consists of separate record samples and findings for the **Second Judicial District**. The audit does not attempt to measure reporting compliance for individual agencies within a judicial district. Instead, it evaluates reporting compliance and APSIN record quality based on the integrated, system wide efforts of all agencies within the judicial district and repository staff.

AUDIT PURPOSE AND SCOPE

Audit Period — DPS has limited the scope of the audit to a one month period to match the level of audit resources available. To enable year-to-year comparison, prosecutor referrals for the same month (January) will be sampled each year. The auditor reviews reports related to these January referrals which are submitted to APSIN by a predetermined cut-off date (September 30). This cutoff date was selected to make audit findings comparable no matter what time of year an audit is completed. For example, if the auditor completes the review of January cases from the First Judicial District in August, then completes the review of January cases for the Second Judicial District in October, the findings are not comparable unless a fixed cutoff date is used.

Limiting the audit to this "snapshot" period means that certain events and records are less likely to be audited, however. For example, serious felony cases are less likely than misdemeanors to have reached final disposition within the 9-month snapshot period. Events such as changing of dispositions on appeal and granting of executive clemency are less likely to occur within the snapshot period. This means that the audit findings are more statistically significant for the initial events (reporting of arrests, fingerprinting, and prosecutor declinations). The audit findings are not necessarily statistically significant for subsequent events, such as court dispositions or disposition changes.

AUDIT PROCEDURES

STEP 1. IDENTIFY POPULATION

Judicial District: Second Judicial District

Population: Criminal cases referred for prosecution/screening

Audit Period: January 2016

STEP 2. CALCULATE SAMPLE SIZE

Population Size: Criminal Case Intake and Dispo (CCID forms) referred to:

Department of Law - Kotzebue 99
Department of Law - Nome 72
JD2 - Special Prosecutions 7

TOTAL 178

Confidence Level: The desired degree of confidence that the sample selected will **95%**

be representative of the general population; i.e., that the

population has the same error rate as the sample.

Degree of Variability: How similar the population records are - this can be based on 50%

error rates found in prior audits, or it can be most

conservatively estimated at 50% (e.g., there is a 50/50 chance

that a record will be error-free or totally erroneous)

Precision Factor: Enter the range (plus/minus) between the error rate to be 10%

found in this audit sample and that in the population

Sample Size: Criminal Case Intake and Dispo (CCID forms)

TOTAL 63

STEP 3. IDENTIFY REPORTING REQUIREMENTS/DATA SOURCE

See Reporting Requirements (Page 7) for the list of agencies providing data for this audit.

AUDIT PROCEDURES

STEP 4. LOCATE/COPY SOURCE DOCUMENTS

Arrest Report Obtain from arrest agency if needed to verify CCID of FP card data.

Fingerprint Card Obtain from repository files.

Criminal Case Intake Dispo Form Obtain from prosecutor.

Filing Document Obtain from court (Not applicable for this audit).

Court Disposition Obtain from repository files (image archive).

Dispo Change Obtain from repository files (image archive).

Booking Photo Check digital image archive (mugshot) if on file

APSIN Rap Sheet Obtain APSIN criminal history for each record.

APSIN Entry Data Log Obtain APSIN "dat-of-entry" logs for each record.

STEP 5. COLLECT/SCORE DATA

THE C. COLLEGING CORE BANK		
Enter the SAMPLE SIZE (the number of RECORDS audited). RECORD means a single arrest/charging incident referred for prosecution/screening.	63	
Enter the number of CHARGES (individual criminal offense, also referred to as a "count").	137	
Enter the number of FICTIONAL CHARGES IN APSIN (a nonexistent charge, e.g., entries caused by a duplicate report of an actual charge or erroneous report showing a noncriminal violation as a criminal charge, etc.).	0	
Enter the number of MISSING CHARGES IN APSIN (a charge that should have been reported/posted in APSIN as part of an arrest event/charging incident, but was omitted).	13	
Enter the number of COLLATERAL OFFENSES (violation of a court or parole condition involving an audited RECORD).		
Enter the number of DATA ELEMENTS (discrete field of information) for all CHARGES and COLLATERALS in all RECORDS.	3092	

REPORTING REQUIREMENTS

EVENT	AGENCY RESPONSIBLE	TIME LIMIT	13 AAC 68.160 WAIVER
Arrest/Citation			
13 AAC 68.115	Arrest agencies meet this requirement by entering arrest data directly into APSIN. Because other agencies, including the repository, may subsequently update/change that data, it is not possible to audit the timeliness or data quality of the arresting agency's original report.	1 wk	NO
	timeliness of data quality of the arresting agency's original report.	I WK	
Fingerprint Card 13 AAC 68.120	Department of Corrections - Fairbanks Correctional Department of Corrections - Yukon Kuskokwim Correctional Alaska State Troopers - Tok	1 wk 	NO NO NO
Decline Prosecution 13 AAC 68.130	Not applicable - no declines for prosecution in this audit	1 mo	N/A
Court Filing 13 AAC 68.135	Not applicable - courts do not report charge filing at this time	1 mo	N/A
Court Disposition	Superior Court - Nome	_ 1 mo	N/A
13 AAC 68.140	District Court - Kotzebue District Court - Nome		N/A N/A
	Magistrate Court - Unalakleet		N/A
Disposition Change 13 AAC 68.140-145	Not applicable - no disposition changes were reported in this audit	1 mo	N/A
Booking Photo 13 AAC 68.150	See above; same as fingerprint agencies	1 wk	NO.
APSIN (RAP SHEET) AS 12.62.150	Department of Public Safety Criminal Records Bureau	N/A	N/A

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Court Disposition	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Import Alcohol	~	✓	V	✓	Alaska State Troopers - Nome	Nome District Court	No FPC
Criminal Trespass 2nd Degree	~	✓	~	✓	Unalakleet Police Department	Unalakleet Magistrate Court	No FPC
Criminal Trespass 2nd Degree	~	✓	~	✓	Unalakleet Police Department	Unalakleet Magistrate Court	No FPC
Violate Condition of Release	~	V	~	~	Unalakleet Police Department	Unalakleet Magistrate Court	No FPC
Assault 4th Degree	~	✓	V	V	Alaska State Troopers - Kotzebue	Kotzebue District Court	No FPC
Assault 4th Degree	~	✓	V	✓	Alaska State Troopers - Kotzebue	Kotzebue District Court	No FPC
Assault 4th Degree	~	V	V	V	Unalakleet Magistrate Court	Unalakleet Magistrate Court	No FPC
Interfere with Report of DV Crime	V	V	V	V	Nome Police Department	Nome District Court	Charge omitted from FPC
Alcohol - Minor Possess/Consume- Habitual	✓	~	✓	✓	Nome Police Department	Nome District Court	Charge omitted from FPC
Driving Under the Influence	V	~	V	V	Nome Police Department	Nome District Court	No FPC
Drive without Valid Operator License	V	~	V	V	Nome Police Department	Nome District Court	No FPC

Court Disposition Missing Charges Filed Fingerprint Arrest/Cite ID of Arr/Cit Agency **Criminal Charge** Court / Prosecutor Comment Sexual Abuse of a Minor North Slope Borough No FPC 2nd Degree Police Department > **~ ✓** Violate Condition of Nome Police Nome District Court Charge omitted from FPC Release Department > > **~ Kotzebue Police** Robbery 1st Degree Charge omitted from FPC Department > > **~** Tamper with Physical Charge omitted from FPC **Kotzebue Police** Evidence Department > **> ~** Vehicle Theft 1st Degree Kotzebue Police Charge omitted from FPC Department **> > ~** Fraudulent Use of an Charge omitted from FPC Kotzebue Police **Access Device** Department **> > >** Murder 2nd Degree Kotzebue Police Charge omitted from FPC Department **\ > ~** Charge omitted from FPC Robbery 1st Degree Kotzebue Police Department > > > Tamper with Physical Charge omitted from FPC Kotzebue Police Evidence Department **~ > ~** Vehicle Theft 1st Degree Charge omitted from FPC Kotzebue Police Department > > **>** > Violate Condition of Alaska State Nome District Court No FPC Release Trooper - Nome **\ >** Import Alcohol - Dry Area Alaska State Kotzebue Superior No FPC Troopers - Kotzebue Court

Court Disposition Missing Charges Filed Fingerprint Arrest/Cite **Criminal Charge** ID of Arr/Cit Agency Court / Prosecutor Comment Charge omitted from FPC Nome Police Nome Superior Court Violate Condition of Release Department > **~ ✓** Violate Condition of Nome Police **Nome Superior Court** Charge omitted from FPC Department Release > > **~ ** Violate Condition of North Slope Borough **Kotzebue District Court** No FPC Police Department Release > **~ \ Kotzebue Police** Furnish Alcohol to Person Kotzebue District Court No FPC <21 Department > **✓ ✓ ** Misconduct Involving Kotzebue Police Kotzebue District Court No FPC Controlled Substance 5th Department Degree **~** > **>** > Misconduct Involving Kotzebue Police Kotzebue District Court No FPC Controlled Substance 5th Department Degree **> V ** > Misconduct Involving Kotzebue District Court No FPC Kotzebue Police Controlled Substance 5th Department Degree **> V** > **>** No FPC Criminal Trespass 2nd Kotzebue Police **Kotzebue District Court** Degree Department **> > V** Import Alcohol Alaska State No FPC Troopers - Nome > > > **V** Assault 4th Degree Alaska State Unalakleet Magistrate No FPC Troopers - Nome Court **>** > **~** Import Alcohol Alaska State No FPC Troopers - Nome

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Court Disposition	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Criminal Mischief 4th Degree	✓	✓	~	✓	Alaska State Troopers - Unalaklett	Unalakleet Magistrate Court	Charge omitted from FPC
Violate Condition of Release	V	✓	✓	~	Alaska State Troopers - Unalakleet	Unalakleet Magistrate Court	Charge omitted from FPC
Burglary 1st Degree	✓	V	✓	✓	Kotzebue Police Department	Kotzebue District Court	Charge omitted from FPC
Criminal Trespass 1st Degree	✓	✓	✓	V	Kotzebue Police Department	Kotzebue District Court	Charge omitted from FPC
Driving Under the Influence	✓	✓	✓	V	Kotzebue Police Department	Kotzebue District Court	No FPC
Fail to Stop at Direction of Officer - Reckless Driving	✓	✓	✓	✓	Kotzebue Police Department	Kotzebue District Court	No FPC
Reckless Driving	✓	✓	~	✓	Kotzebue Police Department	Kotzebue District Court	No FPC
Reckless Endangerment	V	✓	~	V	Kotzebue Police Department	Kotzebue District Court	No FPC
Reckless Endangerment	✓	✓	~	V	Kotzebue Police Department	Kotzebue District Court	No FPC
Reckless Endangerment	✓	V	✓	V	Kotzebue Police Department	Kotzebue District Court	No FPC
Reckless Endangerment	V	✓	✓	✓	Kotzebue Police Department	Kotzebue District Court	No FPC
Assault 4th Degree	V	✓	V	V	Kotzebue Police Department	Kotzebue District Court	No FPC

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Court Disposition	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Assault 4th Degree	V	~	V	V	Kotzebue Police Department	Kotzebue District Court	No FPC
Criminal Trespass 1st Degree	~	✓	✓	✓	Kotzebue Police Department	Kotzebue District Court	No FPC
Assault 4th Degree	~	✓	✓	✓	Alaska State Troopers - Nome	Nome District Court	Charge omitted from FPC
Interfere with Report of DV Crime	V	✓	✓	✓	Alaska State Troopers - Nome	Nome District Court	Charge omitted from FPC
Assault 4th Degree	V	✓	✓	V	Kotzebue Police Department	Kotzebue District Court	No FPC
Assault 4th Degree	✓	~	✓	V	Kotzebue Police Department	Kotzebue District Court	No FPC
Misconduct Involving Weapons 4th Degree	~	~	~	✓	Alaska State Troopers - Kotzebue	Kotzebue District Court	No FPC
Endanger Welfare of a Minor 1st Degree	V	~	~	✓	Alaska State Troopers - Kotzebue	Kotzebue District Court	Charge omitted from FPC
Violate Condition of Release	~	✓	~	✓	Nome Police Department	Nome District Court	No FPC
Assault 4th Degree	V	✓	~	V	Alaska State Troopers - Unalakleet	Unalakleet Magistrate Court	No FPC
Resist/Interfere Arrest	V	✓	~	V	Alaska State Troopers - Unalakleet	Unalakleet Magistrate Court	No FPC
Violate Condition of Release	V	✓	V	V	Alaska State Troopers - Unalakleet	Unalakleet Magistrate Court	No FPC

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Court Disposition	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Violate Condition of Release	Y	~	V	V	Alaska State Troopers - Unalakleet	Unalakleet Magistrate Court	No FPC
Violate Condition of Release	V	~	V	V	Alaska State Troopers - Unalakleet	Unalakleet Magistrate Court	No FPC
Assault 3rd Degree	✓	~	~	~	Alaska State Troopers - Kotzebue	Kotzebue District Court	No FPC
Assault 4th Degree	V	✓	✓	V	Alaska State Troopers - Kotzebue	Kotzebue District Court	No FPC
Assault 4th Degree	V	✓	V	V	Alaska State Troopers - Kotzebue	Kotzebue District Court	No FPC
Driving Under the Influence	✓	V	\	\	Kotzebue ASTAlaska State Troopers - Kotzebue	Kotzebue District Court	No FPC
Reckless Driving	V	✓	V	V	Alaska State Troopers - Kotzebue	Kotzebue District Court	No FPC
Reckless Endangerment	V	V	V	V	Kotzebue ASTAlaska State Troopers - Kotzebue	Kotzebue District Court	No FPC
Disorderly Conduct	V	V	V	V	Alaska State Troopers - Kotzebue	Kotzebue District Court	No FPC
Resist/Interfere with Arrest	V	V	V	V	Alaska State Troopers - Kotzebue	Kotzebue District Court	No FPC
Resist/Interfere with Arrest	V	V	V	V	Alaska State Troopers - Kotzebue	Kotzebue District Court	No FPC

FICTIONAL FINGERPRINT RECORDS

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MISSING REPORTS OF PROSECUTOR DECLINATIONS

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MISSING REPORTS OF COURT DISPOSITIONS

Criminal Charge	Court Dispo Missing	Dismissal	Conviction	Court	Comment
Criminal Trespass 1st Degree	V	✓			Court did not send the disposition to the repository
Assault 4th Degree	✓			Kotzebue District Court	Court did not send the disposition to the repository

MISSING CHARGES IN APSIN

	Missing	Missing Decline	Disposition	Missing Court	ActiveCase	
Criminal Charge	FP		on		ISE	Explanation of Why Charge is Missing in APSIN
Vehicle Theft 1st Degree	✓				✓	Active Case; charge omitted from fp card;
Fraudulent Use of an Access Device	Y				✓	Active Case; charge omitted from fp card;
Murder 1st Degree	✓				✓	Active Case; charge omitted from fp card;
Vehicle Theft 1st Degree	✓				V	Active Case; charge omitted from fp card;
Murder 1st Degree	✓				V	Charge reported on FPC but not entered in APSIN;
Import Alcohol - Dry Area	✓				V	No arrest/citation; active case;
Assault 2nd Degree	✓				V	Charge added/not entered into APSIN before audit cut off date;
Assault 3rd Degree	✓				~	Charge added/not entered into APSIN before audit cut off date;
Assault 1st Degree	✓				~	Charge added/not entered into APSIN before audit cut off date;
Coercion	✓				V	Charge added/not entered into APSIN before audit cut off date;
Coercion	✓				V	Charge added/not entered into APSIN before audit cut off date;
Assault 3rd Degree	✓				~	Charge added/not entered into APSIN before audit cut off date;
Violate Condition of Release	V					Charge omitted from fp card;

FICTIONAL CHARGES IN APSIN

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DATA QUALITY

#= FOR REPORTS RECEIVED/ENTERED: number of times a data element was required

CMP= FOR REPORTS RECEIVED/ENTERED: percentage of times a required data element was reported/entered

ACC= FOR DATA PROVIDED, percentage that was accurate (missing data is not scored for accuracy)

		ARREST REPORT		FP CARD			PROSECUTOR DECLINE			COURT FILING			COURT DISPO			DISPO CHANGE			APSIN		
	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC
Total Data Elements	0			336	93%	83%	0			0			1022	91%	90%	0			1734	100%	100%
ATN#	0			56	55%	54%	0			0			111	100%	100%	0			124	100%	100%
Arrest Statute	0			56	100%	84%	0												124	100%	100%
Arrest Literal	0			56	100%	96%	0												124	100%	100%
ID of Arr/Cit Agency	0			56	100%	93%	0												124	100%	98%
Date Arr/Cit	0			56	100%	73%													124	100%	99%
Date of Offense																			124	100%	99%
ID of FP agency				56	100%	100%														<u> </u>	
Decline Prosecution							0												0		
ID of decline agency							0												0	<u> </u>	
Date declined							0												0		
APSIN ID number										0			111	100%	99%						
Ct Case #										0			111	100%	100%	0			122	100%	100%
Disposition Statute													111	56%	56%				108	100%	100%
Disposition Literal													111	57%	57%				108	100%	100%
ID of Ct Dispo Agcy													111	100%	100%				108	100%	100%
Date of Ct Dispo													111	100%	100%				108	100%	100%
Ct Dispo													111	100%	100%				108	100%	100%
POS ID Flag																			70	100%	100%
Fine Imposed													8	100%	100%	0			8	100%	100%
Fine Suspended													3	100%	100%	0			3	100%	100%
Jail Imposed													35	100%	100%	0			35	100%	100%
Jail Suspended													28	100%	100%	0			28	100%	100%
Commitment													0			0			0		
Probation													28	100%	100%	0			28	100%	100%
Defer Prosecution													0			0			0		
SIS (set aside)													0			0			0		
Alcohol Screen													6	100%	100%	0			6	83%	83%
Restitution													6	100%	100%	0			6	100%	100%
Lic Susp/Rev													6	100%	100%	0			6	100%	100%
Other (CWS)													1	100%	100%	0			1	100%	100%
Felony Flag - Dispo																			0	<u> </u>	
SOR Flag - Dispo													0			0			0	<u> </u>	
DV Flag - Dispo													13	100%	100%	0			13	92%	92%

SCORING GUIDELINES

SCORING GUIDELINES	FP CARD	PROSECUTOR DECLINE	COURT FILING			APSIN	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
	#	#	#	#	#	#	
Required Data Element							
, .							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
Arrest Tracking Number							provided, completeness = YES (checked box) and, if different than ATN on CCID accuracy =
(ATN)	Х	х		х	х		NO (white box)
Charge Number							not scored at this time
3							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
							provided, completeness = YES (checked box) and, if different than CCID, accuracy = NO
Offense Code - Arr/Cit	x	х		х	Х	х	(white box); (unless obvious problem w/CCID then check arrest report/citation.
							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
							provided, completeness = YES (checked box) and, if different than CCID, accuracy = NO
ID of Arr/Cit Agency	x					х	(white box); (unless obvious problem w/CCID then check arrest report/citation.
5							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
							provided, completeness = YES (checked box) and if different than CCID accuracy = NO
							(white box); (unless obvious problem w/CCID then check arrest report/citation. APSIN
							requires a 'date of arrest' entry; if date of arrest is unknown the date of violation is entered
							and is scored as complete = YES (checked box); accurate = NO (white box) (systematic
Date Arr/Cit	x					х	error)
Date of Offense							not scored; regulations require date of offense on FPC, if known
							if FP agency = arrest agency score under 'id arrest agency'; if FP agency and arrest agency
							are different, id FP agency must be scored; ori agency is FP agency. If missing,
							completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided,
ID of FP agency	x						completeness = YES (checked box) and, if different that ori score 0 on accuracy
,							if missing on paper FPC completeness = NO (white box); accurate = NOT SCORED (gray
FP Signature	x						box)
							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
							provided, completeness = YES (checked box) and if different than CCID accuracy = NO
Decline Prosecution		Х				х	(white box)
							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
							provided, completeness = YES (checked box) and if different than CCID accuracy = NO
ID of decline agency		Х				Х	(white box)
							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
							provided, completeness = YES (checked box) and if different than CCID accuracy = NO
Date declined		X				Х	(white box)
Off Code - Filing							not scored at this time
ID of Ct - Chg Filed							not scored at this time
Date Chg Filed							not scored at this time
							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
							provided, completeness = YES (checked box) and if different than court information accuracy
Court Case #				Х		Х	= NO (white box)
Date CtOrd FP	Х						scored if court ordered fingerprints
ID of CtOrd FP	Х						scored if court ordered fingerprints
Court APSIN Id				Х			scored on judgment only if provided by prosecutor on charging document.

SCORING GUIDELINES

SCORING GUIDELINES	FP CARD	PROSECUTOR DECLINE	COURT			APSIN	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
	#	#	#	#	#	#	·
Required Data Element							
Off Code - Dispo				x		x	For this data field, two data elements are scored: the literal description of the offense and the statute citation for that offense are both required. If one element is reported (on judgment or entered in APSIN) score "complete" as 1; if second element is missing or inaccurate, score "accuracy" as 0. If both elements are missing score completeness and accuracy as N/N.
Oil Code - Dispo				^		^	from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray
ID of Ct Dispo Agcy				х		х	box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Date of Ct Dispo				х		х	from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Ct Dispo				х		х	from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
POS ID (FP) Flag						v	score all charges in APSIN; systematic entry; if FP on file flag = yes; if no FP on file flag = NOT SCORED (gray box)o;
Fine				х		X	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Jail				x			score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Probation				x		х	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
SIS (set aside)				х		х	score only if on court documents; if missing in APIs completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Alcohol Screening				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Restitution				x		х	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
License Susp/Rev				x		х	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
cws				х			score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Falany/Mind Chahya							for disposed charges in APSIN; systematic entry; if blank or not set = NO (white box) for completeness and accuracy = NOT SCORED (gray box)OT SCORED (gray box); Level of severity is based on the UOCT. If the felony indicatory = Y in APSIN and UOCT has felony
Felony/Misd Status				Х		Х	determination accuracy = YES (checked box).

SCORING GUIDELINES

SCORING GUIDELINES	FP CARD	PROSECUTOR DECLINE	COURT FILING	COURT	DISPO CHANGE	APSIN	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
	#	#	#	#	#	#	
Required Data Element							
							score only charges specified SOR by court; If missing in APSIN, completeness = NO (white
							box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked
Sex Ofndr Regis Flag				Х		Х	box) and if different than judgment, accuracy = NO (white box)
							score only charges specified DV by court; If missing in APSIN, completeness = NO (white
							box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked
DV Flag - Dispo				Х		Х	box) and if different than judgment, accuracy = NO (white box)
							from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if
							provided, completeness = YES (checked box) and if different than court information accuracy
Disposition Change					х	Х	= NO (white box)
							from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if
							provided, completeness = YES (checked box) and if different than court information accuracy
ID of Dispo Chg Agcy					x	х	= NO (white box)
							from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if
							provided, completeness = YES (checked box) and if different than court information accuracy
Date of Dispo Change					х	х	= NO (white box)
							from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if
							provided, completeness = YES (checked box) and if different than court information accuracy
App.Case #					Х	х	= NO (white box)
Collateral Arrest Dt							
Collateral Offense Code							
Collateral Ct Case #							