

ALASKA CRIMINAL RECORDS AUDIT

Reporting Compliance & Data Quality

Criminal Cases Referred for Prosecution in January 2009

What is the probability of finding complete, accurate information about a charge initiated during the audit period (by Judicial District)?

3rd Judicial District

...on a Fingerprint Card	35.0%
...in a Disposition Report	89.0%
<i>prosecutor declination</i>	76.0%
<i>court judgment/order</i>	95.0%
...in APSIN	61.0%

EXECUTIVE SUMMARY

Criminal Cases Referred for Prosecution January 2009 THIRD JUDICIAL DISTRICT

Purpose

The purpose of the audit is to evaluate the effectiveness of procedures for compiling criminal history records from various sources. This audit attempts to answer this question: **What is the probability of finding complete, accurate information at the state's central repository about a charge initiated in this Judicial District during this audit period?**

Methodology

Population: 1,858 Criminal Case Intake and Disposition forms

Sample: 91 Criminal Case Intake and Disposition forms

Based on the sample selection criteria, the auditor is 95% certain (confidence level) that the error rates found in the records sampled will be within 10% (precision factor) of the error rates for the entire population.

The auditor calculates the probability of finding complete, accurate information about a charge from these sources:

FINGERPRINT CARDS, required to be submitted to the state central repository for all criminal charges (AS 12.80.060)

DISPOSITION REPORTS, required from the prosecutor or court that disposed of a charge (AS 12.62.120);

APSIN (the Alaska Public Safety Information Network) is the computerized criminal history record system. Information about a charge in APSIN may be entered directly by the arresting agency. It may be updated by repository staff based on fingerprint cards and disposition reports, as well as other research. Increasingly, it may be entered automatically from an arrest, fingerprint or disposing agency's information system through an electronic interface.

Findings

To calculate the overall probability of finding complete, accurate information about a charge, the auditor determined, for each information source, the percentage of information that was (a) recorded, (b) not fictional, (c) complete, and (d) accurate. The auditor's computations are shown on the following page:

EXECUTIVE SUMMARY

What is the probability that the charge is (a) RECORDED?

	Actual (Required)	Missing	(a) RECORDED
Charges on Fingerprint Cards	163 100%	-99 61%	64 39%
Charges in Disposition Reports	172 100%	-16 9%	156 91%
... Prosecutor (Declines)	11	-1 9%	10 91%
... Court (Judgments/Orders)	138	-6 4%	132 96%
... None (confirmed active)	23	-9 39%	14 61%
Charges in APSIN	172 100%	-52 30%	120 70%

What is the probability that the recorded charge is (b) NOT FICTIONAL*?

	Actual (Required)	Fictional	(b) NOT FICTIONAL
Charges on Fingerprint Cards	163 100%	0 0%	100%
Charges in Disposition Reports	172 100%	0 0%	100%
... Prosecutor (Declines)	11	0 0%	100%
... Court (Judgments/Orders)	138	0 0%	100%
... None (confirmed active)	23	0 0%	100%
Charges in APSIN	172 100%	1 1%	99%

If the charge is recorded, what is the probability it is (c) COMPLETE (not missing data)?

	Actual Data	Missing Data	(c) COMPLETE
Data on Fingerprint Cards	267 100%	-9 3%	258 97%
Data in Disposition Reports	1149 100%	-13 1%	1136 99%
... Prosecutor (Declines)	60	-10 17%	50 83%
... Court (Judgments/Orders)	1089	-3 0%	1086 100%
... None (confirmed active)	0	0	0 n/a
Data in APSIN	1147 100%	-144 13%	1003 87%

If the data is complete, what is the probability that it is (d) ACCURATE?

	Actual Data	Data Errors	(d) ACCURATE
Data on Fingerprint Cards	258 100%	18 7%	240 93%
Data in Disposition Reports	1136 100%	-10 -1%	1126 99%
... Prosecutor (Declines)	50	0 0%	50 100%
... Court (Judgments/Orders)	1086	-10 -1%	1076 99%
... None (confirmed active)	0	0	0 n/a
Data in APSIN	1003 100%	1 0%	1002 100%

*A "fictional" charge is one that should not be reported to the repository or entered into APSIN; fictional charges are often caused by duplicate reporting or entry of charges, or reporting noncriminal violations as criminal charges.

Completeness scores (missing data) in APSIN include information that was reported to the repository but was incomplete and reports that were submitted to the repository but not entered in a timely manner.

EXECUTIVE SUMMARY

Conclusion: The overall probability of finding complete, accurate information is calculated as follows: (a) % recorded X (b) % not fictional X (c) % data complete X (d) % data accurate

Charges on Fingerprint Cards (a) 39% X (b) 100% X (c) 97% X (d) 93% **35%**

Charges in Disposition Reports (a) 91% X (b) 100% X (c) 99% X (d) 99% **89%**

... *Prosecutor Declines* (a) 91% X (b) 100% X (c) 83% X (d) 100% **76%**

... *Court (Judgments/Orders)* (a) 96% X (b) 100% X (c) 100% X (d) 99% **95%**

Charges in APSIN (a) 70% X (b) 99% X (c) 87% X (d) 100% **61%**

The remainder of the report provides details about the audit methodology and procedures, identifies the specific charges that were found to be missing and fictional, and shows completeness and accuracy rates for specific data elements for each information source.

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THIRD JUDICIAL DISTRICT

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PART I. AUDIT PURPOSE AND SCOPE

Purpose: The purpose of this audit is to evaluate the quality of criminal history data submitted to the state central repository and maintained in APSIN.

Mandate: AS 12.62.120 requires criminal justice agencies to report certain events to DPS at the time, in the manner, and in the form specified by the department. These reporting requirements are defined in 13 AAC 68, Article 2. AS 12.62.150 requires DPS to conduct periodic audits to ensure compliance with 12.62.

Objectives: The primary objective is to assess, with statistically supported accuracy, the completeness, timeliness and accuracy of data submitted to the repository and entered into APSIN. A second objective is to determine what systematic errors are contributing to incomplete, late, or inaccurate records. A third objective is to identify the effects of recent projects, automation, or other programmatic or policy changes that have improved record quality.

Scope: The audit sample is drawn from criminal cases referred for prosecution during January of 2009. This allows the auditor to measure data quality for "reportable" events, to compare changes from year to year, and complete the audit within available resources.

"Reportable Events" - An arrest charge must be reported to the repository even if it is declined for prosecution. A criminal charge that does not involve an arrest must be reported only if it is prosecuted. Noncriminal infractions and juvenile charges referred to a prosecutor do not have to be reported. By reviewing all cases referred for prosecution, the audit identifies not only the percentage of *reportable events* submitted to the repository, but also identifies any *non-reportable* events that were incorrectly submitted to the repository, or entered into APSIN. The events required to be reported to the repository, the contents of each report, and the timelines for reporting the information are defined in AS 12.62.120 and 13 AAC 68 Article 2, including:

- Arrest / Citation
- Fingerprinting
- Booking (photo)
- Decision not to commence criminal proceedings (for charge resulting from arrest)
- Filing of a charging document
- Court disposition
- Disposition change (court, appellate court, parole board)

In addition to measuring compliance with the reporting of events, the auditor measures the data quality of APSIN records based on events required to be reported. For example, if the audit sample contained 100 charges that were referred for prosecution the auditor would measure how many of those charges were correctly entered into APSIN. The auditor would also measure how many charges were incorrectly entered into APSIN (referred to as "fictional" or "duplicate" charges). For example, if any of the 100 charges involved a juvenile, a noncriminal infraction, or a charge that did not stem from arrest and was not prosecuted, the auditor confirms that those charges were correctly *omitted* from APSIN.

Jurisdiction - The audit consists of separate record samples and findings for the **Third Judicial District**. The audit does not attempt to measure reporting compliance for individual agencies within a judicial district. Instead, it evaluates reporting compliance and APSIN record quality based on the integrated, system wide efforts of all agencies within the judicial district and repository staff.

PART I. AUDIT PURPOSE AND SCOPE

Audit Period - DPS has limited the scope of the audit to a one month period to match the level of audit resources available. To enable year-to-year comparison, prosecutor referrals for the same month (January) will be sampled each year. The auditor reviews reports related to these January referrals which are submitted to APSIN by a predetermined cut-off date (July 31). This cutoff date was selected to make audit findings comparable no matter what time of year an audit is completed. For example, if the auditor completes the review of January cases from the First Judicial District in August, then completes the review of January cases for the Second Judicial District in October, the findings are not comparable unless a fixed cutoff date is used.

Limiting the audit to this "snapshot" period means that certain events and records are less likely to be audited, however. For example, serious felony cases are less likely than misdemeanors to have reached final disposition within the 7-month snapshot period. Events such as changing of dispositions on appeal and granting of executive clemency are less likely to occur within the snapshot period. This means that the audit findings are more statistically significant for the initial events (reporting of arrests, fingerprinting, and prosecutor declinations). The audit findings are not necessarily statistically significant for subsequent events, such as court dispositions or disposition changes.

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PART II. AUDIT PROCEDURES

STEP 1. IDENTIFY POPULATION

Judicial District: 3rd Judicial District
Population: Criminal cases referred for prosecution/screening
Audit Period: January 2009

STEP 2. CALCULATE SAMPLE SIZE

Population Size: Criminal Case Intake and Dispo (CCID forms) referred to:

District Attorney office - Anchorage	19
District Attorney office - Dillingham	4
District Attorney office - Kenai	13
District Attorney office - Kodiak	2
District Attorney office - Palmer	10
City Attorney - Anchorage	43
TOTAL	91

Confidence Level: The desired degree of confidence that the sample selected will be representative of the general population; i.e., that the population has the same error rate as the sample. **95%**

Degree of Variability: How similar the population records are - this can be based on error rates found in prior audits, or it can be most conservatively estimated at 50% (e.g., there is a 50/50 chance that a record will be error-free or totally erroneous) **50%**

Precision Factor: Enter the range (plus/minus) between the error rate to be found in this audit sample and that in the population **+/- 10%**

Sample Size: Criminal Case Intake and Dispo (CCID forms) **TOTAL 91**

Based on the sample selection criteria, the auditor is **95%** certain (confidence level) that the error rates found in the records sampled will be within **10%** (precision factor) of the error rates for the entire population .

Random Selection: Sample records are randomly selected from the population.

PART II. AUDIT PROCEDURES

STEP 3. IDENTIFY REPORTING REQUIREMENTS/DATA SOURCES

EVENT	AGENCY RESPONSIBLE	TIME LIMIT	13 AAC 68.160 WAIVER
Arrest/Citation 13 AAC 68.115	Arrest agencies meet this requirement by entering arrest data directly into APSIN. Because other agencies, including the repository, may subsequently update/change that data, it is not possible to audit the timeliness or data quality of the arresting agency's original report.	1 wk	NO
Fingerprint Card 13 AAC 68.120	Department of Corrections - Kenai Anchor Point AST Anchorage Police Department Homer Police Department Kenai Police Department Kodiak Police Department Palmer Police Department Valdez Police Department	1 wk	NO NO NO NO NO NO NO
Decline Prosecution 13 AAC 68.130	District Attorney Anchorage Municipal Attorney Anchorage	1 mo	NO NO
Court Filing 13 AAC 68.135	not applicable - courts do not report charge filing at this time	1 mo	N/A
Court Disposition 13 AAC 68.140	Superior Court Anchorage Superior Court Palmer District Court Anchorage District Court Homer District Court Kenai District Court Kodiak District Court Palmer District Court Seward District Court Valdez Magistrate Dillingham Magistrate Unalaska	1 mo	N/A N/A N/A N/A N/A N/A N/A N/A N/A N/A N/A
Disposition Change 13 AAC 68.140-145	not applicable - no disposition changes were reported in this audit	1 mo	N/A
Booking Photo 13 AAC 68.150	(see above; same as fingerprint agencies)	1 wk	NO
APSIN (RAP SHEET) AS 12.62.150	DPS - R&I (Records and ID Bureau)	N/A	N/A

PART II. AUDIT PROCEDURES

STEP 4. LOCATE/COPY SOURCE DOCUMENTS

Arrest Report	Obtain from arrest agency <i>if needed</i> to verify CCID or FP card data.
Fingerprint Card	Obtain from repository files.
Criminal Case Intake/Dispo Form	Obtain from prosecutor.
Filing Document	Obtain from court. <i>(Not applicable for this audit).</i>
Court Disposition	Obtain from repository files (image archive).
Dispo Change	Obtain from repository files (image archive).
Booking Photo	Check repository files to confirm if on file.
APSIN Rap Sheet	Obtain APSIN criminal history for each record.
APSIN Entry Date Log	Obtain APSIN "date-of-entry" logs for each record.

STEP 5. COLLECT/SCORE DATA

Enter the SAMPLE SIZE (the number of RECORDS audited). RECORD means a single arrest/charging incident referred for prosecution/screening.	91
Enter the number of CHARGES (individual criminal offense, also referred to as a "count").	172
Enter the number of FICTIONAL CHARGES IN APSIN (a nonexistent charge, e.g., entries caused by a duplicate report of an actual charge or erroneous report showing a noncriminal violation as a criminal charge, etc.).	1
Enter the number of MISSING CHARGES IN APSIN (a charge that should have been reported/posted in APSIN as part of an arrest event/charging incident, but was omitted).	52
Enter the number of COLLATERAL OFFENSES (violation of a court or parole condition involving an audited RECORD).	0
Enter the number of DATA ELEMENTS (discrete field of information) for all CHARGES and COLLATERALS in all RECORDS.	2,563

PART III. REPORTING - DETAIL

Missing and Fictional Fingerprint Records

Detail on Missing FP Charges:

	Criminal Charge	FP Missing	Arrest/Cite Agency	Court	Comment
1	Assault 2	✓	Anchorage PD	Anchorage District	no FP; no arrest/cite; charges filed; active case
2	MICS 4th	✓	Anchorage PD	Anchorage District	no FP; no arrest/cite; charges filed; active case
3	Evidence Tampering	✓	Anchorage PD	Anchorage District	no FP; no arrest/cite; charges filed; active case
4	Assault 4th	✓	Dillingham AST	Dillingham Magistrate	no FP despite arrest/cite , charges filed; court disposition
5	Failure to Register	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite , charges filed; court disposition
6	Theft	✓	Anchorage PD	Anchorage District	no FP; no arrest/cite, charges filed; court disposition
7	Assault DV	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite , charges filed; court disposition
8	Family Violence	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite , charges filed; court disposition
9	Driving While License Revoked/Suspended	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite , prosecution declined
10	Leaving the Scene	✓	Kodiak PD	Kodiak District	1 charge omitted from card (3 charges total)
11	Operate Vehicle Without Insurance	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite , prosecution declined
12	Shoplifting	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite , charges filed; court disposition
13	Theft 4th	✓	Kenai PD	Kenai District	no FP despite arrest/cite , charges filed; court disposition
14	Assault	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite , charges filed; court disposition

PART III. REPORTING - DETAIL

Missing and Fictional Fingerprint Records

	Criminal Charge	FP Missing	Arrest/Cite Agency	Court	Comment
15	Family Violence	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite , charges filed; court disposition
16	Unlawful Contact	✓	Togiak PD	Dillingham Magistrate	no FP despite arrest/cite , charges filed; court disposition
17	Violate Conditions of Release	✓	Togiak PD	Dillingham Magistrate	no FP despite arrest/cite , charges filed; court disposition
18	Disorderly Conduct	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite , charges filed; court disposition
19	Fraudulent Use Access Device	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite , charges filed; court disposition
20	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite , charges filed; court disposition
21	Fraudulent Use Access Device	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite , charges filed; court disposition
22	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite , charges filed; court disposition
23	Fraudulent Use Access Device	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite , charges filed; court disposition
24	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite , charges filed; court disposition
25	Fraudulent Use Access Device	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite , charges filed; court disposition
26	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite , charges filed; court disposition
27	Fraudulent Use Access Device	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite , charges filed; court disposition
28	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite , charges filed; court disposition

PART III. REPORTING - DETAIL

Missing and Fictional Fingerprint Records

	Criminal Charge	FP Missing	Arrest/Cite Agency	Court	Comment
29	Fraudulent Use Access Device	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
30	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
31	Fraudulent Use Access Device	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
32	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
33	Fraudulent Use Access Device	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
34	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
35	Fraudulent Use Access Device	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
36	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
37	Forgery 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
38	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
39	Forgery 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
40	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
41	Forgery 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
42	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition

PART III. REPORTING - DETAIL

Missing and Fictional Fingerprint Records

	Criminal Charge	FP Missing	Arrest/Cite Agency	Court	Comment
43	Forgery 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
44	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
45	Forgery 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
46	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
47	Forgery 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
48	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
49	Forgery 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
50	Theft 3rd	✓	Palmer AST	Palmer Superior	no FP; no arrest/cite, charges filed; court disposition
51	Contributing to Delinquency	✓	Anchorage PD	Anchorage District	no FP despite arrest /cite, charges filed; court disposition
52	Contributing to Delinquency	✓	Anchorage PD	Anchorage District	no FP despite arrest /cite, charges filed; court disposition
53	Contributing to Delinquency	✓	Anchorage PD	Anchorage District	no FP despite arrest /cite, charges filed; court disposition
54	Contributing to Delinquency	✓	Anchorage PD	Anchorage District	no FP despite arrest /cite, charges filed; court disposition
55	Assault DV	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite , charges filed; court disposition
56	Family Violence	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite , charges filed; court disposition

PART III. REPORTING - DETAIL

Missing and Fictional Fingerprint Records

	Criminal Charge	FP Missing	Arrest/Cite Agency	Court	Comment
57	Unauthorized Entry	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite, charges filed; court disposition
58	MICS 4th	✓	Anchorage PD	Anchorage District	no FP despite arrest /cite, charges filed; court disposition
59	Assault 4th	✓	Anchorage PD	Anchorage District	no FP despite arrest /cite, charges filed; court disposition
60	Violate Conditions of Release	✓	Anchorage PD	Anchorage District	no FP despite arrest /cite, charges filed; court disposition
61	Driving While License Revoked/Suspended	✓	Anchorage PD	Anchorage District	no FP despite arrest /cite, charges filed; court disposition
62	Driving While License Revoked/Suspended	✓	Anchorage PD	Anchorage Muni Prosecutor	no FP despite arrest/cite, prosecution declined
63	MICS 2nd	✓	Anchorage PD	Anchorage District	1 of 2 charges omitted from card (3 charges total)
64	MICS 2nd	✓	Anchorage PD	Anchorage District	2 of 2 charges omitted from card (3 charges total)
65	No Valid Operator License	✓	Seward AST	Seward District	no FP despite arrest/cite, charges filed; court disposition
66	Operate Vehicle Without Insurance	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite, charges filed; court disposition
67	Driving Under the Influence	✓	Anchorage PD	Anchorage Muni Prosecutor	no FP despite arrest/cite, prosecution declined
68	Criminal Mischief 4 - DV	✓	Soldotna AST	Kenai District	no FP; despite arrest /cite; charges filed; active case
69	Shoplifting	✓	Anchorage PD	Anchorage District	no FP; despite arrest /cite; charges filed; active case
70	MICS 4th	✓	Airport Police	Anchorage Superior	no FP despite arrest /cite, charges filed; court disposition

PART III. REPORTING - DETAIL

Missing and Fictional Fingerprint Records

	Criminal Charge	FP Missing	Arrest/Cite Agency	Court	Comment
71	MICS 5th	✓	Airport Police	Anchorage Superior	no FP despite arrest/cite , charges filed; court disposition
72	Driving Under the Influence	✓	Airport Police	Anchorage Superior	no FP despite arrest/cite , charges filed; court disposition
73	Driving While License Suspended	✓	Anchorage PD	Anchorage Muni Prosecutor	no FP despite arrest/cite , prosecution declined
74	Driving While License Suspended	✓	Anchorage PD	Anchorage Muni Prosecutor	no FP despite arrest/cite , prosecution declined
75	Driving While License Revoked/Suspended	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite , charges filed; court disposition
76	Theft 2nd	✓	Anchorage PD	Anchorage District	1 charge omitted from card (4 charges total)
77	Assault DV	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite , charges filed; court disposition
78	Unlawful Entry	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite , charges filed; court disposition
79	Operate Vehicle Without Insurance	✓	Anchorage PD	Anchorage Muni Prosecutor	no FP despite arrest/cite , prosecution declined
80	Operate Vehicle Without Insurance	✓	Anchorage PD	Anchorage Muni Prosecutor	no FP despite arrest/cite , prosecution declined
81	MICS 4th	✓	Anchorage PD	Anchorage Superior	1 of 2 charges omitted from card (5 charges total)
82	Forgery 2nd	✓	Anchorage PD	Anchorage Superior	2 of 2 charges omitted from card (5 charges total)
83	Assault DV	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite , charges filed; court disposition

PART III. REPORTING - DETAIL

Missing and Fictional Fingerprint Records

	Criminal Charge	FP Missing	Arrest/Cite Agency	Court	Comment
84	False Information	✓	Anchorage PD	Anchorage District	1 of 2 charges omitted from card (2 charges total)
85	Driving Without a License	✓	Soldotna PD	Kenai District	no FP despite arrest/cite, charges filed; court disposition
86	Failure to Appear	✓	Soldotna PD	Kenai District	no FP despite arrest/cite, charges filed; court disposition
87	Driving Under the Influence	✓	Palmer PD	Palmer District	no FP despite arrest /cite, charges filed; court disposition
88	Driving While License Revoked/Suspended	✓	Palmer PD	Palmer District	no FP despite arrest /cite, charges filed; court disposition
89	Driving Under the Influence	✓	Anchorage PD	Anchorage District	no FP despite arrest /cite, charges filed; court disposition
90	Leave the scene	✓	Anchorage PD	Anchorage District	no FP despite arrest/cite, charges filed; court disposition
91	Driving Without a License	✓	Unalaska PD	Unalaska Magistrate	no FP despite arrest/cite, charges filed; court disposition
92	Assault DV	✓	Anchorage PD	Anchorage District	no FP despite arrest /cite, charges filed; court disposition
93	Theft 3rd	✓	Anchorage PD	Anchorage District	1 charge omitted from card (2 charges total)
94	Operate Vehicle Without Insurance	✓	Anchorage PD	Anchorage Muni Prosecutor	no FP despite arrest/cite, prosecution declined
95	Assault 2 DV	✓	Wasilla PD	Palmer Superior	no FP despite arrest/cite, charges filed; active case
96	Assault 2 DV	✓	Wasilla PD	Palmer Superior	no FP despite arrest/cite, charges filed; active case
97	Assault 3	✓	Wasilla PD	Palmer Superior	no FP despite arrest/cite, charges filed; active case

PART III. REPORTING - DETAIL

Missing and Fictional Fingerprint Records

	Criminal Charge	FP Missing	Arrest/Cite Agency	Court	Comment
98	Theft	✓	Anchorage PD	Anchorage Municipal Prosecutor	no FP despite arrest/ cite , prosecution declined
99	Operate Vehicle Without Insurance	✓	Anchorage PD	Anchorage District	no FP despite arrest/ cite , charges filed; court disposition

Detail on Fictional FP Charges:

	Criminal Charge	Fictional	Agency	Court	Comment
	No Fictional FP charges in this audit				

PART III. REPORTING - DETAIL

Missing Reports of Prosecutor Declinations

	Criminal Charge	Decline Missing	Prosecution Agency	Comment
1	Operate Vehicle Without Insurance	✓	Anchorage Municipal Prosecutor	Charge declined for prosecution. No evidence that declination report was sent to the repository.

PART III. REPORTING - DETAIL

Missing Reports of Court Dispositions

Criminal Charge	Court Dispo Missing	Court	Comment
1 Failure to Register	✓	Anchorage District Court	Court did not send disposition (conviction) to repository
2 Disorderly conduct	✓	Anchorage District Court	Court did not send disposition (conviction) to repository
3 Theft 3rd	✓	Anchorage District Court	Court did not send disposition (conviction) to repository
4 Assault 4th DV	✓	Anchorage District Court	Court did not send disposition (dismissal) to repository; dismissed by prosecutor
5 Violate Conditions of Release	✓	Anchorage Superior Court	Court did not send disposition (dismissal) to repository; dismissed by prosecutor
6 Violate Conditions of Release	✓	Anchorage Superior Court	Court did not send disposition (dismissal) to repository; dismissed by prosecutor

PART III. REPORTING - DETAIL

Missing and Fictional Charges in APSIN

Criminal Charge	Missing FP	Missing Decline	Missing Court Disposition	Explanation of Why Charge is Missing in APSIN
1 Assault 2nd	✓			No arrest/citation; charges filed; active case.
2 MICS 4th	✓			No arrest/citation; charges filed; active case.
3 Evidence Tampering	✓			No arrest/citation; charges filed; active case.
4 Theft	✓			No arrest/citation; charges filed; court disposition received but not entered before audit cut off period
5 Viol. Cond. Release	✓			Arrested by Anchorage PD; 1 of 2 charges not entered in APSIN before cut off date; court disposition submitted but not entered before audit cut off date
6 Viol. Cond. Release	✓			Arrested by Anchorage PD; 1 of 2 charges not entered in APSIN before cut off date; court disposition submitted but not entered before audit cut off date
7 Fraudulent Use Access Device	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
8 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
9 Fraudulent Use Access Device	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
10 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
11 Fraudulent Use Access Device	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
12 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off

PART III. REPORTING - DETAIL

Missing and Fictional Charges in APSIN

Criminal Charge	Missing FP	Missing Decline	Missing Court Disposition	Explanation of Why Charge is Missing in APSIN
13 Fraudulent Use Access Device	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
14 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
15 Fraudulent Use Access Device	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
16 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
17 Fraudulent Use Access Device	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
18 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
19 Fraudulent Use Access Device	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
20 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
21 Fraudulent Use Access Device	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
22 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
23 Fraudulent Use Access Device	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
24 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
25 Forgery 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off

PART III. REPORTING - DETAIL

Missing and Fictional Charges in APSIN

Criminal Charge	Missing FP	Missing Decline	Missing Court Disposition	Explanation of Why Charge is Missing in APSIN
26 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
27 Forgery 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
28 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
29 Forgery 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
30 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
31 Forgery 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
32 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
33 Forgery 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
34 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
35 Forgery 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
36 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
37 Forgery 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off
38 Theft 3rd	✓			No arrest/citation; charges filed; court case <i>active within 30 days</i> of audit cut-off

PART III. REPORTING - DETAIL

Missing and Fictional Charges in APSIN

Criminal Charge	Missing FP	Missing Decline	Missing Court Disposition	Explanation of Why Charge is Missing in APSIN
39 Criminal Mischief 4th	✓			Arrested by Soldotna AST; but not entered in APSIN before cut off date; charges filed; active case
40 Assault DV	✓		✓	No arrest/citation; charges filed; court disposition not sent to repository
41 Unlawful Entry	✓		✓	No arrest/citation; charges filed; court disposition not sent to repository
42 False Info	✓		✓	No arrest/citation; charges filed; court disposition not sent to repository
43 Fail To Appear	✓			Arrested by Anchorage PD; but not entered in APSIN before cut off date; court disposition submitted but not entered before audit cut off date
44 Fail To Appear	✓			No arrest/citation; charges filed; active case.
45 Fail To Appear	✓			Arrested by Anchorage PD; but not entered in APSIN before cut off date; charges filed; active case
48 Violate Conditions of Release	✓			Arrested by Anchorage PD; but not entered in APSIN before cut off date; charges filed; <i>case active within 30 days</i> of audit cut-off
49 Violate Conditions of Release	✓			Arrested by Anchorage PD; but not entered in APSIN before cut off date; charges filed; <i>case active within 30 days</i> of audit cut-off
48 Theft 2nd	✓			Arrested by Anchorage PD; but not entered in APSIN before cut off date; charges filed; <i>case active within 30 days</i> of audit cut-off
49 Theft 2nd	✓			Arrested by Anchorage PD; but not entered in APSIN before cut off date; charges filed; <i>case active within 30 days</i> of audit cut-off
50 Assault 2nd DV	✓			No arrest/citation; charges filed; active case.
51 Assault 2nd DV	✓			No arrest/citation; charges filed; active case.

PART III. REPORTING - DETAIL

Missing and Fictional Charges in APSIN

Criminal Charge	Missing FP	Missing Decline	Missing Court Disposition	Explanation of Why Charge is Missing in APSIN
52 Assault 3rd DV	▼			No arrest/citation; charges filed; active case.

Criminal Charge	Fictional FP	Fictional Decline	Fictional Court Dispo	Explanation of Why Fictional Charge is in APSIN
1 Assault 4th			▼	This charge was erroneously entered into APSIN. There was no arrest, no charge filed and no FP for this offense. Data entry error.

PART IV. DATA QUALITY

= FOR REPORTS RECEIVED/ENTERED: number of times a data element was required

CMP = FOR REPORTS RECEIVED/ENTERED: percentage of times a required data element was reported/entered

ACC = FOR DATA PROVIDED, percentage that was accurate (missing data is not scored for accuracy)

	ARREST REPORT ¹			FP CARD			PROSECUTOR DECLINE			COURT FILING ²			COURT DISPO			DISPO CHANGE			APSIN		
	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC
Total Data Elements				267	96.6%	93.0%	60	83%	100%				1089	99.7%	99.1%	0			1147	87.4%	99.9%
ATN #	0			64	86%	93%	10	100%	100%	0			132	100%	99%	0			120	100%	100%
Charge Number	0									0			0			0			0		
Offense Code - Arr/Cit	0			64	100%	83%	10	90%	100%										120	100%	100%
ID of Arr/Cit Agency	0			0			10	100%	100%										120	100%	100%
Date Arr/Cit	0			58	100%	95%													120	100%	100%
Date of Offense				0															0		
ID of FP agency				58	100%	100%													0		
FP Signature				11	100%	100%															
Decline Prosecution							10	100%	100%										10	80%	100%
ID of decline agency							10	10%	100%										10	80%	100%
Date declined							10	100%	100%										10	80%	100%
Off Code - Filing																					
ID of Ct - Chg Filed																					
Date Chg Filed																					
Court Case #													132	100%	100%	0			78	72%	98%
Date CtOrd FP				0															0		
ID of CtOrd FP				0															0		
Off Code - Dispo													132	98%	99%	0			78	72%	100%
ID of Ct Dispo Agcy													132	100%	100%	0			78	72%	100%
Date of Ct Dispo													132	100%	100%	0			78	72%	100%
Ct Dispo													132	100%	100%	0			78	72%	100%
POS ID (FP) Flag																			75	100%	100%
Fine													39	100%	100%	0			36	86%	100%
Jail													43	100%	98%	0			35	91%	100%
Probation													43	100%	100%	0			37	86%	100%
SIS (set aside)													6	100%	100%	0			5	60%	
Alcohol Screening													24	100%	100%	0			23	83%	100%
Restitution													6	100%	100%	0			5	100%	
License Susp/Rev													19	100%	100%	0			17	94%	100%
CWS													7	100%	100%	0			7	71%	
Felony/Misd Status																			1	100%	100%
Sex Ofndr Regis Flag													0			0			0		
DV Flag - Dispo													6	100%	100%	0			6	0%	
Disposition Change																0			0		
ID of Dispo Chg Agcy																0			0		
Date of Dispo Change																0			0		
App.Case #																0			0		
Collateral Arrest Dt				0															0		
Collateral Offense Cod				0															0		
Collateral Ct Case #				0															0		

¹ Arrest reporting/data quality was not audited because arrest agencies are not required to submit a source document (arrest report) to the repository. The agencies enter arrest/citation data directly into APSIN, which may also be updated/overwritten by repository staff (based on other source documents).

² The Alaska Court System does not report charge filing information to the repository at this time.

PART IV. AUDIT NOTES

AUDIT GUIDELINES	The audit cut off date was July 31, 2009. Reports received after July 31, 2009, were not audited. This audit scores MISSING REPORTS, ERRONEOUS (fictional) REPORTS and DATA QUALITY. MISSING REPORTS are identified and scored as one component of overall performance. DATA QUALITY is scored from information reported to the repository. Data submitted on CCID forms, fingerprint cards and court documents is scored in the "DATA QUALITY" component of the audit. Data quality is scored for "COMPLETENESS" (was it reported) and "ACCURACY" (was it correct). The executive summary of this audit report compiles MISSING REPORTS, ERRONEOUS CHARGES (fictional) and scored values of reported information (DATA QUALITY) and derives an overall audit score for this audit period.
APSIN	In this audit, a total of 172 charges were audited. Of 172 charges that should have been entered into APSIN by 7/31/09, 52 charges were MISSING. Of 172 charges audited, 120 charges were entered into APSIN from arrests, fingerprint information and court dispositions. Of 120 charges reported and scored as "arrests" in DATA QUALITY in APSIN, one charge was fictional, 10 charges were reported as declined and 132 dispositions were reported by the court. Of 132 dispositions reported, 78 were scored in APSIN for data quality. Of 78 dispositions reported, 56 were entered into APSIN before the audit cut off date and 22 were received but not entered timely.
FINGERPRINT CARDS	In this audit, 163 fingerprint card (FPC) charges were audited. Of 163 FPC charges that should have been reported and entered into APSIN, 99 charges were MISSING, resulting in 64 charges scored for DATA QUALITY.
PROSECUTOR DECLINES	In this audit, 11 prosecutor declines were audited. Of 11 declines that should have been reported, 1 decline report was MISSING. Only charges for which there was an arrest, citation, summons or charge filed in court AND the charge was subsequently declined for prosecution require a declination report. Charges that are investigated or referred to the prosecutor without arrest or a charging event that are subsequently declined for prosecution, do not require APSIN entry.
COURT JUDGMENTS	In order to understand the numbers in this audit and to explain the differences between "arrests" and "dispositions", this section is divided into two parts. There were 172 arrest charges audited, and 138 dispositions required from the court. The charges that did not require court disposition include 23 active cases (cases still pending disposition) and 11 charges declined for prosecution. Of 138 required court dispositions, 6 were not sent to DPS by the court. Of 132 dispositions sent to the repository, 78 were recorded in APSIN. Of the remaining 54 dispositions, 46 were completed by the court near the end of the audit period. Because the court has 30 days to report the information the data was not yet "required" in APSIN. For these charges, the court was scored for reporting the information but due to the "date of disposition" the reports were not required in APSIN. The remaining 7 dispositions sent by the court (but not recorded into APSIN) were related to arrest charges that were completely missing in APSIN. One disposition was sent by the court, but not received by the repository.
DATA COMPLETENESS / DATA ACCURACY	
ATN # (Arrest Tracking Number)	
Fingerprint Card	Completeness: Of 64 fingerprint card charges reported to the repository by the audit cut off date of July 31, 2009, 86% (55) reported ATN's; 9 charges were missing an ATN. Accuracy: of 55 fingerprint charges with ATN's reported, 93% (51) were accurate .
Prosecutor Decline	Completeness/Accuracy: Of 10 prosecutor declined charges reported to the repository, 100% reported ATN's completely and accurately .
Court	Completeness: Of 132 ATN's reported on dispositions, 100% (132) were reported completely. Accuracy: of 132 ATN's reported on dispositions, 99% (131) were reported accurately.
APSIN	Completeness/Accuracy: Of 120 charges reported to the repository, 100% included ATN's and were entered completely and accurately .
Offense Code - Arrest/Citation	Fingerprint card offense codes are scored for two elements: a charge and a statute. A correct and accurate offense code must show a literal description of the charge and an accompanying statute. If the description of a charge or statute is reported, it is scored as complete. If either or both the description or statute is wrong, it is scored as inaccurate.
Fingerprint Card	Completeness: Of 64 charges on fingerprint cards, 100% (64) reported offense codes. Accuracy: Of 64 reported offense codes 83% (53) were accurate

PART IV. AUDIT NOTES

Prosecutor Decline	Completeness/Accuracy: Of 10 prosecutor declined charges reported to the repository, 90% (9) reported offense codes. Accuracy: Of 9 reported offense codes 100% were accurate.
APSIN	Completeness/Accuracy: Of 120 charges reported to the repository, 100% of offense codes (charge descriptions) were entered completely and accurately.
ID of Arrest/Citation Agency	The "ID of arrest/citation agency" is scored only when the arrest agency is specifically identified on the fingerprint card. If the arrest agency and the fingerprint agency are the same, the "ID of fingerprint agency" is scored.
Fingerprint Card	Completeness/Accuracy: Of 64 charges on fingerprint cards, none were reported with specific arrest agency ID's.
Prosecutor Decline	Completeness/Accuracy: Of 10 prosecutor declined charges reported, 100% reported the arrest agency completely and accurately.
APSIN	Completeness: Of 120 charges reported to the repository, 100% of the arrest agency ID's were entered completely and accurately.
Date Arrest/Citation	Fingerprint card dates are categorized by "date of arrest" and "date of court ordered".
Fingerprint Card	Completeness: Of 58 charges on FPC, 100% of the arrest dates were entered completely. Accuracy: Of 58 arrest dates entered, 95% (55) were entered accurately.
APSIN	Completeness/Accuracy: Of 120 charges reported to the repository, 100% of the arrest dates were entered completely and accurately.
Prosecutor Declination	
Prosecutor Agency	Completeness/Accuracy: Of 10 charges reported as declined for prosecution, 100% (10) were reported completely and accurately.
APSIN	Completeness: Of 10 charges reported as declined for prosecution, 80% (8) were scored for APSIN data quality. Of 8 declines scored in APSIN, 100% (8) were entered completely and accurately. Two prosecutor declines were not entered before the audit cut off date.
Id of Decline Agency	
Prosecutor Agency	Completeness/Accuracy: Of 10 charges reported as declined for prosecution, 1 report included the "id of decline agency" completely and accurately. Nine reports did not provide the agency id.
APSIN	Completeness: Of 10 charges reported as declined for prosecution, 80% (8) were scored for APSIN data quality. Of 8 declines scored in APSIN, 100% (8) of the decline agency ID's were entered completely and accurately.
Date Declined	
Prosecutor Agency	Completeness/Accuracy: Of 10 charges reported as declined for prosecution, 100% (10) reported the decline date completely and accurately.
APSIN	Completeness: Of 10 charges reported as declined for prosecution, 80% (8) were scored for APSIN data quality. Of 8 declines scored in APSIN, 100% (8) of the decline dates were entered completely and accurately.

PART IV. AUDIT NOTES

Date Court Ordered FP	Fingerprint card dates are categorized by "date of arrest" and "date of court ordered".
Fingerprint Card	Of 64 fingerprint card charges submitted, 6 were "court ordered". Of 6 court ordered charges, 100% reported the "court ordered date of arrest" completely and accurately .
ID Court Ordered FP	
Fingerprint Card	Of 6 court ordered charges submitted, 100% of agency ID's were reported completely and accurately .
Court "APSIN ID"	If provided by the prosecuting authority in the charging document, the judgment must include the defendant's APSIN identification number (Court Rule 32).
Court	Of 104 charges reported by the prosecutor with APSIN Id's, 93% (97) were reported accurately by the court.
Court Case #	
Court	Completeness/Accuracy: Of 132 court dispositions reported, 100% of court case numbers were reported completely and accurately .
APSIN	Completeness/Accuracy: Of 132 court dispositions reported, 59% (78) were scored for APSIN data quality. Accuracy: Of 78 court case numbers scored in APSIN, 72% (56) court case numbers entered completely . Of 56 court case numbers, 98% (55) were entered accurately .
Offense Code - Disposition	When scoring offense code fields, a charge must have a literal description and a statute. If a charge is missing one of these elements, it will be scored as 'inaccurate'.
Court	Completeness: Of 132 court dispositions reported, 98% (129) of offense codes were reported completely . Accuracy: Of 110 offense codes, 99% (128) were reported accurately .
APSIN	Completeness/Accuracy: Of 132 court dispositions reported, 59% (78) were scored for APSIN data quality Of 78 offense codes scored in APSIN, 72% (56) were entered completely and accurately .
ID of Ct Dispo Agcy	
Court	Completeness/Accuracy: Of 132 court dispositions reported, 100% of court ID's were reported completely and accurately .
APSIN	Completeness/Accuracy: Of 132 court dispositions reported, 59% (78) were scored for APSIN data quality Of 78 court ID's that were scored in APSIN, 72% (56) were entered completely and accurately .
Date of Court Dispositions	
Court	Completeness: Of 132 court dispositions reported, 100% of the disposition dates were reported completely and accurately .
APSIN	Completeness/Accuracy: Of 132 court dispositions, 59% (78) were scored for APSIN data quality. Of 78 dispo dates that were scored in APSIN, 72% (56) were entered completely and accurately .
Court Disposition	

PART IV. AUDIT NOTES

Court	Completeness/Accuracy: Of 132 court dispositions reported, 100%of dispositions were reported completely and accurately .
APSIN	Completeness/Accuracy: Of 132 court dispositions, 59% (78) were scored for APSIN data quality. Of 78 court dispositions that were scored in APSIN, 72% (56) were entered completely and accurately .
POS ID (FP) Flag	
APSIN	Completeness/Accuracy: 64 charges were reported on fingerprint cards and 75 charges were scored for data quality in ASPIN. Eleven charges were missing from the fingerprint card at the time of fingerprinting but charge information was added to APSIN with positive id at the time the card was processed.
Felony Flag	
APSIN	Completeness/Accuracy: One felony conviction was reported to the repository and was entered completely and accurately .
DV Flag - Dispo	
Court	Completeness/Accuracy: In this audit, the court reported 6 convictions with "DV" indicator marked "yes".
APSIN	Completeness: Of 6 convictions reported by the court with DV flags, none were scored for APSIN data quality.
SOR Flag - Disposition	
Court	Completeness/Accuracy: There were no "SOR" dispositions reported in this audit.
APSIN	Completeness/Accuracy: There were no "SOR" dispositions reported in this audit.
Sentencing - FINES	
Court	Completeness/Accuracy: Of 39 dispositions reporting fines, 100% were reported completely and accurately .
APSIN	Completeness: Of 39 dispositions reported with fines, (92%) 36 were scored for APSIN data quality. Of 36 dispositions with fines, 86% (31) were entered completely and accurately (5 were not entered timely).
Sentence- JAIL	
Court	Completeness/Accuracy: Of 43 dispositions reporting jail time, 100% were reported completely . Accuracy: Of 43 dispositions with jail time, 98% (42) were reported accurately .
APSIN	Completeness: Of 43 dispositions with jail reported, 35 were scored for APSIN data quality. Of 35 dispositions with jail, 91% (32) were entered completely and accurately .

PART IV. AUDIT NOTES

Sentence - PROBATION	
Court	Completeness/Accuracy: Of 43 dispositions with probation, 100% were reported completely and accurately .
APSIN	Completeness: Of 43 dispositions with probation, 37 were scored for APSIN data quality. Of 37 dispositions with probation, 86% (32) were entered completely and accurately .
Sentence - SIS	
Court	Completeness/Accuracy: Of 6 SIS dispositions reported, 100% were reported completely and accurately .
APSIN	Completeness: Of 6 SIS dispositions, 5 were scored for APSIN data quality. Of 5 SIS dispositions, 60% (3) were entered completely and accurately .
Sentence - ALCOHOL SCREENING	
Court	Completeness: Of 24 dispositions with alcohol screening, 100% were reported completely and accurately .
APSIN	Completeness: Of 24 dispositions with alcohol screening, 23 were scored for APSIN data quality. Of 23 dispositions with alcohol screening, 83% (19) were entered completely and accurately .
Sentence - RESTITUTION	
Court	Completeness/Accuracy: Of 6 dispositions with restitution 100% were reported completely and accurately .
APSIN	Completeness: Of 6 dispositions with restitution, 5 were scored for APSIN data quality. Of 5 dispositions with restitution, 100% were entered completely and accurately .
Sentence - LICENSE/REVOCAION	
Court	Completeness/Accuracy: Of 19 dispositions with license revocations, 100% were reported completely and accurately .
APSIN	Completeness: Of 19 dispositions with license revocations, 17 were scored for APSIN data quality. Of 17 scored, 94% (16) were entered completely and accurately . One was not entered before the audit cut off date (not timely).
Sentence - COMMUNITY WORK SERVICE	
Court	Completeness/Accuracy: Of 7 dispositions with community work service, 100% were reported completely and accurately .
APSIN	Completeness: Of 7 dispositions with community work service, 71% (5) were scored in APSIN for data quality. Of 5 dispositions with community work service 100% were entered completely and accurately .

PART IV. DATA QUALITY

SCORING GUIDELINES	FP CARD #	PROSECUTOR DECLINE #	COURT FILING ² #	COURT DISPO #	DISPO CHANGE #	APSIN #	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
ATN #	x	x		x	x	x	if missing, completeness = 0 and accuracy = N; if provided, completeness =1 and, if different than ATN on CCID accuracy = 0
Charge Number							not scored at this time
Offense Code - Arr/Cit	x	x		x	x	x	if missing, completeness = 0 and accuracy = N; if provided, completeness = 1 and, if different than CCID, accuracy =0; (unless obvious problem w/CCID then check arrest report/citation.
ID of Arr/Cit Agency	x					x	if missing, completeness = 0 and accuracy = N; if provided, completeness = 1 and, if different than CCID, accuracy =0; (unless obvious problem w/CCID then check arrest report/citation.
Date Arr/Cit	x					x	if missing, completeness =0 and accuracy = N; if provided, completeness = 1 and if different than CCID accuracy =0; (unless obvious problem w/CCID then check arrest report/citation. APSIN requires a 'date of arrest' entry; if date of arrest is unknown the date of violation is entered and is scored as complete = 1; accurate = 0 (systematic error)
Date of Offense							not scored; regulations require date of offense on FPC, if known
ID of FP agency	x						if FP agency = arrest agency score under 'id arrest agency'; if FP agency and arrest agency are different, id FP agency must be scored; ori agency is FP agency. If missing, completeness = 0 and accuracy = N; if provided, completeness = 1 and, if different that ori score 0 on accuracy
FP Signature	x						if missing on paper FPC completeness = 0; accurate = N
Decline Prosecution		x				x	if missing, completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than CCID accuracy = 0
ID of decline agency		x				x	if missing, completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than CCID accuracy = 0
Date declined		x				x	if missing, completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than CCID accuracy = 0
Off Code - Filing							not scored at this time
ID of Ct - Chg Filed							not scored at this time
Date Chg Filed							not scored at this time
Court Case #				x		x	if missing, completeness =0 and accuracy = N; if provided, completeness = 1 and if different than court information accuracy = 0
Date CtOrd FP	x						scored if court ordered fingerprints
ID of CtOrd FP	x						scored if court ordered fingerprints
Court APSIN Id				x			scored on judgment only if provided by prosecutor on charging document.
Off Code - Dispo				x		x	For this data field, two data elements are scored: the literal description of the offense and the statute citation for that offense are both required. If one element is reported (on judgment or entered in APSIN) score "complete" as 1; if second element is missing or inaccurate, score "accuracy" as 0. If both elements are missing score completeness and accuracy as N/N.
ID of Ct Dispo Agcy				x		x	from court: if missing, completeness =0 and accuracy = N; if provided, completeness = 1 and if different than court information accuracy = 0
Date of Ct Dispo				x		x	from court: if missing, completeness =0 and accuracy = N; if provided, completeness = 1 and if different than court information accuracy = 0
Ct Dispo				x		x	from court: if missing, completeness =0 and accuracy = N; if provided, completeness = 1 and if different than court information accuracy = 0
POS ID (FP) Flag						x	score all charges in APSIN; systematic entry; if FP on file flag = yes; if no FP on file flag = no;

PART IV. DATA QUALITY

SCORING GUIDELINES	FP CARD	PROSECUTOR DECLINE	COURT FILING ²	COURT DISPO	DISPO CHANGE	APSIN	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
Fine				x		x	score only if on court documents; if missing in APSIN completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
Jail				x		x	score only if on court documents; if missing in APSIN completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
Probation				x		x	score only if on court documents; if missing in APSIN completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
SIS (set aside)				x		x	score only if on court documents; if missing in APIs completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
Alcohol Screening				x		x	score only if on court documents; if missing in APSIN completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
Restitution				x		x	score only if on court documents; if missing in APSIN completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
License Susp/Rev				x		x	score only if on court documents; if missing in APSIN completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
CWS				x		x	score only if on court documents; if missing in APSIN completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
Felony/Misd Status				x		x	for disposed charges in APSIN; systematic entry; if blank or not set = 0 for completeness and accuracy =N; Level of severity is based on the UOCT. If the felony indicator = Y in APSIN and UOCT has felony determination accuracy =1.
Sex Ofndr Regis Flag				x		x	score only charges specified SOR by court; If missing in APSIN, completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than judgment, accuracy =0
DV Flag - Dispo				x		x	score only charges specified DV by court; If missing in APSIN, completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than judgment, accuracy =0
Disposition Change					x	x	from court: if missing, completeness =0 and accuracy = 1; if provided, completeness = 1 and if different than court information accuracy = 0
ID of Dispo Chg Agcy					x	x	from court: if missing, completeness =0 and accuracy = 1; if provided, completeness = 1 and if different than court information accuracy = 0
Date of Dispo Change					x	x	from court: if missing, completeness =0 and accuracy = 1; if provided, completeness = 1 and if different than court information accuracy = 0
App.Case #					x	x	from court: if missing, completeness =0 and accuracy = 1; if provided, completeness = 1 and if different than court information accuracy = 0
Collateral Arrest Dt							
Collateral Offense Code							
Collateral Ct Case #							