

# ALASKA CRIMINAL RECORDS AUDIT

## Reporting Compliance & Data Quality

Criminal Cases Referred for Prosecution  
in January 2013

What is the probability of finding complete, accurate information about a charge initiated during the audit period?

### Second Judicial District

|                                   |               |
|-----------------------------------|---------------|
| <b>...on a Fingerprint Card</b>   | <b>45.0%</b>  |
| <b>...in a Disposition Report</b> | <b>80.0%</b>  |
| <i>prosecutor declination</i>     | <i>100.0%</i> |
| <i>court judgment/order</i>       | <i>80.0%</i>  |
| <b>...in APSIN</b>                | <b>56.0%</b>  |

State of Alaska  
Department of Public Safety, Division of Statewide Services  
Criminal Records and Identification Bureau  
June 2, 2014

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# EXECUTIVE SUMMARY

## Criminal Cases Referred for Prosecution January 2013 Second Judicial District

### Purpose

The purpose of the audit is to evaluate the effectiveness of procedures for compiling criminal history records from various sources. This audit attempts to answer this question:

**What is the probability of finding complete, accurate information at the state's central repository about a charge initiated in this Judicial District during this audit period?**

### Methodology

Population: 184 Criminal Case Intake and Disposition forms

Sample: 61 Criminal Case Intake and Disposition forms

Based on the sample selection criteria, the auditor is 95% certain (confidence level) that the error rates found in the records sampled will be within 10 % (precision factor) of the error rates for the entire population.

The auditor calculates the probability of finding complete, accurate information about a charge from these sources:

FINGERPRINT CARDS, required to be submitted to the state central repository for all criminal charges (AS 12.80.060)

DISPOSITION REPORTS, required from the prosecutor or court that disposed of a charge (AS 12.62.120);

APSIN ( the Alaska Public Safety Information Network) is the computerized criminal history record system. Information about a charge in APSIN may be entered directly by the arresting agency. It may be updated by repository staff based on fingerprint cards and disposition reports, as well as other research. Increasingly, it may be entered automatically from an arrest, fingerprint or disposing agency's information system through an electronic interface.

### Findings

To calculate the overall probability of finding complete, accurate information about a charge, the auditor determined, for each information source, the percentage of information that was (a) recorded, (b) not fictional, (c) complete, and (d) accurate. The auditor's computations are shown on the following page:

#### **What is the probability that the charge is (a) RECORDED?**

|  | <u>Actual (Required)</u> |               | <u>Missing</u> |              | <u>(a) RECORDED</u> |              |
|--|--------------------------|---------------|----------------|--------------|---------------------|--------------|
| <b>Charges on Fingerprint Cards:</b>   | <b>109</b>               | <b>100.0%</b> | <b>54</b>      | <b>49.5%</b> | <b>55</b>           | <b>50.5%</b> |
| <b>Charges in Disposition Reports:</b> | <b>88</b>                | <b>100.0%</b> | <b>15</b>      | <b>17.0%</b> | <b>73</b>           | <b>83.0%</b> |
| ...Prosecutor (Declines)               | 0                        |               | 0              |              | 0                   | 100.0%       |
| ...Court (Judgments/Orders)            | 88                       |               | 15             | 17.0%        | 73                  | 83.0%        |
| ...None (confirmed active)             | 35                       |               | 23             | 65.7%        | 12                  | 34.3%        |
| <b>Charges in APSIN:</b>               | <b>123</b>               | <b>100.0%</b> | <b>29</b>      | <b>23.6%</b> | <b>94</b>           | <b>76.4%</b> |

# EXECUTIVE SUMMARY

What is the probability that the recorded charge is (b) NOT FICTIONAL?

|  | <u>Actual (Required)</u> |               | <u>Fictional</u> |             | <u>(b) NOT FICTIONAL</u> |               |
|--|--------------------------|---------------|------------------|-------------|--------------------------|---------------|
| <b>Charges on Fingerprint Cards:</b>   | <b>109</b>               | <b>100.0%</b> | <b>0</b>         | <b>0.0%</b> | <b>109</b>               | <b>100.0%</b> |
| <b>Charges in Disposition Reports:</b> | <b>88</b>                | <b>100.0%</b> | <b>0</b>         | <b>0.0%</b> | <b>88</b>                | <b>100.0%</b> |
| ...Prosecutor (Declines)               | 0                        |               | 0                |             | 0                        | 100.0%        |
| ...Court (Judgments/Orders)            | 88                       |               | 0                | 0.0%        | 88                       | 100.0%        |
| ...None (confirmed active)             | 35                       |               | 0                | 0.0%        | 35                       | 100.0%        |
| <b>Charges in APSIN:</b>               | <b>123</b>               | <b>100.0%</b> | <b>2</b>         | <b>1.6%</b> | <b>121</b>               | <b>98.4%</b>  |

If the charge is recorded, what is the probability it is (c) COMPLETE (not missing data)?

|                                     | <u>Actual Data</u> |               | <u>Missing Data</u> |              | <u>(c) COMPLETE</u> |              |
|-------------------------------------|--------------------|---------------|---------------------|--------------|---------------------|--------------|
| <b>Data on Fingerprint Cards:</b>   | <b>318</b>         | <b>100.0%</b> | <b>14</b>           | <b>4.4%</b>  | <b>304</b>          | <b>95.6%</b> |
| <b>Data in Disposition Reports:</b> | <b>693</b>         | <b>100.0%</b> | <b>10</b>           | <b>1.4%</b>  | <b>683</b>          | <b>98.6%</b> |
| ...Prosecutor (Declines)            | 0                  |               | 0                   |              | 0                   | 100.0%       |
| ...Court (Judgments/Orders)         | 693                |               | 10                  | 1.4%         | 683                 | 98.6%        |
| ...None (confirmed active)          | 0                  |               | 0                   |              | 0                   | n/a          |
| <b>Data in APSIN:</b>               | <b>1178</b>        | <b>100.0%</b> | <b>284</b>          | <b>24.1%</b> | <b>894</b>          | <b>75.9%</b> |

If the data is complete, what is the probability that it is (d) ACCURATE?

|                                     | <u>Actual Data</u> |               | <u>Data Errors</u> |             | <u>(d) ACCURATE</u> |              |
|-------------------------------------|--------------------|---------------|--------------------|-------------|---------------------|--------------|
| <b>Data on Fingerprint Cards:</b>   | <b>304</b>         | <b>100.0%</b> | <b>22</b>          | <b>7.2%</b> | <b>282</b>          | <b>92.8%</b> |
| <b>Data in Disposition Reports:</b> | <b>683</b>         | <b>100.0%</b> | <b>17</b>          | <b>2.5%</b> | <b>666</b>          | <b>97.5%</b> |
| ...Prosecutor (Declines)            | 0                  |               | 0                  |             | 0                   | 100.0%       |
| ...Court (Judgments/Orders)         | 683                |               | 17                 | 2.5%        | 666                 | 97.5%        |
| ...None (confirmed active)          | 0                  |               | 0                  |             | 0                   | n/a          |
| <b>Data in APSIN:</b>               | <b>894</b>         | <b>100.0%</b> | <b>22</b>          | <b>1.6%</b> | <b>872</b>          | <b>97.5%</b> |

\*A "fictional" charge is one that should not be reported to the repository or entered into APSIN; fictional charges are often caused by duplicate reporting or entry of charges, or reporting noncriminal violations as criminal charges.

Completeness scores (missing data) in APSIN include information that was reported to the repository but was incomplete and reports that were submitted to the repository but not entered in a timely manner.

Conclusion: The overall probability of finding complete, accurate information is calculated as follows: (a) % recorded X (b) % not fictional X (c) % data complete X (d) % data accurate

|  |          |            |            |            |             |
|--|----------|------------|------------|------------|-------------|
| <b>Charges on Fingerprint Cards:</b>   | (a) 50%  | X (b) 100% | X (c) 96%  | X (d) 93%  | <b>45%</b>  |
| <b>Charges in Disposition Reports:</b> | (a) 83%  | X (b) 100% | X (c) 99%  | X (d) 98%  | <b>80%</b>  |
| ...Prosecutor (Declines)               | (a) 100% | X (b) 100% | X (c) 100% | X (d) 100% | <b>100%</b> |
| ...Court (Judgments/Orders)            | (a) 83%  | X (b) 100% | X (c) 99%  | X (d) 98%  | <b>80%</b>  |
| <b>Charges in APSIN:</b>               | (a) 76%  | X (b) 98%  | X (c) 76%  | X (d) 98%  | <b>56%</b>  |

The remainder of the report provides details about the audit methodology and procedures, identifies the specific charges that were found to be missing and fictional, and shows completeness and accuracy rates for specific data elements for each information source.

# AUDIT PURPOSE AND SCOPE

**Purpose:** The purpose of this audit is to evaluate the quality of criminal history data submitted to the state central repository and maintained in APSIN.

**Mandate:** AS 12.62.120 requires criminal justice agencies to report certain events to the Department of Public Safety (DPS) at the time, in the manner, and in the form specified by the department. These reporting requirements are defined in 13 AAC 68, Article 2. AS 12.62.150 requires DPS to conduct periodic audits to ensure compliance with 12.62.

**Objectives:** The primary objective is to assess, with statistically supported accuracy, the completeness, timeliness and accuracy of data submitted to the repository and entered into APSIN. A second objective is to determine what, if any, systematic errors are contributing to incomplete, late, or inaccurate records. A third objective is to identify the effects of recent projects, automation, or other programmatic or policy changes that have improved record quality.

**Scope:** The audit sample is drawn from criminal cases referred for prosecution during January of 2013. This allows the auditor to measure data quality for "reportable" events, to compare changes from year to year, and complete the audit within available resources.

*"Reportable Events"* - An arrest charge must be reported to the repository even if it is declined for prosecution. A criminal charge that does not involve an arrest must be reported only if it is prosecuted. Noncriminal infractions and juvenile charges referred to a prosecutor do not have to be reported. By reviewing all cases referred for prosecution, the audit identifies not only the percentage of *reportable events* submitted to the repository, but also identifies any *non-reportable* events that were incorrectly submitted to the repository, or entered into APSIN. The events required to be reported to the repository, the contents of each report, and the timelines for reporting the information are defined in AS 12.62.120 and 13 AAC 68 Article 2, including:

- Arrest/citation
- Fingerprinting
- Booking (photo)
- Decision not to commence criminal proceedings (for charge resulting from arrest)
- Filing of a charging document
- Court disposition
- Disposition change (court, appellate court, parole board)

In addition to measuring compliance with the reporting of events, the auditor measures the data quality of APSIN records based on events required to be reported. For example, if the audit sample contained 100 charges that were referred for prosecution the auditor would measure how many of those charges were correctly entered into APSIN. The auditor would also measure how many charges were incorrectly entered into APSIN (referred to as "fictional" or "duplicate" charges). For example, if any of the 100 charges involved a juvenile, a noncriminal infraction, or a charge that did not stem from arrest and was not prosecuted, the auditor confirms that those charges were correctly *omitted* from APSIN.

*Jurisdiction* – The audit consists of separate record samples and findings for the **Second Judicial District**. The audit does not attempt to measure reporting compliance for individual agencies within a judicial district. Instead, it evaluates reporting compliance and APSIN record quality based on the integrated, system wide efforts of all agencies within the judicial district and repository staff.

## AUDIT PURPOSE AND SCOPE

*Audit Period* – DPS has limited the scope of the audit to a one month period to match the level of audit resources available. To enable year-to-year comparison, prosecutor referrals for the same month (January) will be sampled each year. The auditor reviews reports related to these January referrals which are submitted to APSIN by a predetermined cut-off date (September 30). This cutoff date was selected to make audit findings comparable no matter what time of year an audit is completed. For example, if the auditor completes the review of January cases from the First Judicial District in August, then completes the review of January cases for the Second Judicial District in October, the findings are not comparable unless a fixed cutoff date is used.

Limiting the audit to this "snapshot" period means that certain events and records are less likely to be audited, however. For example, serious felony cases are less likely than misdemeanors to have reached final disposition within the 9-month snapshot period. Events such as changing of dispositions on appeal and granting of executive clemency are less likely to occur within the snapshot period. This means that the audit findings are more statistically significant for the initial events (reporting of arrests, fingerprinting, and prosecutor declinations). The audit findings are not necessarily statistically significant for subsequent events, such as court dispositions or disposition changes.

# AUDIT PROCEDURES

## STEP 1. IDENTIFY POPULATION

**Judicial District:** Second Judicial District  
**Population:** Criminal cases referred for prosecution/screening  
**Audit Period:** January 2013

## STEP 2. CALCULATE SAMPLE SIZE

|                               |  |            |
|-------------------------------|--|------------|
| <b>Population Size:</b>       | Criminal Case Intake and Dispo (CCID forms) referred to:   |            |
|                               | Department of Law - Barrow   | <u>57</u>  |
|                               | Department of Law - JD2 OSPA   | <u>13</u>  |
|                               | Department of Law - Kotzebue   | <u>53</u>  |
|                               | Department of Law - Nome   | <u>61</u>  |
|                               | <b><u>TOTAL</u></b>  | <b>184</b> |
| <b>Confidence Level:</b>      | The desired degree of confidence that the sample selected will be representative of the general population; i.e., that the population has the same error rate as the sample.   | <b>95%</b> |
| <b>Degree of Variability:</b> | How similar the population records are - this can be based on error rates found in prior audits, or it can be most conservatively estimated at 50% (e.g., there is a 50/50 chance that a record will be error-free or totally erroneous) | <b>50%</b> |
| <b>Precision Factor:</b>      | Enter the range (plus/minus) between the error rate to be found in this audit sample and that in the population  | <b>10%</b> |
| <b>Sample Size:</b>           | Criminal Case Intake and Dispo (CCID forms)  |            |
|                               | <b><u>TOTAL</u></b>  | <b>61</b>  |

## STEP 3. IDENTIFY REPORTING REQUIREMENTS/DATA SOURCE

See Reporting Requirements (Page 7 of this report) for the list of agencies providing data for this audit.

# AUDIT PROCEDURES

## STEP 4. LOCATE/COPY SOURCE DOCUMENTS

|  |   |
|--|---|
| <b>Arrest Report</b>                   | Obtain from arrest agency if needed to verify CCID of FP card data. |
| <b>Fingerprint Card</b>                | Obtain from repository files.                                       |
| <b>Criminal Case Intake Dispo Form</b> | Obtain from prosecutor.   |
| <b>Filing Document</b>                 | Obtain from court (Not applicable for this audit).                  |
| <b>Court Disposition</b>               | Obtain from repository files (image archive).                       |
| <b>Dispo Change</b>                    | Obtain from repository files (image archive).                       |
| <b>Booking Photo</b>                   | Check digital image archive (mugshot) if on file                    |
| <b>APSIN Rap Sheet</b>                 | Obtain APSIN criminal history for each record.                      |
| <b>APSIN Entry Data Log</b>            | Obtain APSIN "dat-of-entry" logs for each record.                   |

## STEP 5. COLLECT/SCORE DATA

|   |             |
|---|-------------|
| Enter the SAMPLE SIZE (the number of RECORDS audited). RECORD means a single arrest/charging incident referred for prosecution/screening.   | <b>61</b>   |
| Enter the number of CHARGES (individual criminal offense, also referred to as a "count").   | <b>123</b>  |
| Enter the number of FICTIONAL CHARGES IN APSIN (a nonexistent charge, e.g., entries caused by a duplicate report of an actual charge or erroneous report showing a noncriminal violation as a criminal charge, etc.). | <b>2</b>    |
| Enter the number of MISSING CHARGES IN APSIN (a charge that should have been reported/posted in APSIN as part of an arrest event/charging incident, but was omitted).   | <b>29</b>   |
| Enter the number of COLLATERAL OFFENSES (violation of a court or parole condition involving an audited RECORD).   |             |
| Enter the number of DATA ELEMENTS (discrete field of information) for all CHARGES and COLLATERALS in all RECORDS.   | <b>2189</b> |



# REPORTING REQUIREMENTS

| EVENT  | AGENCY RESPONSIBLE   | TIME<br>LIMIT | 13 AAC<br>68.160<br>WAIVER                    |
|--|--|---------------|---|
| <b>Arrest/Citation</b><br>13 AAC 68.115        | Arrest agencies meet this requirement by entering arrest data directly into APSIN. Because other agencies, including the repository, may subsequently update/change that data, it is not possible to audit the timeliness or data quality of the arresting agency's original report. | 1 wk          | NO  |
| <b>Fingerprint Card</b><br>13 AAC 68.120       | Anvil Mountain Correctional Center<br>Kotzebue Jail<br>North Slope Borough Police Department   | 1 wk          | NO<br>NO<br>NO                                |
| <b>Decline Prosecution</b><br>13 AAC 68.130    | District Attorney - Kotzebue   | 1 mo          | NO  |
| <b>Court Filing</b><br>13 AAC 68.135           | not applicable - courts do not report charge filing at this time   | 1 mo          | N/A   |
| <b>Court Disposition</b><br>13 AAC 68.140      | Superior Court - Barrow<br>Superior Court - Kotzebue<br>Superior Court - Nome<br>District Court - Barrow<br>District Court - Kotzebue<br>District Court - Nome<br>Magistrate Court - Unalakleet  | 1 mo          | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A |
| <b>Disposition Change</b><br>13 AAC 68.140-145 | not applicable - no disposition changes were reported in this audit  | 1 mo          | N/A   |
| <b>Booking Photo</b><br>13 AAC 68.150          | (see above; same as fingerprint agencies)  | 1 wk          | NO  |
| <b>APSIN (RAP SHEET)</b><br>AS 12.62.150       | DPS - R&I (Records and ID Bureau)  | N/A           | N/A   |

# MISSING FINGERPRINT RECORDS

| Criminal Charge  | Fingerprint<br>Missing              | Arrest/Cite                         | Charges<br>Filed                    | Disposition                         | Court | ID of Arr/Cit Agency                     | Court / Prosecutor      | Comment                 |
|--|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------|--|-------------------------|-------------------------|
| Misconduct Involving<br>Controlled Substance 4th<br>Degree | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |       | North Slope Borough<br>Police Department | Barrow Superior Court   | No FPC                  |
| Misconduct Involving<br>Controlled Substance 4th<br>Degree | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |       | North Slope Borough<br>Police Department | Barrow Superior Court   | No FPC                  |
| Resist/Interfere with<br>Arrest                            | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Nome Police<br>Department                | Nome District Court     | No FPC                  |
| Alcohol - Minor<br>Possess/Consume-<br>Habitual            | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue Police<br>Department            | Kotzebue District Court | No FPC                  |
| Alcohol - Minor<br>Possess/Consume -<br>Habitual           | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue Police<br>Department            | Kotzebue District Court | No FPC                  |
| Assault 4th Degree   | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue AST                             | Kotzebue District Court | No FPC                  |
| Assault 4th Degree   | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue AST                             | Kotzebue District Court | No FPC                  |
| Criminal Trespass 1st<br>Degree                            | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue District<br>Court               | Kotzebue District Court | No FPC                  |
| Criminal Trespass 1st<br>Degree                            | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue District<br>Court               | Kotzebue District Court | No FPC                  |
| Misconduct Involving<br>Controlled Substance 6th<br>Degree | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue District<br>Court               | Kotzebue District Court | Charge omitted from FPC |
| Assault 4th Degree   | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue District<br>Court               | Kotzebue District Court | No FPC                  |

## MISSING FINGERPRINT RECORDS

| Criminal Charge                                 | Fingerprint<br>Missing              | Arrest/Cite                         | Filed<br>Charges                    | Disposition<br>Court                | ID of Arr/Cit Agency                  | Court / Prosecutor          | Comment                 |
|---|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|---------------------------------------|-----------------------------|-------------------------|
| Violate Condition of Release                    | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Unalakleet VPSO                       | Unalakleet Magistrate Court | No FPC                  |
| Assault 4th Degree                              | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Unalakleet VPSO                       | Nome District Court         | No FPC                  |
| Harassment 2nd Degree                           | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Unalakleet VPSO                       | Unalakleet Magistrate Court | No FPC                  |
| Concealment of Merchandise                      | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Nome Police Department                | Nome District Court         | No FPC                  |
| Leaving the Scene of an Accident                | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | North Slope Borough Police Department | Barrow District Court       | Charge omitted from FPC |
| Alcohol Beverages Transported by Common Carrier | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | North Slope Borough Police Department | Barrow District Court       | No FPC                  |
| Assault 4th Degree                              | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Nome Police Department                | Nome District Court         | No FPC                  |
| Violate DV Protective Order                     | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Kotzebue District Court               | Kotzebue District Court     | No FPC                  |
| Import Alcohol - Dry Area                       | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | North Slope Borough Police Department | Barrow District Court       | No FPC                  |
| Alcohol Beverages Transported by Common Carrier | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | North Slope Borough Police Department | Barrow District Court       | No FPC                  |
| Violate Condition of Release                    | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Barrow District Court                 | Barrow District Court       | No FPC                  |

## MISSING FINGERPRINT RECORDS

| Criminal Charge                   | Fingerprint<br>Missing              | Arrest/Cite                         | Charges<br>Filed                    | Disposition                         | Court | ID of Arr/Cit Agency                  | Court / Prosecutor      | Comment |
|-----------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------|---------------------------------------|-------------------------|---------|
| Driving Under the Influence       | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue District Court               | Kotzebue District Court | No FPC  |
| Assault 4th Degree                | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue AST                          | Kotzebue District Court | No FPC  |
| Assault 4th Degree                | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Nome AST                              | Nome District Court     | No FPC  |
| Interfere with Report of DV Crime | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Nome AST                              | Nome District Court     | No FPC  |
| Assault 4th Degree                | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Nome Police Department                | Nome District Court     | No FPC  |
| Violate Condition of Release      | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue District Court               | Kotzebue District Court | No FPC  |
| Reckless Endangerment             | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue AST                          | Kotzebue District Court | No FPC  |
| Assault 4th Degree                | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | North Slope Borough Police Department | Barrow District Court   | No FPC  |
| Assault 4th Degree                | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | North Slope Borough Police Department | Barrow District Court   | No FPC  |
| Theft 2nd Degree                  | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |       | Office of Special Prosecutor          | Barrow Superior Court   | No FPC  |
| Unsworn Falsification 2nd Degree  | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |       | Office of Special Prosecutor          | Barrow Superior Court   | No FPC  |
| Unsworn Falsification 2nd Degree  | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |       | Office of Special Prosecutor          | Barrow Superior Court   | No FPC  |
| Unsworn Falsification 2nd Degree  | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |       | Office of Special Prosecutor          | Barrow Superior Court   | No FPC  |

## MISSING FINGERPRINT RECORDS

| Criminal Charge                            | Fingerprint<br>Missing              | Arrest/Cite                         | Charges<br>Filed                    | Disposition                         | Court | ID of Arr/Cit Agency                  | Court / Prosecutor      | Comment                 |
|--|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------|---------------------------------------|-------------------------|-------------------------|
| Assault 4th Degree                         | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Nome Police Department                | Nome District Court     | No FPC                  |
| Harassment 2nd Degree                      | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Nome Police Department                | Nome District Court     | No FPC                  |
| Resist/Interfere with Arrest               | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue Police Department            | Kotzebue District Court | Charge omitted from FPC |
| Assault 1st Degree                         | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |       | Kotzebue Police Department            |                         | No FPC                  |
| Violate Condition of Release               | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |       | Kotzebue Police Department            |                         | No FPC                  |
| Vehicle Theft 2nd Degree                   | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue District Court               | Kotzebue District Court | No FPC                  |
| Reckless Driving                           | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue District Court               | Kotzebue District Court | No FPC                  |
| Assault 4th Degree                         | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | North Slope Borough Police Department | Barrow District Court   | No FPC                  |
| Assault 3rd Degree                         | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |       | Kotzebue AST                          |                         | Charge omitted from FPC |
| Assault 3rd Degree                         | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |       | Kotzebue AST                          |                         | Charge omitted from FPC |
| Alcohol - Minor Possess/Consume - Habitual | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue Police Department            | Kotzebue District Court | No FPC                  |
| Misconduct Involving Weapons 4th Degree    | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |       | Kotzebue AST                          | Kotzebue District Court | No FPC                  |

## MISSING FINGERPRINT RECORDS

| Criminal Charge   | Fingerprint<br>Missing              | Arrest/Cite                         | Charges<br>Filed                    | Disposition<br>Court                | ID of Arr/Cit Agency                     | Court / Prosecutor      | Comment |
|---|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|--|-------------------------|---------|
| Misconduct Involving<br>Controlled Substances 5th<br>Degree | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Kotzebue AST                             | Kotzebue District Court | No FPC  |
| Contributing to the<br>Delinquency of a Minor               | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Kotzebue AST                             | Kotzebue District Court | No FPC  |
| Alcoholic Beverage<br>Transport by Common<br>Carrier        | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Bethel District Court                    | Bethel District Court   | No FPC  |
| Driving while License<br>Susp/Canc/Rev/Lim                  | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | North Slope Borough<br>Police Department | Barrow District Court   | No FPC  |
| Assault 4th Degree  | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Nome Police<br>Department                | Nome District Court     | No FPC  |
| Importation of Alcohol                                      | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Nome AST                                 | Nome District Court     | No FPC  |
| Sell Alcohol without<br>License - Wet Area                  | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Nome AST                                 | Nome District Court     | No FPC  |

## **FICTIONAL FINGERPRINT RECORDS**

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## MISSING REPORTS OF COURT DISPOSITIONS

| Criminal Charge                                      | Missing<br>Dispo<br>Court           | Dismissal                           | Conviction                          | Court                   | Comment  |
|--|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------|--|
| Alcohol - Minor Possess/Consume-Habitual             | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Kotzebue District Court | Court did not send the disposition to the repository |
| Vehicle Theft 2nd Degree                             | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Kotzebue District Court | Court did not send the disposition to the repository |
| Criminal Trespass 2nd Degree                         | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Nome District Court     | Court did not send the disposition to the repository |
| Harassment 2nd Degree                                | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Kotzebue District Court | Court did not send the disposition to the repository |
| Assault 4th Degree                                   | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Nome District Court     | Court did not send the disposition to the repository |
| Assault 4th Degree                                   | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Nome District Court     | Court did not send the disposition to the repository |
| Intervere with Report of a DV Crime                  | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Nome District Court     | Court did not send the disposition to the repository |
| Reckless Endangerment                                | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Kotzebue District Court | Court did not send the disposition to the repository |
| Assault 4th Degree                                   | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Barrow District Court   | Court did not send the disposition to the repository |
| Assault 4th Degree                                   | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Barrow District Court   | Court did not send the disposition to the repository |
| Harassment 2nd Degree                                | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Kotzebue District Court | Court did not send the disposition to the repository |
| Misconduct Involving Controlled Substance 5th Degree | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Kotzebue District Court | Court did not send the disposition to the repository |
| Assault 4th Degree                                   | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Nome District Court     | Court did not send the disposition to the repository |

## MISSING REPORTS OF COURT DISPOSITIONS

| Criminal Charge                            | Court Dispo Missing                 | Dismissal                           | Conviction                          | Court                 | Comment  |
|--|-------------------------------------|-------------------------------------|-------------------------------------|-----------------------|--|
| Driving while License<br>Susp/Canc/Rev/Lim | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Barrow District Court | Court did not send the disposition to the repository |
| Importation of Alcohol                     | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Nome District Court   | Court did not send the disposition to the repository |

## MISSING CHARGES IN APSIN

| Criminal Charge                                      | Missing FP                          | Missing Decline          | Missing Court Disposition           | Missing ActiveCase                  | Explanation of Why Charge is Missing in APSIN                        |
|--|-------------------------------------|--------------------------|-------------------------------------|-------------------------------------|--|
| Misconduct Involving Controlled Substance 4th Degree | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Arrest/citation but no FPC;  |
| Misconduct Involving Controlled Substance 4th Degree | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Arrest/citation but no FPC;  |
| Alcohol - Minor Possess/Consume-Habitual             | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Arrest/citation but no FPC; Court disposition not sent to repository |
| Assault 4th Degree                                   | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Arrest/citation but no FPC; Court disposition not sent to repository |
| Import Alcohol - Dry Area                            | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | No arrest/citation; active case;                                     |
| Alcohol Beverages Transported by Common Carrier      | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | No arrest/citation; active case;                                     |
| Violate Condition of Release                         | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | No arrest/citation; active case;                                     |
| Possession of Child Pornography                      | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Arrest/citation but no FPC;  |
| Possession of Child Pornography                      | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Arrest/citation but no FPC;  |
| Possession of Child Pornography                      | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Arrest/citation but no FPC;  |
| Possession of Child Pornography                      | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Arrest/citation but no FPC;  |

## MISSING CHARGES IN APSIN

| Criminal Charge                                      | Missing FP                          | Decline                  | Missing Disposition                 | Missing Court            | ActiveCase                          | Explanation of Why Charge is Missing in APSIN                        |
|--|-------------------------------------|--------------------------|-------------------------------------|--------------------------|-------------------------------------|--|
| Possession of Child Pornography                      | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Arrest/citation but no FPC;  |
| Possession of Child Pornography                      | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Arrest/citation but no FPC;  |
| Possession of Child Pornography                      | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Arrest/citation but no FPC;  |
| Possession of Child Pornography                      | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Arrest/citation but no FPC;  |
| Possession of Child Pornography                      | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Arrest/citation but no FPC;  |
| Misconduct Involving Controlled Substance 4th Degree | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Arrest/citation but no FPC;  |
| Sexual Assault 1st Degree                            | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Arrest/citation but no FPC;  |
| Reckless Endangerment                                | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | Arrest/citation but no FPC; Court disposition not sent to repository |
| Theft 2nd Degree                                     | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input checked="" type="checkbox"/> | No arrest/citation; active case;                                     |
| Unsworn Falsification 2nd Degree                     | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input checked="" type="checkbox"/> | No arrest/citation; active case;                                     |
| Unsworn Falsification 2nd Degree                     | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input checked="" type="checkbox"/> | No arrest/citation; active case;                                     |
| Unsworn Falsification 2nd Degree                     | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input checked="" type="checkbox"/> | No arrest/citation; active case;                                     |
| Assault 1st Degree                                   | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input checked="" type="checkbox"/> | No arrest/citation; active case;                                     |

## MISSING CHARGES IN APSIN

| Criminal Charge                         | Missing FP                          | Decline                  | Missing Disposition                 | Court                    | Missing ActiveCase                  | Explanation of Why Charge is Missing in APSIN                        |
|---|-------------------------------------|--------------------------|-------------------------------------|--------------------------|-------------------------------------|--|
| Violate Condition of Release            | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input checked="" type="checkbox"/> | No arrest/citation; active case;                                     |
| Assault 4th Degree                      | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input checked="" type="checkbox"/> | No arrest/citation; active case;                                     |
| Misconduct Involving Weapons 4th Degree | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | Arrest/citation but no FPC; Court disposition not sent to repository |
| Driving while License Susp/Canc/Rev/Lim | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | Arrest/citation but no FPC; Court disposition not sent to repository |
| Importation of Alcohol                  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            | Arrest/citation but no FPC; Court disposition not sent to repository |

# FICTIONAL CHARGES IN APSIN

| Criminal Charge                         | Fictional Arrest                    | Fictional Decline        | Fictional Court Disposition | Explanation of Why Fictional Charge is in APSIN |
|---|-------------------------------------|--------------------------|-----------------------------|---|
| Sexual Assault of a Minor<br>2nd Degree | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | Data entry error;                               |
| Assault 4th Degree                      | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | Data entry error;                               |

# DATA QUALITY

**#= FOR REPORTS RECEIVED/ENTERED:** number of times a data element was required

**CMP= FOR REPORTS RECEIVED/ENTERED:** percentage of times a required data element was reported/entered

**ACC= FOR DATA PROVIDED,** percentage that was accurate (missing data is not scored for accuracy)

|                      | ARREST REPORT |     |     | FP CARD |      |      | PROSECUTOR DECLINE |     |     | COURT FILING |     |     | COURT DISPO |      |      | DISPO CHANGE |     |     | APSIN |      |      |
|----------------------|---------------|-----|-----|---------|------|------|--------------------|-----|-----|--------------|-----|-----|-------------|------|------|--------------|-----|-----|-------|------|------|
|                      | #             | CMP | ACC | #       | CMP  | ACC  | #                  | CMP | ACC | #            | CMP | ACC | #           | CMP  | ACC  | #            | CMP | ACC | #     | CMP  | ACC  |
| Total Data Elements  | 0             |     |     | 318     | 96%  | 89%  | 0                  |     |     | 0            |     |     | 693         | 99%  | 96%  | 0            |     |     | 1178  | 76%  | 74%  |
| ATN#                 | 0             |     |     | 55      | 76%  | 71%  | 0                  |     |     | 0            |     |     | 73          | 100% | 97%  | 0            |     |     | 94    | 90%  | 90%  |
| Arrest Statute       | 0             |     |     | 55      | 100% | 82%  | 0                  |     |     |              |     |     |             |      |      |              |     |     | 94    | 90%  | 90%  |
| Arrest Literal       | 0             |     |     | 55      | 100% | 95%  | 0                  |     |     |              |     |     |             |      |      |              |     |     | 94    | 90%  | 90%  |
| ID of Arr/Cit Agency | 0             |     |     | 55      | 100% | 96%  | 0                  |     |     |              |     |     |             |      |      |              |     |     | 94    | 90%  | 90%  |
| Date Arr/Cit         | 0             |     |     | 55      | 98%  | 91%  |                    |     |     |              |     |     |             |      |      |              |     |     | 94    | 90%  | 88%  |
| Date of Offense      |               |     |     |         |      |      |                    |     |     |              |     |     |             |      |      |              |     |     | 92    | 87%  | 84%  |
| ID of FP agency      |               |     |     | 43      | 100% | 100% |                    |     |     |              |     |     |             |      |      |              |     |     |       |      |      |
| Decline Prosecution  |               |     |     |         |      |      | 0                  |     |     |              |     |     |             |      |      |              |     |     | 0     |      |      |
| ID of decline agency |               |     |     |         |      |      | 0                  |     |     |              |     |     |             |      |      |              |     |     | 0     |      |      |
| Date declined        |               |     |     |         |      |      | 0                  |     |     |              |     |     |             |      |      |              |     |     | 0     |      |      |
| APSIN ID number      |               |     |     |         |      |      |                    |     |     | 0            |     |     | 73          | 97%  | 92%  |              |     |     |       |      |      |
| Ct Case #            |               |     |     |         |      |      |                    |     |     | 0            |     |     | 73          | 100% | 100% | 0            |     |     | 86    | 78%  | 59%  |
| Disposition Statute  |               |     |     |         |      |      |                    |     |     |              |     |     | 73          | 93%  | 79%  |              |     |     | 31    | 48%  | 48%  |
| Disposition Literal  |               |     |     |         |      |      |                    |     |     |              |     |     | 73          | 96%  | 95%  |              |     |     | 31    | 48%  | 48%  |
| ID of Ct Dispo Agcy  |               |     |     |         |      |      |                    |     |     |              |     |     | 73          | 100% | 100% |              |     |     | 71    | 46%  | 46%  |
| Date of Ct Dispo     |               |     |     |         |      |      |                    |     |     |              |     |     | 73          | 100% | 100% |              |     |     | 71    | 46%  | 45%  |
| Ct Dispo             |               |     |     |         |      |      |                    |     |     |              |     |     | 73          | 100% | 100% |              |     |     | 71    | 46%  | 46%  |
| POS ID Flag          |               |     |     |         |      |      |                    |     |     |              |     |     |             |      |      |              |     |     | 60    | 100% | 100% |
| Fine Imposed         |               |     |     |         |      |      |                    |     |     |              |     |     | 11          | 100% | 100% | 0            |     |     | 11    | 45%  | 45%  |
| Fne Suspended        |               |     |     |         |      |      |                    |     |     |              |     |     | 5           | 100% | 100% | 0            |     |     | 5     | 20%  | 20%  |
| Jail Imposed         |               |     |     |         |      |      |                    |     |     |              |     |     | 27          | 100% | 100% | 0            |     |     | 27    | 56%  | 56%  |
| Jail Suspended       |               |     |     |         |      |      |                    |     |     |              |     |     | 19          | 100% | 100% | 0            |     |     | 19    | 47%  | 47%  |
| Commitment           |               |     |     |         |      |      |                    |     |     |              |     |     | 0           |      |      | 0            |     |     | 0     |      |      |
| Probation            |               |     |     |         |      |      |                    |     |     |              |     |     | 22          | 100% | 100% | 0            |     |     | 22    | 36%  | 36%  |
| Defer Prosecution    |               |     |     |         |      |      |                    |     |     |              |     |     | 0           |      |      | 0            |     |     | 0     |      |      |
| SIS (set aside)      |               |     |     |         |      |      |                    |     |     |              |     |     | 0           |      |      | 0            |     |     | 0     |      |      |
| Alcohol Screen       |               |     |     |         |      |      |                    |     |     |              |     |     | 8           | 100% | 100% | 0            |     |     | 8     | 25%  | 25%  |
| Restitution          |               |     |     |         |      |      |                    |     |     |              |     |     | 3           | 100% | 100% | 0            |     |     | 3     | 67%  | 67%  |
| Lic Susp/Rev         |               |     |     |         |      |      |                    |     |     |              |     |     | 7           | 100% | 100% | 0            |     |     | 7     | 43%  | 43%  |
| Other (CWS)          |               |     |     |         |      |      |                    |     |     |              |     |     | 4           | 100% | 100% | 0            |     |     | 4     | 25%  | 25%  |
| Felony Flag - Dispo  |               |     |     |         |      |      |                    |     |     |              |     |     |             |      |      |              |     |     | 4     | 75%  | 75%  |
| SOR Flag - Dispo     |               |     |     |         |      |      |                    |     |     |              |     |     | 0           |      |      | 0            |     |     | 0     |      |      |
| DV Flag - Dispo      |               |     |     |         |      |      |                    |     |     |              |     |     | 3           | 100% | 100% | 0            |     |     | 3     | 67%  | 67%  |

## SCORING GUIDELINES

| SCORING GUIDELINES           | FP CARD<br># | PROSECUTOR<br>DECLINE<br># | COURT<br>FILING<br># | COURT<br>DISPO<br># | DISPO<br>CHANGE<br># | APSIN<br># | Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.   |
|------------------------------|--------------|----------------------------|----------------------|---------------------|----------------------|------------|--|
| Required Data Element        |              |                            |                      |                     |                      |            |  |
| Arrest Tracking Number (ATN) | x            | x                          |                      | x                   | x                    | x          | if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different than ATN on CCID accuracy = NO (white box)   |
| Charge Number                |              |                            |                      |                     |                      |            | not scored at this time  |
| Offense Code - Arr/Cit       | x            | x                          |                      | x                   | x                    | x          | if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different than CCID, accuracy = NO (white box); (unless obvious problem w/CCID then check arrest report/citation.  |
| ID of Arr/Cit Agency         | x            |                            |                      |                     |                      | x          | if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different than CCID, accuracy = NO (white box); (unless obvious problem w/CCID then check arrest report/citation.  |
| Date Arr/Cit                 | x            |                            |                      |                     |                      | x          | if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box); (unless obvious problem w/CCID then check arrest report/citation. APSIN requires a 'date of arrest' entry; if date of arrest is unknown the date of violation is entered and is scored as complete = YES (checked box); accurate = NO (white box) (systematic error) |
| Date of Offense              |              |                            |                      |                     |                      |            | not scored; regulations require date of offense on FPC, if known   |
| ID of FP agency              | x            |                            |                      |                     |                      |            | if FP agency = arrest agency score under 'id arrest agency'; if FP agency and arrest agency are different, id FP agency must be scored; ori agency is FP agency. If missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different that ori score 0 on accuracy  |
| FP Signature                 | x            |                            |                      |                     |                      |            | if missing on paper FPC completeness = NO (white box); accurate = NOT SCORED (gray box)  |
| Decline Prosecution          |              | x                          |                      |                     |                      | x          | if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box)   |
| ID of decline agency         |              | x                          |                      |                     |                      | x          | if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box)   |
| Date declined                |              | x                          |                      |                     |                      | x          | if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box)   |
| Off Code - Filing            |              |                            |                      |                     |                      |            | not scored at this time  |
| ID of Ct - Chg Filed         |              |                            |                      |                     |                      |            | not scored at this time  |
| Date Chg Filed               |              |                            |                      |                     |                      |            | not scored at this time  |
| Court Case #                 |              |                            |                      | x                   |                      | x          | if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)  |
| Date CtOrd FP                | x            |                            |                      |                     |                      |            | scored if court ordered fingerprints   |
| ID of CtOrd FP               | x            |                            |                      |                     |                      |            | scored if court ordered fingerprints   |
| Court APSIN Id               |              |                            |                      | x                   |                      |            | scored on judgment only if provided by prosecutor on charging document.  |



## SCORING GUIDELINES

| SCORING GUIDELINES    | FP CARD<br># | PROSECUTOR<br>DECLINE<br># | COURT<br>FILING<br># | COURT<br>DISPO<br># | DISPO<br>CHANGE<br># | APSIN<br># | Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.   |
|-----------------------|--------------|----------------------------|----------------------|---------------------|----------------------|------------|--|
| Required Data Element |              |                            |                      |                     |                      |            |  |
| Off Code - Dispo      |              |                            |                      | x                   |                      | x          | For this data field, two data elements are scored: the literal description of the offense and the statute citation for that offense are both required. If one element is reported (on judgment or entered in APSIN) score "complete" as 1; if second element is missing or inaccurate, score "accuracy" as 0. If both elements are missing score completeness and accuracy as N/N. |
| ID of Ct Dispo Agcy   |              |                            |                      | x                   |                      | x          | from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)  |
| Date of Ct Dispo      |              |                            |                      | x                   |                      | x          | from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)  |
| Ct Dispo              |              |                            |                      | x                   |                      | x          | from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)  |
| POS ID (FP) Flag      |              |                            |                      |                     |                      | x          | score all charges in APSIN; systematic entry; if FP on file flag = yes; if no FP on file flag = NOT SCORED (gray box);   |
| Fine                  |              |                            |                      | x                   |                      | x          | score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)   |
| Jail                  |              |                            |                      | x                   |                      | x          | score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)   |
| Probation             |              |                            |                      | x                   |                      | x          | score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)   |
| SIS (set aside)       |              |                            |                      | x                   |                      | x          | score only if on court documents; if missing in APIs completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)  |
| Alcohol Screening     |              |                            |                      | x                   |                      | x          | score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)   |
| Restitution           |              |                            |                      | x                   |                      | x          | score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)   |
| License Susp/Rev      |              |                            |                      | x                   |                      | x          | score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)   |
| CWS                   |              |                            |                      | x                   |                      | x          | score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)   |
| Felony/Misd Status    |              |                            |                      | x                   |                      | x          | for disposed charges in APSIN; systematic entry; if blank or not set = NO (white box) for completeness and accuracy = NOT SCORED (gray box)OT SCORED (gray box); Level of severity is based on the UOCT. If the felony indicator = Y in APSIN and UOCT has felony determination accuracy = YES (checked box).  |

## SCORING GUIDELINES

| SCORING GUIDELINES      | FP CARD<br># | PROSECUTOR<br>DECLINE<br># | COURT<br>FILING<br># | COURT<br>DISPO<br># | DISPO<br>CHANGE<br># | APSIN<br># | Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.                  |
|-------------------------|--------------|----------------------------|----------------------|---------------------|----------------------|------------|---|
| Required Data Element   |              |                            |                      |                     |                      |            |   |
| Sex Ofndr Regis Flag    |              |                            |                      | x                   |                      | x          | score only charges specified SOR by court; If missing in APSIN, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than judgment, accuracy = NO (white box) |
| DV Flag - Dispo         |              |                            |                      | x                   |                      | x          | score only charges specified DV by court; If missing in APSIN, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than judgment, accuracy = NO (white box)  |
| Disposition Change      |              |                            |                      |                     | x                    | x          | from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)                                     |
| ID of Dispo Chg Agcy    |              |                            |                      |                     | x                    | x          | from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)                                     |
| Date of Dispo Change    |              |                            |                      |                     | x                    | x          | from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)                                     |
| App.Case #              |              |                            |                      |                     | x                    | x          | from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)                                     |
| Collateral Arrest Dt    |              |                            |                      |                     |                      |            |   |
| Collateral Offense Code |              |                            |                      |                     |                      |            |   |
| Collateral Ct Case #    |              |                            |                      |                     |                      |            |   |