

ALASKA CRIMINAL RECORDS AUDIT

Reporting Compliance & Data Quality

Criminal Cases Referred for Prosecution in January 2005

What is the probability of finding complete, accurate information about a charge initiated during the audit period (by Judicial District)?

4th Judicial District

...on a Fingerprint Card	53.0%
...in a Disposition Report	89.0%
<i>prosecutor declination</i>	83.0%
<i>court judgment/order</i>	92.0%
 ...in APSIN	46.0%

EXECUTIVE SUMMARY

Criminal Cases Referred for Prosecution January 2005 Fourth JUDICIAL DISTRICT

Purpose

The purpose of the audit is to evaluate the effectiveness of procedures for compiling criminal history records from various sources. This audit attempts to answer this question: **What is the probability of finding complete, accurate information at the state's central repository about a charge initiated in this Judicial District during this audit period?**

Methodology

Population: 655 Criminal Case Intake and Disposition forms

Sample: 84 Criminal Case Intake and Disposition forms

Based on the sample selection criteria, the auditor is 95% certain (confidence level) that the error rates found in the records sampled will be within 10% (precision factor) of the error rates for the entire population.

The auditor calculates the probability of finding complete, accurate information about a charge from these sources:

FINGERPRINT CARDS, required to be submitted to the state central repository for all criminal charges (AS 12.80.060)

DISPOSITION REPORTS, required from the prosecutor or court that disposed of a charge (AS 12.62.120);

APSIN (the Alaska Public Safety Information Network) is the computerized criminal history record system. Information about a charge in APSIN may be entered directly by the arresting agency. It may be updated by repository staff based on fingerprint cards and disposition reports, as well as other research. Increasingly, it may be entered automatically from an arrest, fingerprint or disposing agency's information system through an electronic interface.

Findings

To calculate the overall probability of finding complete, accurate information about a charge, the auditor determined, for each information source, the percentage of information that was (a) recorded, (b) not fictional, (c) complete, and (d) accurate. The auditor's computations are shown on the following page:

EXECUTIVE SUMMARY

What is the probability that the charge is (a) RECORDED?

	Actual (Required)	Missing	(a) RECORDED
Charges on Fingerprint Cards	110 100%	-48 44%	62 56%
Charges in Disposition Reports	110 100%	3 -3%	99 90%
... Prosecutor (Declines)	13	-2 15%	11 85%
... Court (Judgments/Orders)	73	-5 7%	68 93%
... None (confirmed active)	24	10 -42%	20 83%
Charges in APSIN	110 100%	-31 28%	79 72%

What is the probability that the recorded charge is (b) NOT FICTIONAL*?

	Actual (Required)	Fictional	(b) NOT FICTIONAL
Charges on Fingerprint Cards	110 100%	0 0%	100%
Charges in Disposition Reports	110 100%	0 0%	100%
... Prosecutor (Declines)	13	0 0%	100%
... Court (Judgments/Orders)	73	0 0%	100%
... None (confirmed active)	24	0 0%	100%
Charges in APSIN	110 100%	0 0%	100%

If the charge is recorded, what is the probability it is (c) COMPLETE (not missing data)?

	Actual Data	Missing Data	(c) COMPLETE
Data on Fingerprint Cards	282 100%	-5 2%	277 98%
Data in Disposition Reports	559 100%	-7 1%	552 99%
... Prosecutor (Declines)	66	0 0%	66 100%
... Court (Judgments/Orders)	493	-7 1%	486 99%
... None (confirmed active)	0	0	0 n/a
Data in APSIN	763 100%	-270 35%	493 65%

If the data is complete, what is the probability that it is (d) ACCURATE?

	Actual Data	Data Errors	(d) ACCURATE
Data on Fingerprint Cards	277 100%	12 4%	265 96%
Data in Disposition Reports	552 100%	1 0%	551 100%
... Prosecutor (Declines)	66	1 2%	65 98%
... Court (Judgments/Orders)	486	0 0%	486 100%
... None (confirmed active)	0	0	0 n/a
Data in APSIN	493 100%	7 1%	486 99%

*A "fictional" charge is one that should not be reported to the repository or entered into APSIN; fictional charges are often caused by duplicate reporting or entry of charges, or reporting noncriminal violations as criminal charges.

Completeness scores (missing data) in APSIN include information that was reported to the repository but was incomplete and reports that were submitted to the repository but not entered in a timely manner.

EXECUTIVE SUMMARY

Conclusion: The overall probability of finding complete, accurate information is calculated as follows: (a) % recorded X (b) % not fictional X (c) % data complete X (d) % data accurate

Charges on Fingerprint Cards (a) 56% X (b) 100% X (c) 98% X (d) 96% **53%**

Charges in Disposition Reports (a) 90% X (b) 100% X (c) 99% X (d) 100% **89%**

... *Prosecutor Declines* (a) 85% X (b) 100% X (c) 100% X (d) 98% **83%**

... *Court (Judgments/Orders)* (a) 93% X (b) 100% X (c) 99% X (d) 100% **92%**

Charges in APSIN (a) 72% X (b) 100% X (c) 65% X (d) 99% **46%**

The remainder of the report provides details about the audit methodology and procedures, identifies the specific charges that were found to be missing and fictional, and shows completeness and accuracy rates for specific data elements for each information source.

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FOURTH JUDICIAL DISTRICT

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PART I. AUDIT PURPOSE AND SCOPE

Purpose: The purpose of this audit is to evaluate the quality of criminal history data submitted to the state central repository and maintained in APSIN.

Mandate: AS 12.62.120 requires criminal justice agencies to report certain events to DPS at the time, in the manner, and in the form specified by the department. These reporting requirements are defined in 13 AAC 68, Article 2. AS 12.62.150 requires DPS to conduct periodic audits to ensure compliance with 12.62.

Objectives: The primary objective is to assess, with statistically supported accuracy, the completeness, timeliness and accuracy of data submitted to the repository and entered into APSIN. A second objective is to determine what systematic errors are contributing to incomplete, late, or inaccurate records. A third objective is to identify the effects of recent projects, automation, or other programmatic or policy changes that have improved record quality.

Scope: The audit sample is drawn from criminal cases referred for prosecution during January of 2005. This allows the auditor to measure data quality for "reportable" events, to compare changes from year to year, and complete the audit within available resources.

"Reportable Events" - An arrest charge must be reported to the repository even if it is declined for prosecution. A criminal charge that does not involve an arrest must be reported only if it is prosecuted. Noncriminal infractions and juvenile charges referred to a prosecutor do not have to be reported. By reviewing all cases referred for prosecution, the audit identifies not only the percentage of *reportable events* submitted to the repository, but also identifies any *non-reportable* events that were incorrectly submitted to the repository, or entered into APSIN. The events required to be reported to the repository, the contents of each report, and the timelines for reporting the information are defined in AS 12.62.120 and 13 AAC 68 Article 2, including:

- Arrest / Citation
- Fingerprinting
- Booking (photo)
- Decision not to commence criminal proceedings (for charge resulting from arrest)
- Filing of a charging document
- Court disposition
- Disposition change (court, appellate court, parole board)

In addition to measuring compliance with the reporting of events, the auditor measures the data quality of APSIN records based on events required to be reported. For example, if the audit sample contained 100 charges that were referred for prosecution the auditor would measure how many of those charges were correctly entered into APSIN. The auditor would also measure how many charges were incorrectly entered into APSIN (referred to as "fictional" or "duplicate" charges). For example, if any of the 100 charges involved a juvenile, a noncriminal infraction, or a charge that did not stem from arrest and was not prosecuted, the auditor confirms that those charges were correctly *omitted* from APSIN.

Jurisdiction - The audit consists of separate record samples and findings for the **Fourth Judicial District**. The audit does not attempt to measure reporting compliance for individual agencies within a judicial district. Instead, it evaluates reporting compliance and APSIN record quality based on the integrated, system wide efforts of all agencies within the judicial district and repository staff.

PART I. AUDIT PURPOSE AND SCOPE

Audit Period - DPS has limited the scope of the audit to a one month period to match the level of audit resources available. To enable year-to-year comparison, prosecutor referrals for the same month (January) will be sampled each year. The auditor reviews reports related to these January referrals which are submitted to APSIN by a predetermined cut-off date (July 31). This cutoff date was selected to make audit findings comparable no matter what time of year an audit is completed. For example, if the auditor completes the review of January cases from the First Judicial District in August, then completes the review of January cases for the Second Judicial District in October, the findings are not comparable unless a fixed cutoff date is used.

Limiting the audit to this "snapshot" period means that certain events and records are less likely to be audited, however. For example, serious felony cases are less likely than misdemeanors to have reached final disposition within the 7-month snapshot period. Events such as changing of dispositions on appeal and granting of executive clemency are less likely to occur within the snapshot period. This means that the audit findings are more statistically significant for the initial events (reporting of arrests, fingerprinting, and prosecutor declinations). The audit findings are not necessarily statistically significant for subsequent events, such as court dispositions or disposition changes.

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PART II. AUDIT PROCEDURES

STEP 3. IDENTIFY REPORTING REQUIREMENTS/DATA SOURCES

EVENT	AGENCY RESPONSIBLE	TIME LIMIT	13 AAC 68.160 WAIVER
Arrest/Citation 13 AAC 68.115	Arrest agencies meet this requirement by entering arrest data directly into APSIN. Because other agencies, including the repository, may subsequently update/change that data, it is not possible to audit the timeliness or data quality of the arresting agency's original report.	1 wk	NO
Fingerprint Card 13 AAC 68.120	Fairbanks Airport Police Fairbanks Police Department Fairbanks AST Enforcement Fort Yukon Police Department North Pole Police Department Tok AST Enforcement University of Alaska Fairbanks Yukon-Kuskokwim Correctional Center - Bethel	1 wk	NO NO NO NO NO NO NO
Decline Prosecution 13 AAC 68.130	District Attorney Fairbanks	1 mo	NO NO NO
Court Filing 13 AAC 68.135	not applicable - courts do not report charge filing at this time	1 mo	N/A
Court Disposition 13 AAC 68.140	District Court Bethel District Court Chevak District Court Fairbanks District Court Galena District Court Tok Magistrate Emmonak Magistrate St. Mary's Superior Court Fairbanks	1 mo	N/A N/A N/A N/A N/A N/A N/A N/A
Disposition Change 13 AAC 68.140-145	not applicable - no disposition changes were reported in this audit	1 mo	N/A
Booking Photo 13 AAC 68.150	(see above; same as fingerprint agencies)	1 wk	NO
APSIN (RAP SHEET) AS 12.62.150	DPS - R&I (Records and ID Bureau)	N/A	N/A

PART II. AUDIT PROCEDURES

STEP 4. LOCATE/COPY SOURCE DOCUMENTS

Arrest Report	Obtain from arrest agency <i>if needed</i> to verify CCID or FP card data.
Fingerprint Card	Obtain from repository files.
Criminal Case Intake/Dispo Form	Obtain from prosecutor.
Filing Document	Obtain from court. <i>(Not applicable for this audit).</i>
Court Disposition	Obtain from repository files (image archive).
Dispo Change	Obtain from repository files (image archive).
Booking Photo	Check repository files to confirm if on file.
APSIN Rap Sheet	Obtain APSIN criminal history for each record.
APSIN Entry Date Log	Obtain APSIN "date-of-entry" logs for each record.

STEP 5. COLLECT/SCORE DATA

Enter the SAMPLE SIZE (the number of RECORDS audited). RECORD means a single arrest/charging incident referred for prosecution/screening.	84
Enter the number of CHARGES (individual criminal offense, also referred to as a "count").	110
Enter the number of FICTIONAL CHARGES IN APSIN (a nonexistent charge, e.g., entries caused by a duplicate report of an actual charge or erroneous report showing a noncriminal violation as a criminal charge, etc.).	0
Enter the number of MISSING CHARGES IN APSIN (a charge that should have been reported/posted in APSIN as part of an arrest event/charging incident, but was omitted).	31
Enter the number of COLLATERAL OFFENSES (violation of a court or parole condition involving an audited RECORD).	0
Enter the number of DATA ELEMENTS (discrete field of information) for all CHARGES and COLLATERALS in all RECORDS.	1,604

PART III. REPORTING - DETAIL

Missing and Fictional Fingerprint Records

Detail on Missing FP Charges:

	Criminal Charge	FP Missing	Arrest/Cite Agency	Court	Comment
1	Violate Protective Order	✓	Galena PD	Galena District	no FP; no arrest/cite; charges filed; court disposition
2	False Information	✓	Fairbanks AST	Fairbanks District	no FP despite arrest/cite, prosecution declined
3	Hindering Prosecution	✓	Fairbanks AST	Fairbanks District	no FP despite arrest/cite, prosecution declined
4	Misuse of Plates	✓	Fairbanks AST	Fairbanks District	no FP despite arrest/cite, active case
5	Assault 4th	✓	Fairbanks AST	Fairbanks District	no FP; no arrest/cite; charges filed; court disposition
6	Criminal Mischief 4th	✓	Fairbanks AST	Fairbanks District	no FP; no arrest/cite; charges filed; court disposition
7	MICS 6th	✓	Fairbanks Airport PD	Fairbanks District	no FP despite arrest/cite, prosecution declined
8	Theft 4th	✓	Fairbanks PD	Fairbanks District	no FP despite arrest/cite, court disposition
9	Criminal Mischief 4th	✓	Bethel PD	Bethel District	no FP; no arrest/cite; charges filed; court disposition
10	Violate Conditions of Release	✓	Bethel PD	Bethel District	no FP; despite arrest/cite; charges filed; court disposition
11	Theft of Service	✓	Fairbanks AST	Fairbanks District	no FP despite arrest/cite, court disposition
12	MICS 6th	✓	Bethel AST	Bethel District	no FP; no arrest/cite; charges filed; active case
13	Assault 4th	✓	University AK/FAI PD	Fairbanks District	no FP despite arrest/cite, court disposition
14	MICS 5th	✓	Hooper Bay PD	Chevak District	no FP despite arrest/cite, court disposition

PART III. REPORTING - DETAIL

Missing and Fictional Fingerprint Records

	Criminal Charge	FP Missing	Arrest/Cite Agency	Court	Comment
15	MICS 6th	✓	Fairbanks AST	Fairbanks District	1 of 2 charges omitted from card; arrest/cite; prosecution declined
16	NVOL	✓	Fairbanks Airport PD	Fairbanks District	no FP despite arrest/cite; active case
17	NVOL	✓	University AK/FAI PD	Fairbanks District	no FP despite arrest/cite; active case
18	MCA / Habitual	✓	Tanana PD	Fairbanks District	no FP despite arrest/cite, court disposition
19	Assault 4th	✓	Tanana PD	Fairbanks District	no FP despite arrest/cite, court disposition
20	Criminal Trespass 1st	✓	Bethel AST	Bethel District	no FP; no arrest/cite; charges filed; active case
21	Assault 3rd	✓	Fairbanks AST	Fairbanks District	no FP despite arrest/cite, court disposition
22	Criminal Trespass 2nd	✓	Fairbanks PD	Fairbanks District	no FP despite arrest/cite; prosecution declined
23	Felony Refusal of Chem Test	✓	Fairbanks PD	Fairbanks District	1 of 4 charges omitted from card; arrest/cite; court disposition
24	Assault 4th	✓	Fairbanks PD	Fairbanks District	no FP; despite arrest/cite; charges filed; active case
25	Reckless Endangerment	✓	Chevak	Chevak District	1 of 4 charges omitted from card; arrest/cite; charges filed; court disposition
26	Violate Conditions of Release	✓	Kwethluk PD	Bethel District	no FP despite arrest/cite, court disposition
27	MICS 5th	✓	Hooper Bay PD	Chevak District	no FP despite arrest/cite, active case
28	DWOL	✓	Bethel PD	Bethel District	no FP despite arrest/cite, active case

PART III. REPORTING - DETAIL

Missing and Fictional Fingerprint Records

	Criminal Charge	FP Missing	Arrest/Cite Agency	Court	Comment
29	Harassment	✓	Bethel AST	Chevak District	no FP; no arrest/cite; charges filed; court disposition
30	Assault 4th	✓	Fairbanks AST	Fairbanks District	no FP; no arrest/cite; charges filed; court disposition
31	Interfere w/reporting DV	✓	Fairbanks AST	Fairbanks District	no FP; no arrest/cite; charges filed; court disposition
32	Refusal to Submit to Chem test	✓	St. Mary's PD	St. Mary's District	no FP despite arrest/cite, active case
33	Reckless Driving	✓	St. Mary's PD	St. Mary's District	no FP despite arrest/cite, active case
34	MICS 4th	✓	Fairbanks PD	Fairbanks District	ct 2 of 6 charges omitted from card; arrest/cite; court disposition
35	Criminal Trespass 2nd	✓	Fairbanks PD	Fairbanks District	ct 3 of 6 charges omitted from card; arrest/cite; court disposition
36	DUI	✓	Fairbanks PD	Fairbanks District	ct 4 of 6 charges omitted from card; arrest/cite; court disposition
37	Assault 4th	✓	Fairbanks PD	Fairbanks District	ct 5 of 6 charges omitted from card; arrest/cite; court disposition
38	Leaving the Scene	✓	Fairbanks PD	Fairbanks District	ct 6 of 6 charges omitted from card; arrest/cite; court disposition
39	Violate Conditions of Release	✓	Hooper Bay PD	Bethel District	no FP despite arrest/cite, court disposition
40	Driving while Intoxicated	✓	Hooper Bay PD	Bethel District	no FP despite arrest/cite, court disposition
41	Assault 4th	✓	Hooper Bay PD	Chevak District	no FP; no arrest/cite; charges filed; court disposition
42	DUI	✓	Emmonak PD	Emmonak District	no FP despite arrest/cite, court disposition

PART III. REPORTING - DETAIL

Missing and Fictional Fingerprint Records

	Criminal Charge	FP Missing	Arrest/Cite Agency	Court	Comment
43	Assault 4th	✓	Mount. Village VPSO	St. Mary's District	no FP despite arrest/cite, court disposition
44	Assault 4th	✓	Bethel AST	St. Mary's District	no FP despite arrest/cite, court disposition
45	Manufact Alcohol w/o License	✓	Bethel AST	St. Mary's District	no FP despite arrest/cite, court disposition
46	Reckless Endangerment	✓	Bethel AST	St. Mary's District	no FP despite arrest/cite, court disposition
47	Reckless Endangerment	✓	Bethel AST	St. Mary's District	no FP despite arrest/cite, court disposition
48	Reckless Endangerment	✓	Bethel AST	St. Mary's District	no FP despite arrest/cite, court disposition

Detail on Fictional FP Charges:

	Criminal Charge	Fictional	Arrest/Cite Agency	Court	Comment
	No Fictional FP charges in this audit				

PART III. REPORTING - DETAIL

Missing Reports of Prosecutor Declinations

	Criminal Charge	Decline Missing	Prosecution Agency	Comment
1	False Information	✓	Fairbanks District Attorney	Arrested; charges never entered into APSIN; Declined for prosecution, but CCID not received
2	Hindering Prosecution	✓	Fairbanks District Attorney	Arrested; charges never entered into APSIN; Declined for prosecution, but CCID not received

PART III. REPORTING - DETAIL

Missing Reports of Court Dispositions

	Criminal Charge	Court Dispo Missing	Court	Comment
1	MCA Habitual	✓	Tok District Court	court did not send disposition (conviction) to repository
2	Criminal Mischief 4th	✓	Bethel District Court	court did not send disposition (dismissal) to repository
3	MICS 5th	✓	Chevak District Court	court did not send disposition (dismissal) to repository
4	Burglary 2	✓	Fairbanks District Court	court did not send disposition (dismissal) to repository
5	Assault 4th	✓	Chevak District Court	court did not send disposition (conviction) to repository

PART III. REPORTING - DETAIL

Missing and Fictional Charges in APSIN

	Criminal Charge	Missing FP	Missing Decline	Missing Court Dispo	Explanation of Why Charge is Missing in APSIN
1	Violation of Protective Order	✓			No arrest/citation - court dispo received but not entered before audit cut off date
2	False Information	✓	✓		Delta Junction didn't enter arrest/citation; report supports arrest; no indication prosecutor sent CCID with decline information
3	Hindering Prosecution	✓	✓		Delta Junction didn't enter arrest/citation; report supports arrest; no indication prosecutor sent CCID with decline information
4	Assault 4th	✓			No arrest/citation - court dispo received but not entered before audit cut off date
5	MICS 6th	✓			No arrest/citation - court dispo received but not entered before audit cut off date.
6	Criminal Mischief 4th	✓		✓	No arrest/citation, but filed in court - court dispo not sent to the repository.
7	Violate Condition of Release	✓			Arrested by Bethel PD; arrest not entered in APSIN; charge filed in court; court dispo received 7/19/05 (active w/in 30 days).
8	MICS 6th	✓			No arrest/citation, but filed in court - active case.
9	MICS 5th	✓		✓	Arrested by Hooper Bay PD; arrest not entered in APSIN; charge filed in court; dismissed 5/11/05 but not sent to repository.
10	NVOL	✓			Arrest/cite by University of Alaska Fairbanks PD; arrest not entered in APSIN; active case.
11	MCA Habitual	✓			Arrested by Tanana PD; arrest not entered in APSIN; court dispo received but not entered before audit cut off date.
12	Assault 4th DV	✓			Arrested by Tanana PD; arrest not entered in APSIN; court dispo received but not entered before audit cut off date.
13	Criminal Trespass	✓			No arrest/citation, but filed in court; active case

PART III. REPORTING - DETAIL

Missing and Fictional Charges in APSIN

	Criminal Charge	Missing FP	Missing Decline	Missing Court Dispo	Explanation of Why Charge is Missing in APSIN
14	Violate Condition of Release	✓			Arrested by Kwethluk PD; arrest not entered in APSIN; court dipso received but not entered before audit cut off date.
15	MICS 5th	✓			Arrested by Hooper Bay PD; arrest not entered in APSIN; active case.
16	DWOL	✓			Cited by Bethel PD; arrest not entered in APSIN; active case.
17	Harassment	✓		✓	Arrested by Chevak AST; arrest not entered in APSIN; court dispo (dismissal) sent not received
18	Assault 4th	✓			No arrest/citation, but filed in court. Arrest not entered in APSIN; Disposed 6/24/05 (no received date on Judgment; sent by court 7/22/05 (active w/in 30 days); unsure when it was received.
19	Interfere w DV report	✓			No arrest/citation, but filed in court. arrest not entered in APSIN; Disposed 6/24/05 (no received date on Judgment; sent by court 7/22/05 (active w/in 30 days); unsure when it was received.
20	Refusal to Submit to Chem test	✓			Arrested by St. Mary's PD, arrest not entered in APSIN; active case.
21	Reckless Driving	✓			Arrested by St. Mary's PD, arrest not entered in APSIN; active case.
22	Violation of Conditions of Release	✓			Arrested by Hooper Bay PD, arrest not entered in APSIN, active case.
23	Driving While Intoxicated	✓			Arrested by Hooper Bay PD, arrest not entered in APSIN, active case.
24	Assault 4th	✓		✓	No arrest/citation, filed in court; disposed 4/1/2005 but not sent to repository
25	DUI	✓		✓	Arrested by Emmonak PD, arrest not entered in APSIN; court dispo sent, not received
26	Assault 4th DV	✓			Arrested by VPSO, Mountain Village; arrest not entered in APSIN; active case
27	Assault 4th DV	✓			Arrested by Pitkas Pt. AST; arrest not entered in APSIN; disposed 6/12/05; rec'd by DPS 6/14/05; charging docs were requested because arrest info was missing.

PART III. REPORTING - DETAIL

Missing and Fictional Charges in APSIN

	Criminal Charge	Missing FP	Missing Decline	Missing Court Dispo	Explanation of Why Charge is Missing in APSIN
28	Manufact Alcohol w/o license	✓			Arrested by Pitkas Pt. AST; arrest not entered in APSIN; disposed 6/12/05; rec'd by DPS 6/14/05; charging docs were requested because arrest info was missing.
29	Reckless Endangerment	✓			Arrested by Pitkas Pt. AST; arrest not entered in APSIN; disposed 6/12/05; rec'd by DPS 6/14/05; charging docs were requested because arrest info was missing.
30	Reckless Endangerment	✓			Arrested by Pitkas Pt. AST; arrest not entered in APSIN; disposed 6/12/05; rec'd by DPS 6/14/05; charging docs were requested because arrest info was missing.
31	Reckless Endangerment	✓			Arrested by Pitkas Pt. AST; arrest not entered in APSIN; disposed 6/12/05; rec'd by DPS 6/14/05; charging docs were requested because arrest info was missing.

Detail on Fictional Charges:

Criminal Charge	Comment
No Fictional charges in this audit	

PART IV. DATA QUALITY

= FOR REPORTS RECEIVED/ENTERED: number of times a data element was required

CMP = FOR REPORTS RECEIVED/ENTERED: percentage of times a required data element was reported/entered

ACC = FOR DATA PROVIDED, percentage that was accurate (missing data is not scored for accuracy)

	ARREST REPORT ¹			FP CARD			PROSECUTOR DECLINE			COURT FILING ²			COURT DISPO			DISPO CHANGE			APSIN		
	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC
Total Data Elements				282	98.2%	95.7%	66	100%	98%				493	98.6%	100.0%	0			763	64.6%	98.6%
ATN #	0			62	94%	97%	11	100%	100%	0			68	100%	100%	0			79	100%	100%
Charge Number	0									0			0			0			0		
Offense Code - Arr/Cit	0			62	98%	98%	11	100%	100%										79	100%	100%
ID of Arr/Cit Agency	0			11	100%	100%	11	100%	100%										79	100%	100%
Date Arr/Cit	0			62	100%	85%													79	100%	99%
Date of Offense				0															0		
ID of FP agency				62	100%	100%													0		
FP Signature				23	100%	100%															
Decline Prosecution							11	100%	91%										11	55%	83%
ID of decline agency							11	100%	100%										11	55%	83%
Date declined							11	100%	100%										11	55%	67%
Off Code - Filing																					
ID of Ct - Chg Filed																					
Date Chg Filed																					
Court Case #													68	100%	100%	0			53	32%	100%
Date CtOrd FP				0															0		
ID of CtOrd FP				0															0		
Off Code - Dispo													68	90%	100%	0			53	25%	92%
ID of Ct Dispo Agcy													68	100%	100%	0			53	25%	100%
Date of Ct Dispo													68	100%	100%	0			53	25%	100%
Ct Dispo													68	100%	100%	0			53	25%	100%
POS ID (FP) Flag																			71	100%	99%
Fine													11	100%	100%	0			11	36%	100%
Jail													26	100%	100%	0			24	21%	100%
Probation													22	100%	100%	0			19	21%	100%
SIS (set aside)													3	100%	100%	0			2	0%	
Alcohol Screening													6	100%	100%	0			5	0%	
Restitution													1	100%	100%	0			1	100%	100%
License Susp/Rev													9	100%	100%	0			9	33%	100%
CWS													4	100%	100%	0			4	0%	
Felony/Misd Status																			2	100%	100%
Sex Ofndr Regis Flag													0			0			0		
DV Flag - Dispo													3	100%	100%	0			1	0%	
Disposition Change																0			0		
ID of Dispo Chg Agcy																0			0		
Date of Dispo Change																0			0		
App.Case #																0			0		
Collateral Arrest Dt				0															0		
Collateral Offense Cod				0															0		
Collateral Ct Case #				0															0		

¹ Arrest reporting/data quality was not audited, because arrest agencies are not required to submit a source document (arrest report) to the repository. The agencies enter arrest/citation data directly into APSIN, which may also be updated/overwritten by repository staff (based on other source documents).

² The Alaska Court System does not report charge filing information to the repository at this time.

PART IV. DATA QUALITY

AUDIT GUIDELINES	The audit cut off date was July 31, 2005. Reports received after July 31, 2005, were not audited. This audit scores MISSING REPORTS, ERRONEOUS (fictional) REPORTS (there were no fictional charges in this audit) and DATA QUALITY. MISSING REPORTS are identified and scored as one component of overall performance. DATA QUALITY is scored from information reported to the repository. Data submitted on CCID forms, fingerprint cards and court documents is scored in the "DATA QUALITY" component of the audit. Data quality is scored for "COMPLETENESS" (was it reported) and "ACCURACY" (was it correct). The executive summary of this audit report compiles MISSING REPORTS, ERRONEOUS CHARGES (fictional) and scored values of reported information (DATA QUALITY) and derives an overall audit score for this audit period .
APSIN	In this audit, a total of 110 charges were audited. Of 110 charges that should have been entered into APSIN by 7/31/05, 31 charges were MISSING. Of 110 charges audited, 79 charges were entered into APSIN from arrests, fingerprint information and court dispositions. Of 79 charges reported and scored as "arrests" in DATA QUALITY in APSIN, 11 charges were reported as declined and 68 dispositions were reported by the court. Of 68 dispositions reported, 53 were scored in APSIN for data quality. Fifteen charges were reported but not entered into APSIN by the audit cut off date.
FINGERPRINT CARDS	In this audit, 110 FPC charges were audited. Of 110 FP charges that should have been reported and entered into APSIN, 48 charges were MISSING, resulting in 62 charges scored for DATA QUALITY.
PROSECUTOR DECLINES	In this audit, 13 prosecutor declines were audited. Of the 13 declines 2 were MISSING. Only charges for which there was an arrest, citation, summons or charge filed in court AND the charge was subsequently declined for prosecution require a declination report. Charges that are investigated or referred to the prosecutor without arrest or charging event, and subsequently declined for prosecution, do not require APSIN entry.
COURT JUDGMENTS	In this audit 73 charges were disposed in court dispositions. Of 110 arrest charges, 73 charges required court dispositions. Charges that were not disposed by the court include 24 active charges and 13 charges declined for prosecution. Of 73 charges that required a court disposition, 5 dispositions were missing. Missing dispositions (not reported to the repository by the court) included 3 dismissals and 2 convictions. In this audit, 1 disposition report was sent by the court but not received by the repository (as verified by certified "court sent" dates on court documents). For this charge the court is given credit for reporting the disposition but APSIN is scored as MISSING information. A total of 68 charges were scored for DATA QUALITY in court dispositions.
DATA COMPLETENESS / DATA ACCURACY	
ATN # (Arrest Tracking Number)	
Fingerprint Card	Completeness: Of 62 fingerprint card charges reported to the repository, 94% (58) reported ATN's; 4 charges were missing an ATN. Accuracy: of 58 fingerprint charges reported with ATN's, 97% (56) were accurate.
Prosecutor Decline	Completeness/Accuracy: Of 11 prosecutor declined charges reported to the repository, 100% reported ATN's completely and accurately .
Court	Completeness: Of 68 ATN's reported on dispositions, 100% were reported completely and accurately .
APSIN	Completeness/Accuracy: Of 79 charges reported to the repository, 100% included ATN's that were entered completely and accurately .
Offense Code - Arrest/Citation	Fingerprint card offense codes are scored for two elements: a charge and a statute. A correct and accurate offense code must show a literal description of the charge and an accompanying statute. If the description of a charge or statute is reported, it is scored as complete. If either or both the description or statute is wrong, it is scored as inaccurate.
Fingerprint Card	Completeness: Of 62 charges on fingerprint cards, 98% (61) reported offense codes. Accuracy: Of 61 reported offense codes, 98% (60) were accurate.
Prosecutor Decline	Completeness/Accuracy: Of 11 prosecutor declined charges reported to the repository, 100% reported offense codes completely and accurately .
APSIN	Completeness: Of 79 charges reported to the repository with offense codes, 100% of offense codes were entered completely and accurately .
ID of Arrest/Citation Agency	The "ID of arrest/citation agency" is scored only when the arrest agency is specifically identified on the fingerprint card. If the arrest agency and the fingerprint agency are the same, the "ID of fingerprint agency" is scored.

PART IV. DATA QUALITY

Fingerprint Card	Completeness: Of 62 charges on fingerprint cards, 11 were reported with specific arrest agency ID's (not the fingerprint agency), 100% (11) were reported completely and accurately .
Prosecutor Decline	Completeness/Accuracy: Of 11 prosecutor declined charges, 100% reported the arrest agency completely and accurately .
APSIN	Completeness: Of 79 charges reported to the repository, 100% of the arrest agency ID's were entered completely and accurately .
Date Arrest/Citation	Fingerprint card dates are categorized by "date of arrest" and "date of court ordered". No court ordered fingerprints were included in this audit.
Fingerprint Card	Completeness: Of 62 charges on fingerprint cards, 100% of the arrest dates were entered completely. Accuracy: of 62 arrest dates entered, 85% (53) were entered accurately.
APSIN	Completeness: Of 79 charges reported to the repository, 100% of the arrest dates were entered in APSIN. Accuracy: of 79 dates entered, 99% (78) were entered accurately.
Prosecutor Declination	
Prosecutor Agency	Completeness/Accuracy: Of 11 charges declined for prosecution, 100% were reported completely. Accuracy: of 11 declined charges 91% (10) were reported accurately (one charge was erroneously reported as dismissal).
APSIN	Completeness: Of 11 charges declined for prosecution, 11 charges were scored for APSIN data quality. Of 11 charges scored, 55% (6) were entered into APSIN completely. Accuracy: Of 6 charges entered into APSIN, 83% (5) were entered accurately. Five declines were not entered before the audit cut off date.
Id of Decline Agency	
Prosecutor Agency	Completeness/Accuracy: Of 11 charges declined for prosecution, 100% reported the decline agency completely and accurately .
APSIN	Completeness: Of 11 charges declined for prosecution, 55% (6) agency ID's were entered into APSIN. Accuracy: Of the 6 agency id's entered into APSIN, 83% (5) were entered accurately.
Date Declined	
Prosecutor Agency	Completeness/Accuracy: Of 11 charges declined for prosecution, 100% reported the decline date completely and accurately .
APSIN	Completeness: Of 11 charges declined for prosecution, 55% (6) dates were entered into APSIN. Accuracy: of six dates entered, 67% (4) were entered accurately .
Date Court Ordered FP	
Fingerprint Card	Completeness/Accuracy: No court ordered fingerprints were reported in this audit..
ID Court Ordered FP	
Fingerprint Card	Completeness/Accuracy: No court ordered fingerprints were reported in this audit..
Court Case #	Court case numbers may be entered from an arrest event, fingerprint card or a disposition document.

PART IV. DATA QUALITY

Court	Completeness: Of 68 court case numbers reported on dispositions, 100% were reported completely and accurately .
APSIN	Completeness: Of 53 court case numbers scored for APSIN data quality, 32% (17) were entered before the audit cut off date, completely and accurately .
Offense Code - Disposition	When scoring offense code fields, a charge must have a literal description and a statute. If a charge is missing one of these elements, it will be scored as 'inaccurate'.
Court	Completeness: Of 68 offense codes reported on dispositions, 90% (61) were reported completely and accurately .
APSIN	Completeness: Of 68 offense codes on dispositions reported by the court, 53 were scored for APSIN data quality. Of the 53 offense codes that were scored in APSIN, 25% (13) were entered completely. Accuracy: of 13 offenses codes entered into APSIN, 92% (12) were entered accurately. Forty dispositions were reported to the repository but not entered before the audit cut off date (not timely).
ID of Ct Dispo Agcy	
Court	Completeness/Accuracy: Of 68 court dispositions, 100% reported the court ID completely and accurately .
APSIN	Completeness: Of 68 court dispositions, 53 were scored for APSIN data quality. Of 53 court ID's that were scored in APSIN, 25% (13) were entered completely and accurately. Forty dispositions were reported to the repository but not entered before the audit cut off-date (not timely).
Date of Court Dispositions	
Court	Completeness/Accuracy: Of 68 court dispositions reported, 100% reported the date completely and accurately .
APSIN	Completeness: Of 68 court dispositions, 53 were scored for APSIN data quality. Of 53 dispo dates that were scored in APSIN, 25% (13) were entered completely and accurately . Forty dispositions were reported to the repository but not entered before the audit cut off date (not timely).
Court Disposition	
Court	Completeness: Of 68 court dispositions, 100% were reported completely and accurately .
APSIN	Completeness: Of 68 court dispositions, 53 were scored for APSIN data quality. Of 53 court dispositions that were scored in APSIN, 25% (13) were entered completely and accurately . Forty dispositions were reported by the court but were not entered into APSIN before the audit cut off date (not timely).
POS ID (FP) Flag	
APSIN	Completeness/Accuracy: 62 charges were reported on fingerprint cards and 71 charges were scored for APSIN data quality. Eight charges were missing from the fingerprint card at the time of fingerprinting but were added to APSIN at the time the card was processed. One charge was incorrectly added to a fingerprint card that was not related to the arrest (data entry error).
Felony Flag	
APSIN	Completeness: Of 2 felony convictions reported to the repository 100% were entered completely and accurately .
DV Flag - Dispo	

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Court	Completeness: Of 3 dispositions with DV flags, 100% were reported completely and accurately .
APSIN	Completeness: Of 3 dispositions with DV flags, 33% (1) was scored for APSIN data quality. Two charges were completely missing, (therefore not scored in APSIN for data quality).
SOR Flag - Disposition	
Court	Completeness: No dispositions with a SOR flag were reported in this audit.
APSIN	Completeness: No dispositions with a SOR flag were reported in this audit.
Sentencing - FINES	
Court	Completeness/Accuracy: Of 11 fines reported on dispositions, 100% were reported completely and accurately .
APSIN	Completeness: Of 11 fines reported on dispositions, 36% (4) were scored for APSIN data quality. Of 4 fines reported on dispositions to the repository, 100% were entered completely and accurately . Seven were not entered before the audit cut off date (not timely).
Sentence- JAIL	
Court	Completeness: Of 26 dispositions reporting jail time, 100% were reported completely and accurately .
APSIN	Completeness: Of 26 dispositions reported with jail time, 24 were scored for APSIN data quality. Of 24 charges scored for data quality, 21% (5) were entered completely and accurately . Twenty one dispositions with jail time were not entered before the audit cut off date (not timely).
Sentence - PROBATION	
Court	Completeness: Of 22 dispositions with probation, 100% were reported completely and accurately .
APSIN	Completeness: Of 22 dispositions with probation, 19 were scored for APSIN data quality. Three charges were completely missing in APSIN and therefore not scored for data quality. Of 19 dispositions with probation 21% (4) were entered in APSIN completely and accurately . The remaining 15 dispositions were not entered before the audit cut off date (not timely).
Sentence -SIS	
Court	Completeness: Of 3 SIS dispositions reported, 100% were reported completely and accurately .
APSIN	Completeness: Of 3 SIS dispositions reported, 2 were scored for APSIN data quality. One charge was completely missing in APSIN and therefore not scored for data quality.
Sentence - ALCOHOL SCREENING	
Court	Completeness: Of 6 dispositions with alcohol screening, 100% were reported completely and accurately .

PART IV. DATA QUALITY

APSIN	Completeness: Of 6 dispositions with alcohol screening, 5 were scored for APSIN data quality. One charge was completely missing in APSIN and therefore not scored for data quality. Five dispositions were not entered before the audit cut off date (not timely).
Sentence - RESTITUTION	
Court	Completeness/Accuracy: Of 1 disposition with restitution 100% was reported completely and accurately .
APSIN	Completeness/Accuracy: Of 1 disposition with restitution, one was entered completely and accurately .
Sentence - LICENSE/REVOCAION	
Court	Completeness: Of 9 dispositions with license revocations, 100% were reported completely and accurately .
APSIN	Completeness: Of 9 dispositions with license revocations, 9 were scored for APSIN data quality. Of 9 scored, 33% (3) were entered completely and accurately . Six were not entered before the audit cut off date (not timely).
Sentence - COMMUNITY WORK SERVICE	
Court	Completeness: Of 4 dispositions with CWS, 100% were reported completely and accurately .
APSIN	Completeness: Of 4 dispositions with CWS, 4 were scored for APSIN data quality but were not entered before the audit cut off date (not timely).

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SCORING GUIDELINES	FP CARD #	PROSECUTOR DECLINE #	COURT FILING ² #	COURT DISPO #	DISPO CHANGE #	APSIN #	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
ATN #	x	x		x	x	x	if missing, completeness = 0 and accuracy = N; if provided, completeness =1 and, if different than ATN on CCID accuracy = 0
Charge Number							not scored at this time
Offense Code - Arr/Cit	x	x		x	x	x	if missing, completeness = 0 and accuracy = N; if provided, completeness = 1 and, if different than CCID, accuracy =0; (unless obvious problem w/CCID then check arrest report/citation.
ID of Arr/Cit Agency	x					x	if missing, completeness = 0 and accuracy = N; if provided, completeness = 1 and, if different than CCID, accuracy =0; (unless obvious problem w/CCID then check arrest report/citation.
Date Arr/Cit	x					x	if missing, completeness =0 and accuracy = N; if provided, completeness = 1 and if different than CCID accuracy =0; (unless obvious problem w/CCID then check arrest report/citation. APSIN requires a 'date of arrest' entry; if date of arrest is unknown the date of violation is entered and is scored as complete = 1; accurate = 0 (systematic error)
Date of Offense							not scored; regulations require date of offense on FPC, if known
ID of FP agency	x						if FP agency = arrest agency score under 'id arrest agency'; if FP agency and arrest agency are different, id FP agency must be scored; ori agency is FP agency. If missing, completeness = 0 and accuracy = N; if provided, completeness = 1 and, if different that ori score 0 on accuracy
FP Signature	x						if missing on paper FPC completeness = 0; accurate = N
Decline Prosecution		x				x	if missing, completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than CCID accuracy = 0
ID of decline agency		x				x	if missing, completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than CCID accuracy = 0
Date declined		x				x	if missing, completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than CCID accuracy = 0
Off Code - Filing							not scored at this time
ID of Ct - Chg Filed							not scored at this time
Date Chg Filed							not scored at this time
Court Case #				x		x	if missing, completeness =0 and accuracy = N; if provided, completeness = 1 and if different than court information accuracy = 0
Date CtOrd FP	x						scored if court ordered fingerprints
ID of CtOrd FP	x						scored if court ordered fingerprints
Off Code - Dispo				x		x	For this data field, two data elements are scored: the literal description of the offense and the statute citation for that offense are both required. If one element is reported (on judgment or entered in APSIN) score "complete" as 1; if second element is missing or inaccurate, score "accuracy" as 0. If both elements are missing score completeness and accuracy as N/N.
ID of Ct Dispo Agcy				x		x	from court: if missing, completeness =0 and accuracy = N; if provided, completeness = 1 and if different than court information accuracy = 0
Date of Ct Dispo				x		x	from court: if missing, completeness =0 and accuracy = N; if provided, completeness = 1 and if different than court information accuracy = 0
Ct Dispo				x		x	from court: if missing, completeness =0 and accuracy = N; if provided, completeness = 1 and if different than court information accuracy = 0
POS ID (FP) Flag						x	score all charges in APSIN; systematic entry; if FP on file flag = yes; if no FP on file flag = no;

PART IV. DATA QUALITY

SCORING GUIDELINES	FP CARD	PROSECUTOR DECLINE	COURT FILING ²	COURT DISPO	DISPO CHANGE	APSIN	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
Fine				x		x	score only if on court documents; if missing in APSIN completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
Jail				x		x	score only if on court documents; if missing in APSIN completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
Probation				x		x	score only if on court documents; if missing in APSIN completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
IS (set aside)				x		x	score only if on court documents; if missing in APIs completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
Alcohol Screening				x		x	score only if on court documents; if missing in APSIN completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
Restitution				x		x	score only if on court documents; if missing in APSIN completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
License Susp/Rev				x		x	score only if on court documents; if missing in APSIN completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
CWS				x		x	score only if on court documents; if missing in APSIN completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than court, accuracy =0
Felony/Misd Status				x		x	for disposed charges in APSIN; systematic entry; if blank or not set = 0 for completeness and accuracy =N; Level of severity is based on the UOCT. If the felony indicator = Y in APSIN and UOCT has felony determination accuracy =1.
Sex Ofndr Regis Flag				x		x	score only charges specified SOR by court; If missing in APSIN, completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than judgment, accuracy =0
DV Flag - Dispo				x		x	score only charges specified DV by court; If missing in APSIN, completeness = 0 and accuracy = N; if provided, completeness = 1 and if different than judgment, accuracy =0
Disposition Change					x	x	from court: if missing, completeness =0 and accuracy = 1; if provided, completeness = 1 and if different than court information accuracy = 0
ID of Dispo Chg Agcy					x	x	from court: if missing, completeness =0 and accuracy = 1; if provided, completeness = 1 and if different than court information accuracy = 0
Date of Dispo Change					x	x	from court: if missing, completeness =0 and accuracy = 1; if provided, completeness = 1 and if different than court information accuracy = 0
App.Case #					x	x	from court: if missing, completeness =0 and accuracy = 1; if provided, completeness = 1 and if different than court information accuracy = 0
Collateral Arrest Dt							
Collateral Offense Code							
Collateral Ct Case #							